

QCD and neutrinos
or
The particle theory of neutrino-nucleus cross
sections

RICHARD HILL

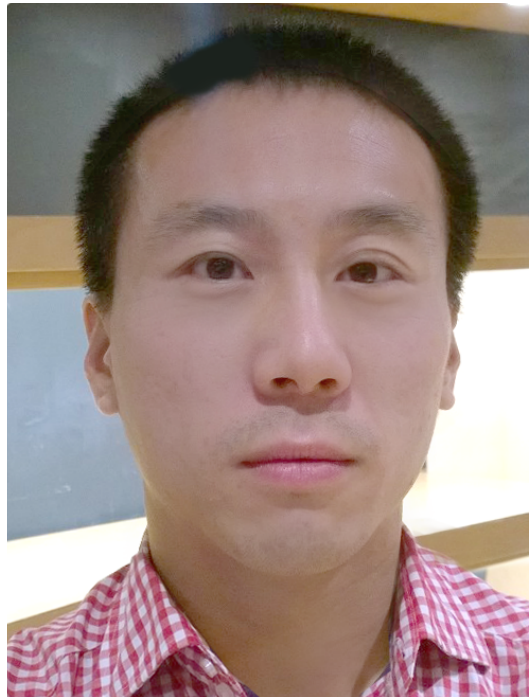
TRIUMF & Perimeter Institute & U. Chicago

Fermilab neutrino division seminar
11 February 2016

Featuring work of the following students



M. Solon,
(2015 Sakurai
thesis award
U.Chicago→UC
Berkeley/LBNL)



G. Lee
(U.Chicago→Technion
Supported by NIST
PMG)



A. Meyer
(U.Chicago/FNAL
DOE SCGSR award)

WIMP-nucleus

electron-proton

neutrino-nucleus

Thanks also: J. Arrington, M. Betancourt, C. Blanco, R. Gran,
A. Kronfeld, G. Paz, M. Wetstein, and many others.

outline

- the particle physics of neutrino cross sections
 - precision hadron physics and elementary targets
 - radiative corrections
 - lattice QCD
- interplay with nuclear modeling
- interplay with detector technology
- connections with other processes and new physics searches

some new results

- new results from an analysis of neutrino-deuteron scattering

M. Betancourt, R. Gran, RJH, A. Meyer (to appear)

- nucleon axial radius very different from conventional wisdom
- model independent (z expansion) form factor extracted: *coefficients, errors, correlations*

- all of this built into GENIE: readily integrated with nuclear corrections and errors propagated to neutrino parameters
- further improvement expected from lattice QCD

Have established a new physics scale

$$\frac{1}{\Lambda} H H E E \qquad \Lambda \sim \frac{v_{\text{weak}}^2}{m_\nu} \sim 10^{14} \text{ GeV}$$

Neutrino physics is living the dream.

Exploring this new physics takes us outside of the HEP comfort zone. That's ok.

ν 's compared to high energy colliders

ν

neutrino models



collider

BSM, SUSY, ...

nucleon level &
rad.corr.



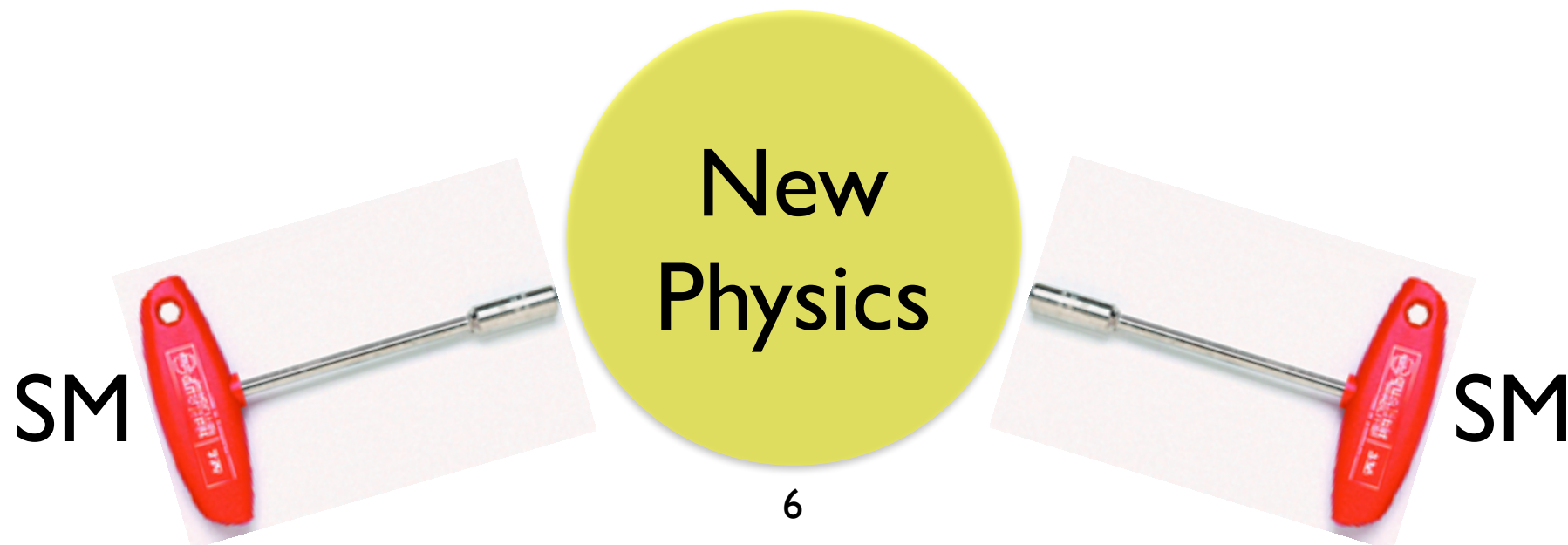
pQCD, quarks, gluons

nuclear modeling



PDFs and hadrons

new physics probes require understanding the SM in an increasingly diverse range of processes



Physics

- *neutrino oscillations*
- *supernova constraints*
- *nucleon decay*
- *WIMP searches*
- *axion searches*
- $\mu 2e$ *conversion*
- *EDMs*
- $0\nu\beta\beta$
- ...

Tools

lattice gauge
theory

nuclear
structure

perturbative,
renormalizable
QFT

astrophysics

atomic
physics

geology

hydrodynamics

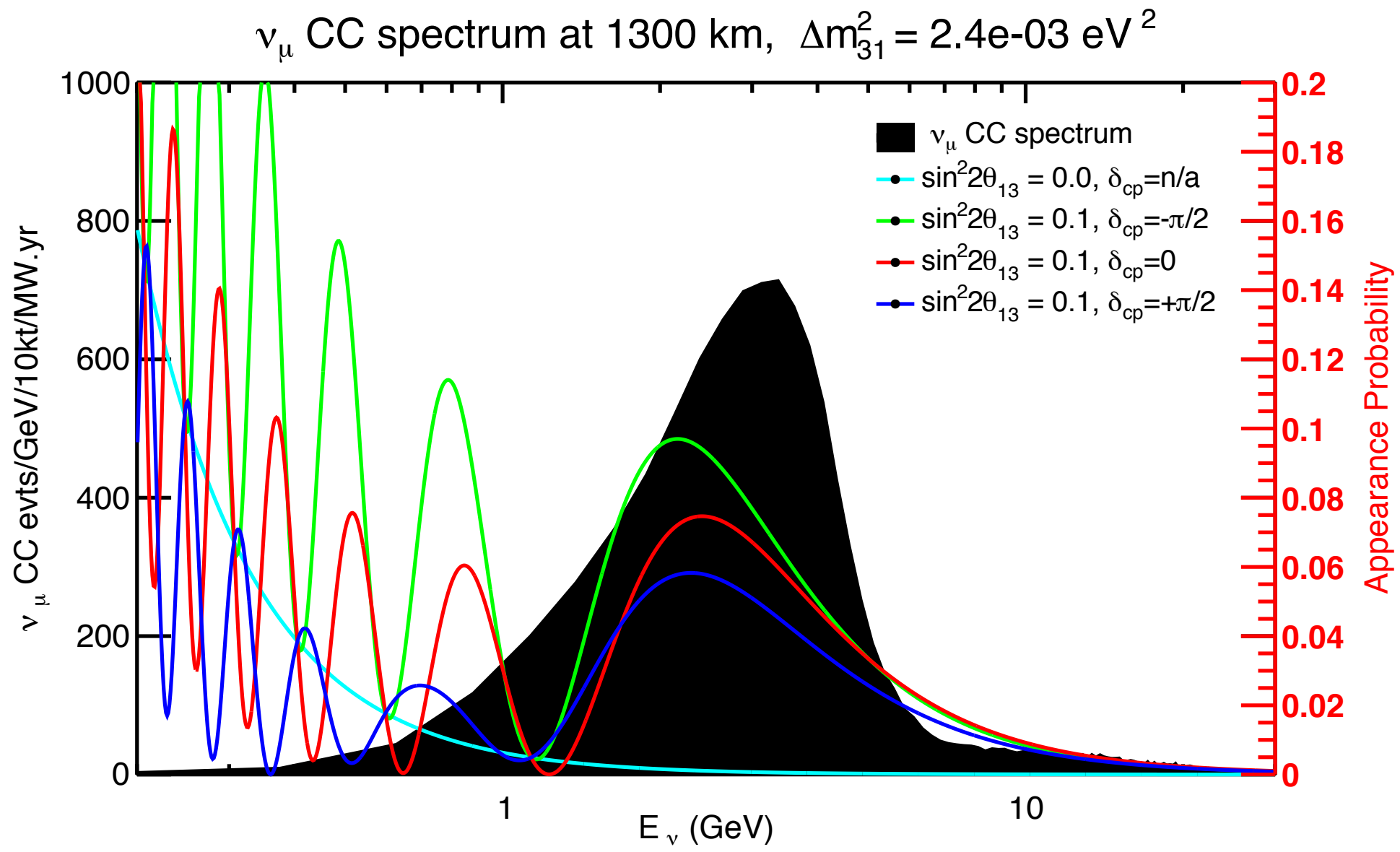
effective
field theory

cosmology

cosmic
ray physics

...

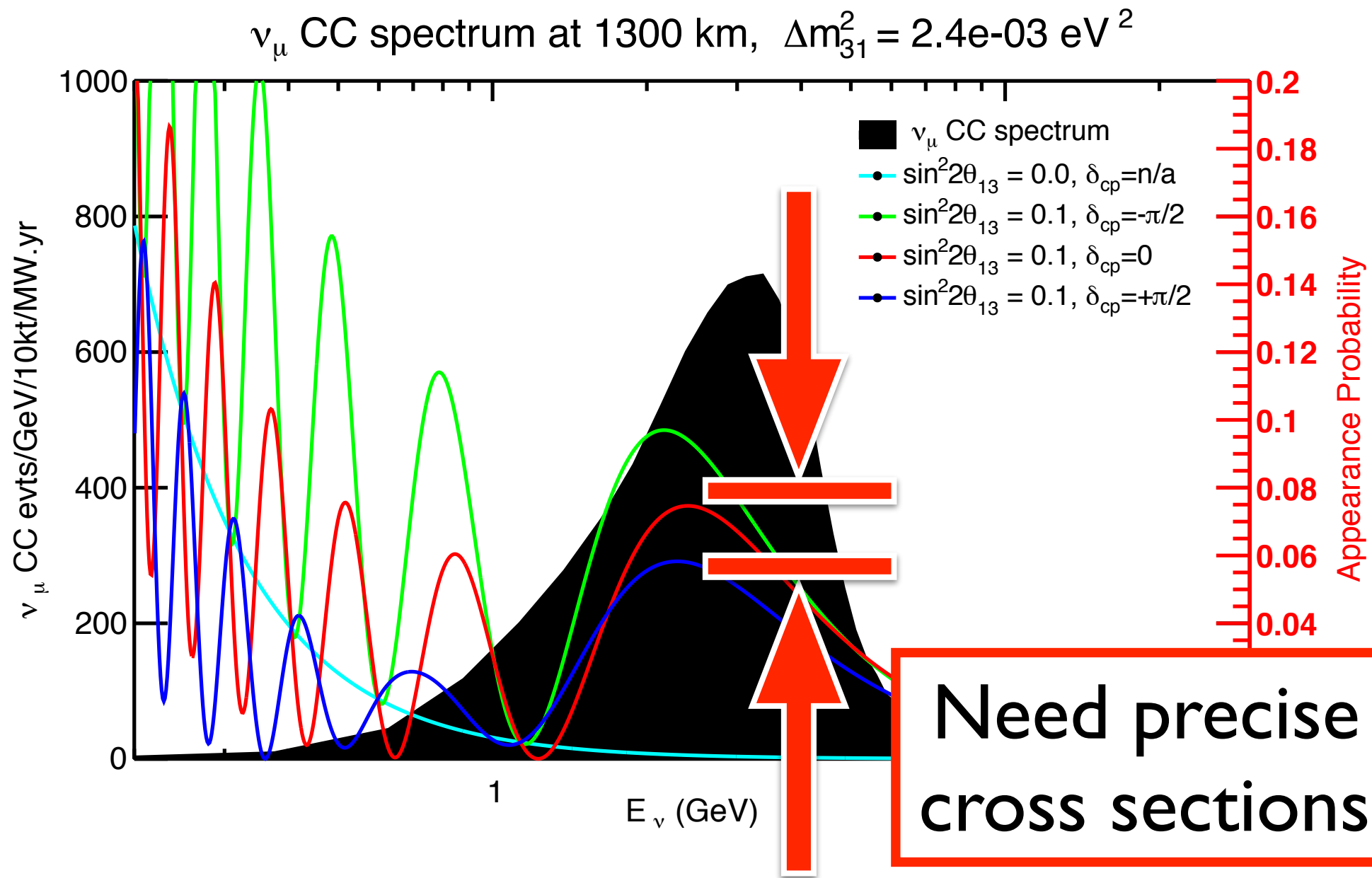
a critical and exciting time, opportunities demanding
adaption of existing tools and development of new tools



LBNE, 1307.7335

cf. Coloma, Huber et al., 1307.1243, 1311.4506;

Lalakulich and Mosel, 1311.7288

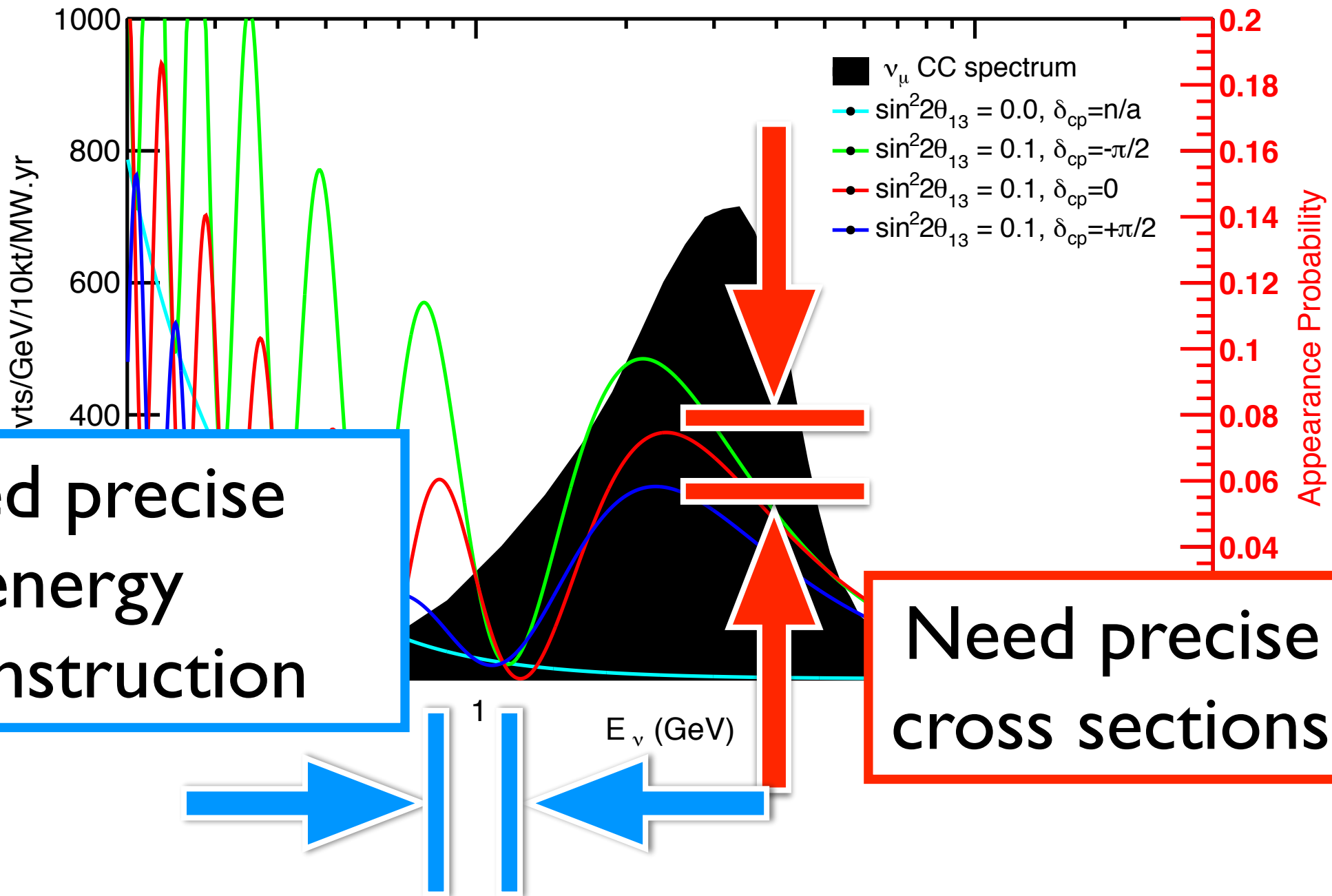


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ν_μ CC spectrum at 1300 km, $\Delta m_{31}^2 = 2.4 \times 10^{-3} \text{ eV}^2$

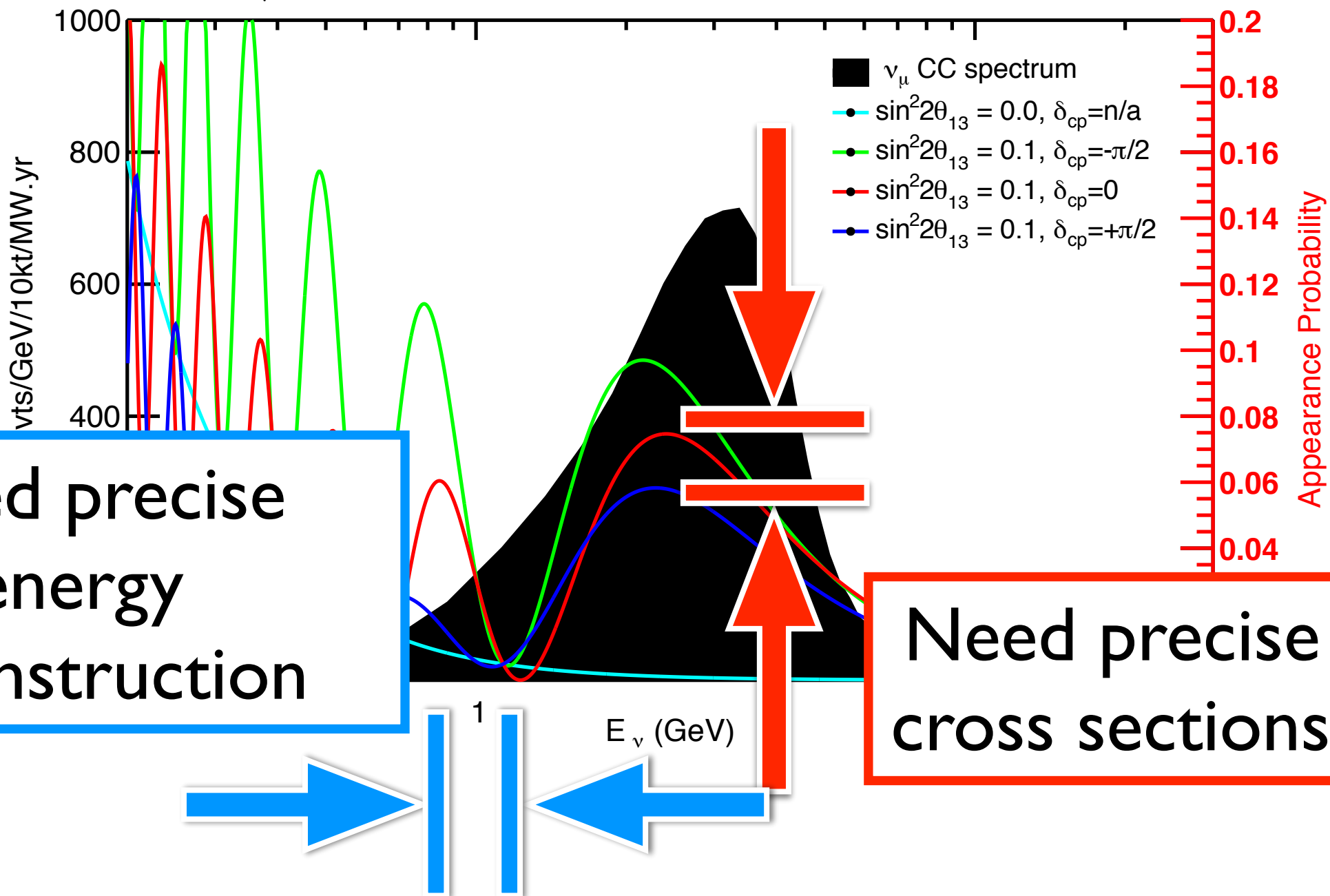


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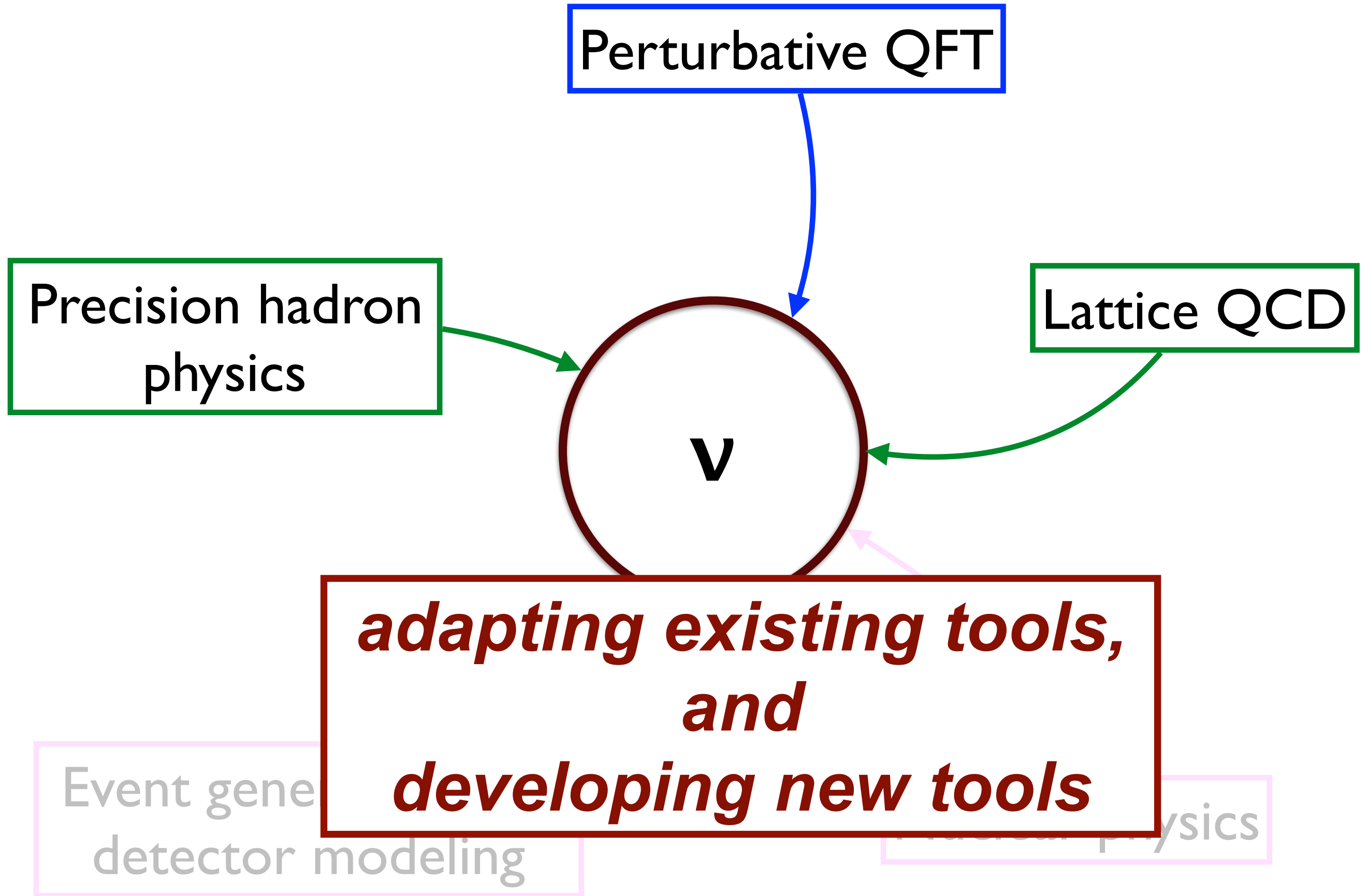
Lalakulich and Mosel, 1311.7288

Many related activities and applications, over a wide energy range:

- sterile neutrino searches
- reactor, supernova, astrophysical, solar, cosmological ν 's
- proton decay, ...

Focus here on $\sim \text{GeV}$ ν cross sections for oscillation experiments

This is a challenging problem. HEP Theory is...



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Connecting with other communities

Precision had
physics

attice QCD

v

Event generation and
detector simulation

Nuclear physics

Consider some very basic properties of nucleons

- scattering by the basic WIMP
- scattering by electrons (or muons)
- scattering by neutrinos

Surprising, recent and important results in each case

Directly relevant to neutrino cross section program

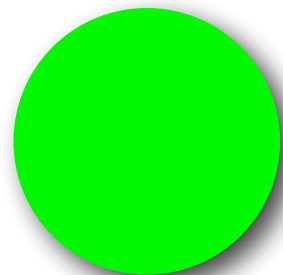
Neutrino physics benefits from interplay with other fields

- the tools developed for neutrino cross sections have wide applicability
- application to other processes acts both as validation, and probes separately motivated fundamental physics
- QCD and nucleon/nuclear structure key to understanding neutrinos, DM, proton radius puzzle, ...

- nuclear scattering by the basic WIMP

basic WIMP = electroweak triplet (color, hyper charge neutral)

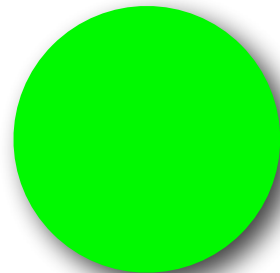
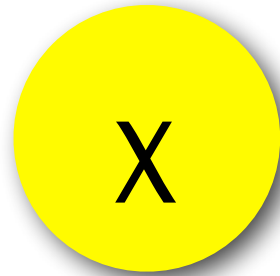
- nuclear scattering by the basic WIMP



target nucleus

basic WIMP = electroweak triplet (color, hyper charge neutral)

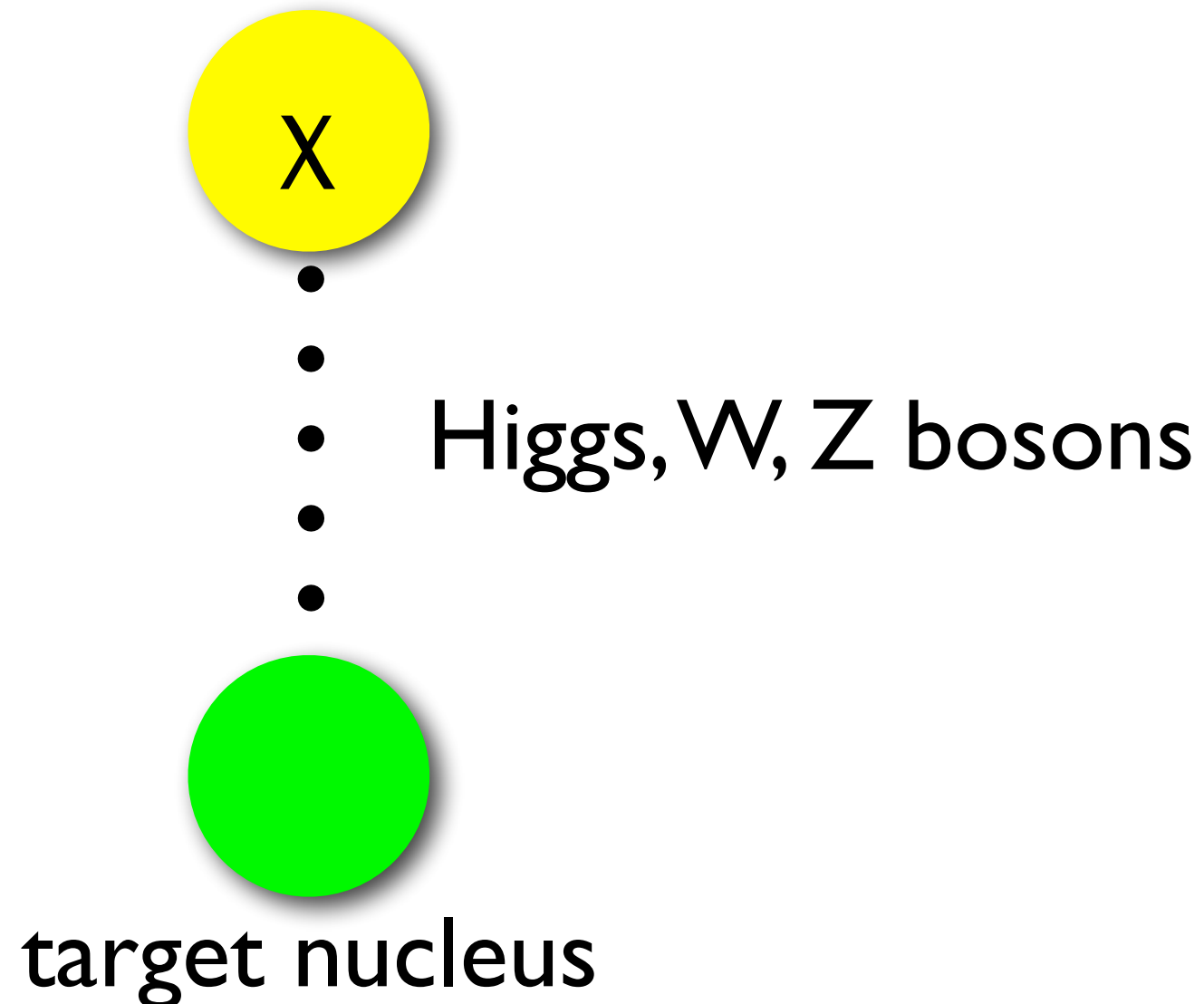
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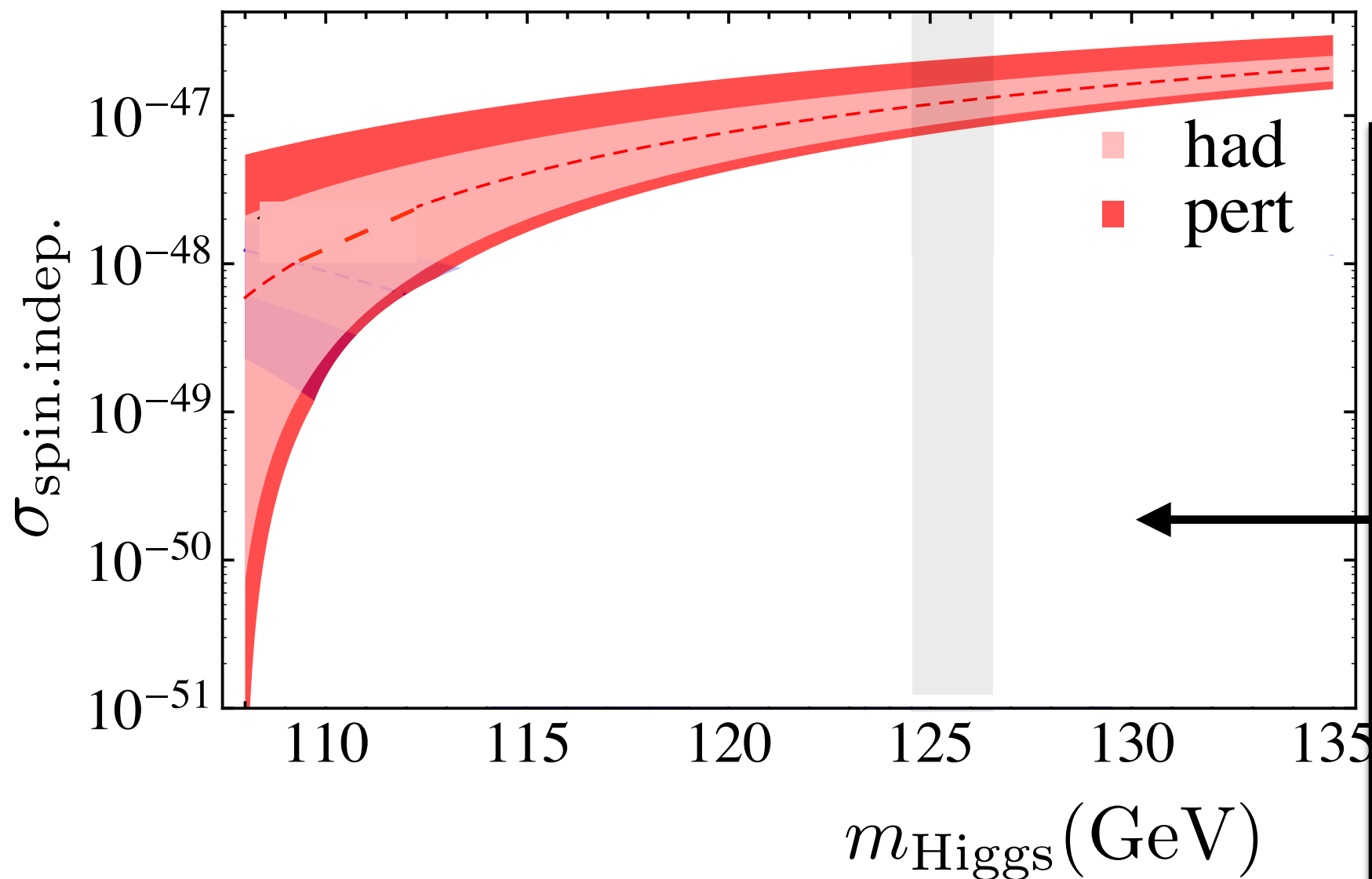


basic WIMP = electroweak triplet (color, hyper charge neutral)

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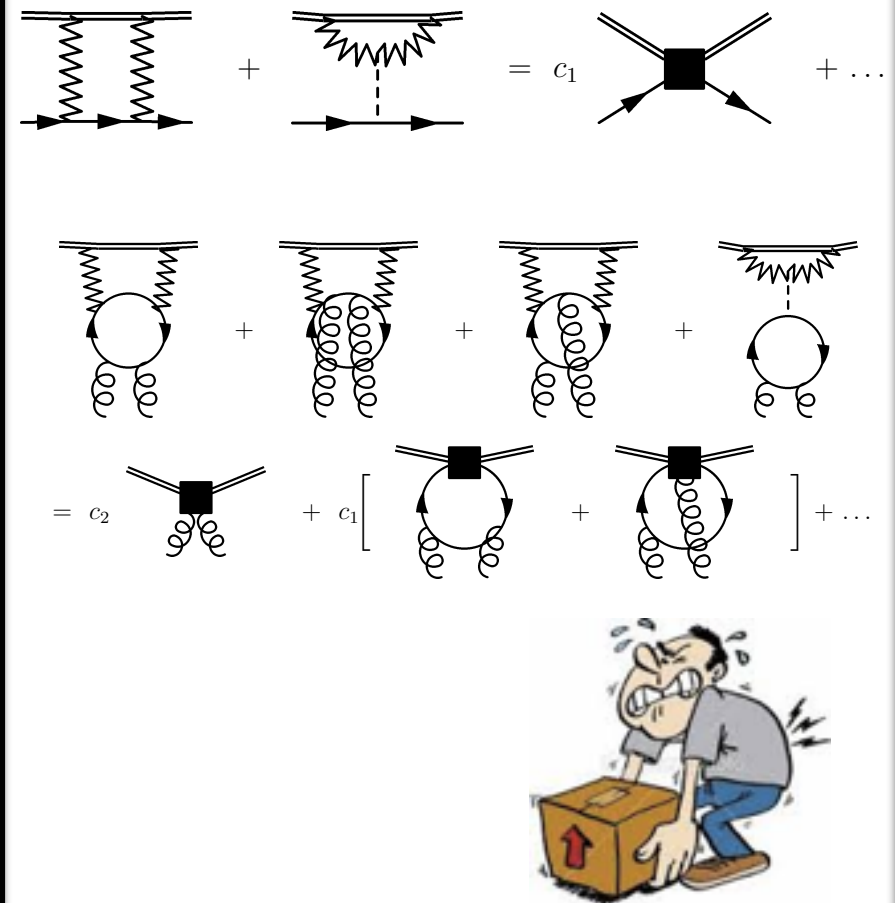
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Model independent prediction for heavy WIMP scattering



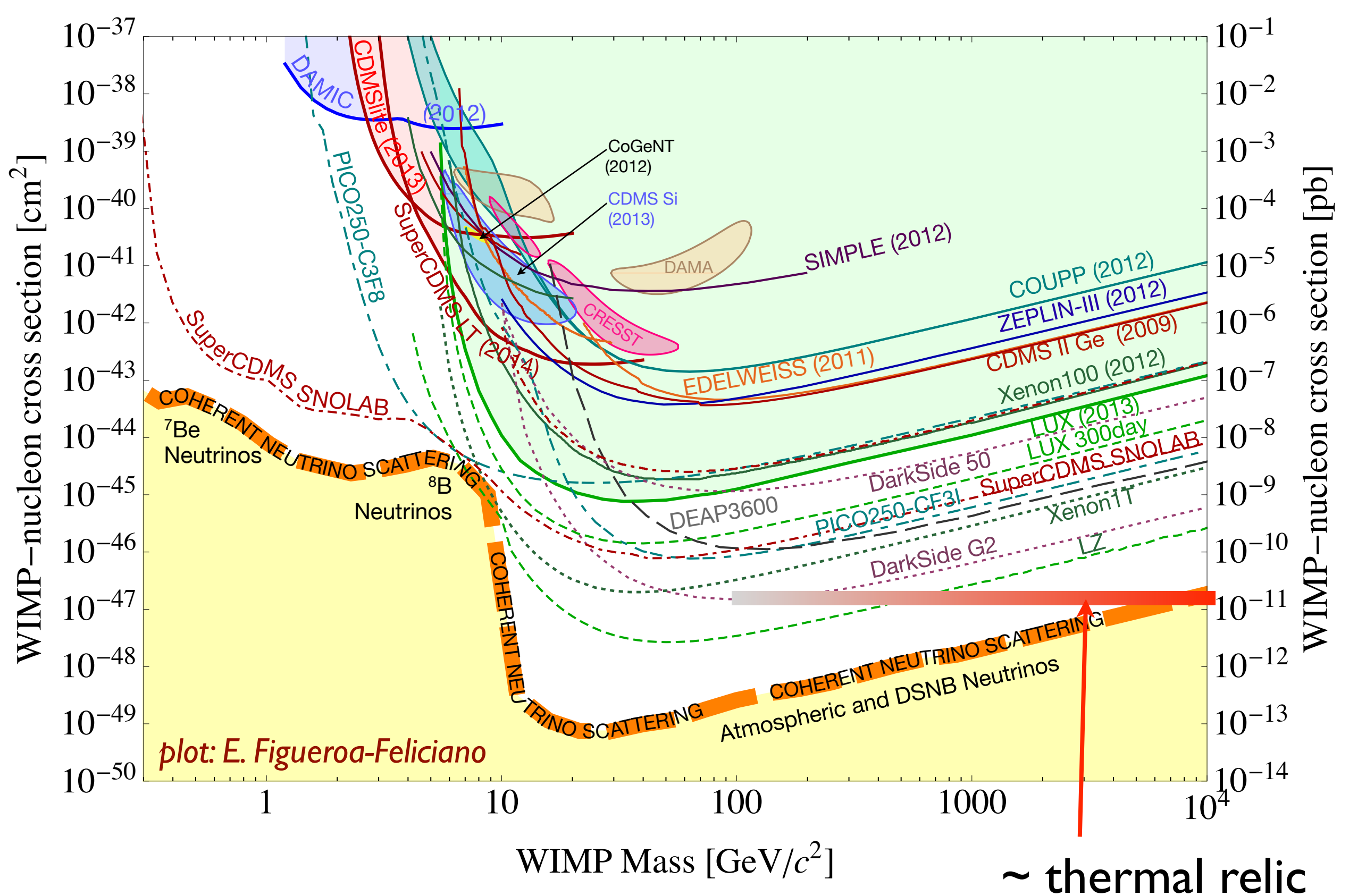
RJH, M.P. Solon (2014)

Heavy WIMP EFT



definite prediction of Standard model, but

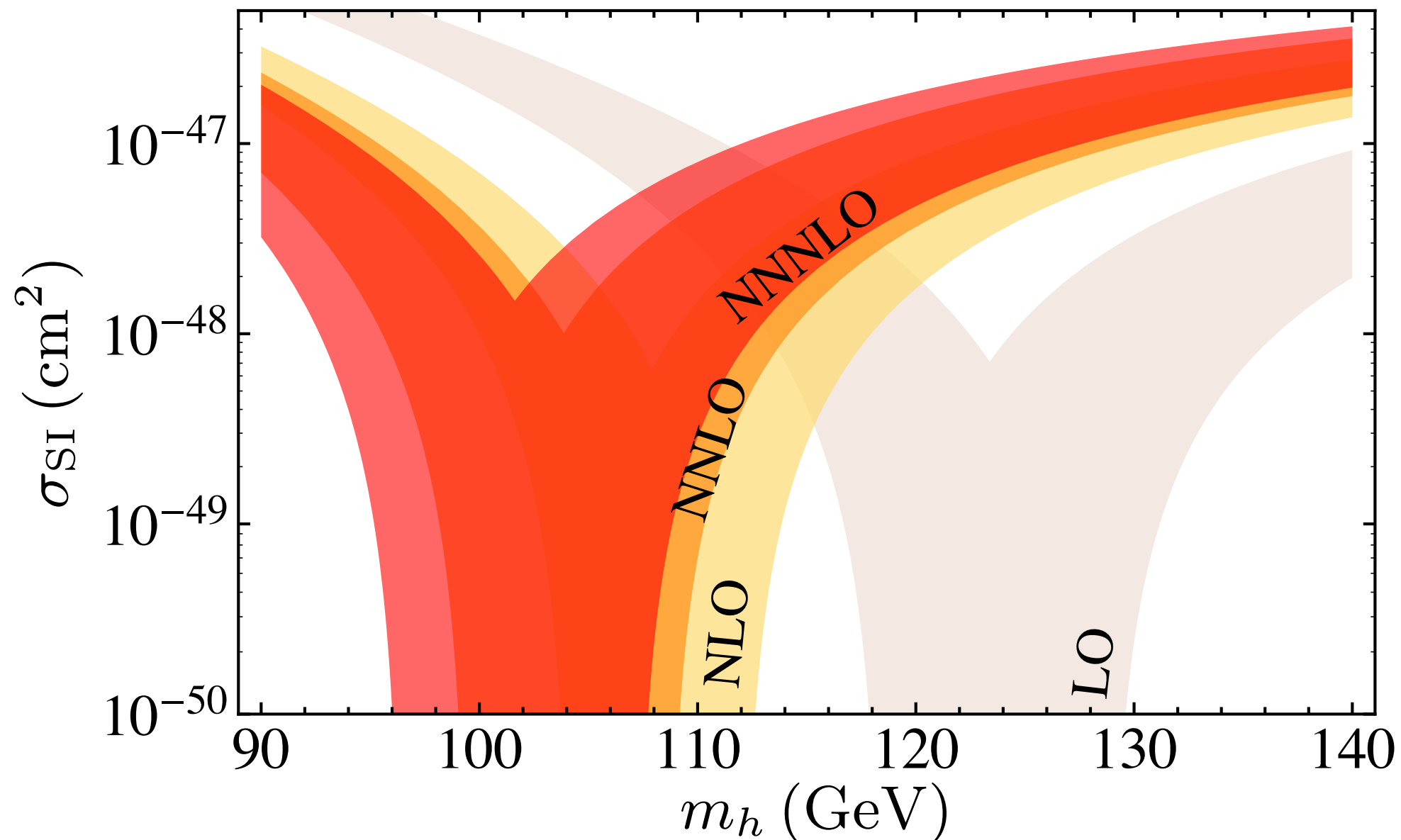
- QCD uncertainty, both perturbative and nonperturbative



Strong motivation for pushing to neutrino floor at \sim TeV mass

QCD aspects of WIMP-nucleus scattering

perturbative QCD is important:



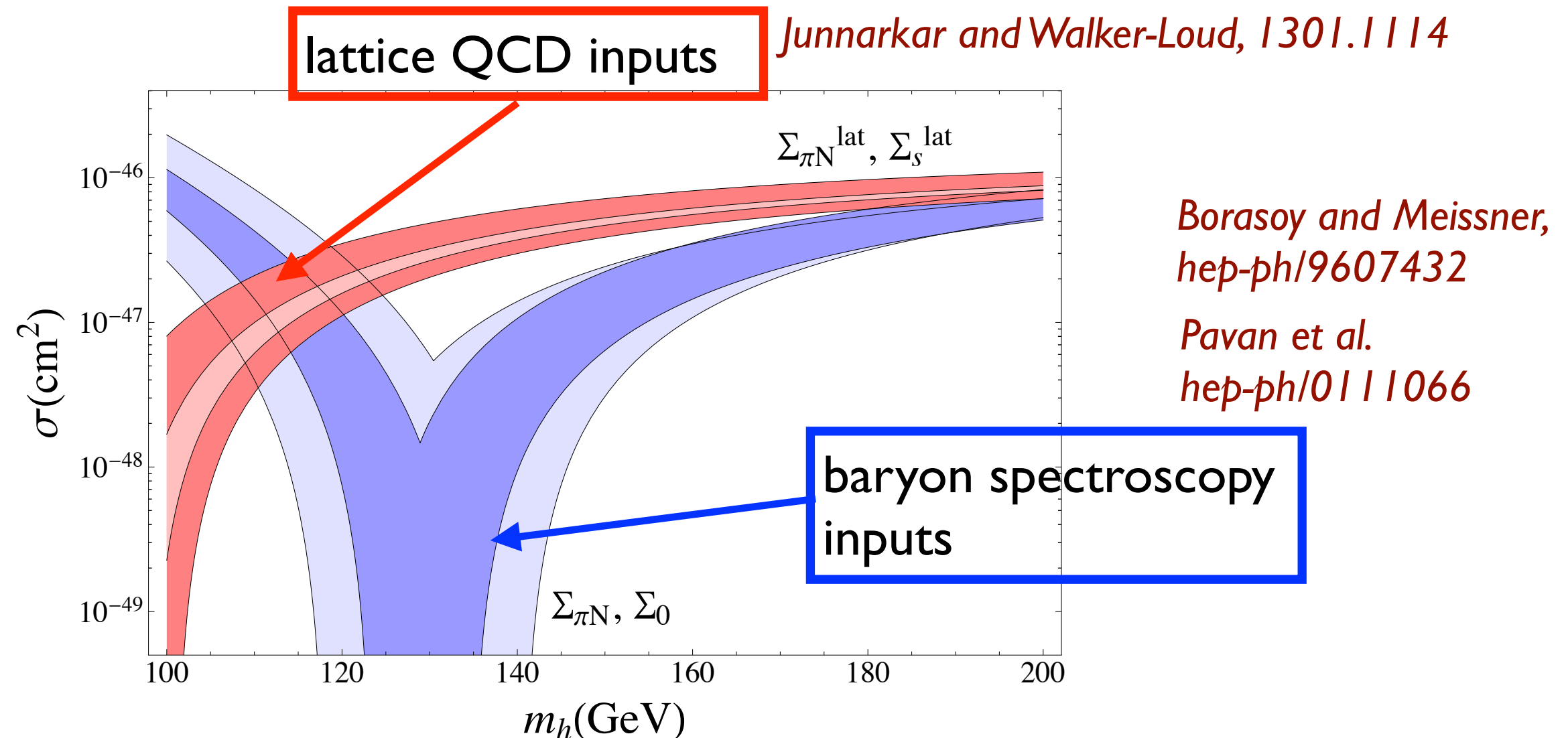
NLO corrections essential for correct order of magnitude

QCD aspects of WIMP-nucleus scattering

nucleon-level amplitudes are important (important impact from lattice QCD)

Durr et al. 1109.4265

Junnarkar and Walker-Loud, 1301.1114



nuclear effects may also play a role (amplitude cancellation at one-nucleon level)

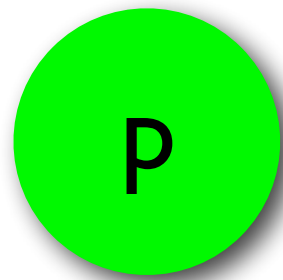
QCD aspects of WIMP-nucleus scattering

lessons for neutrino cross sections

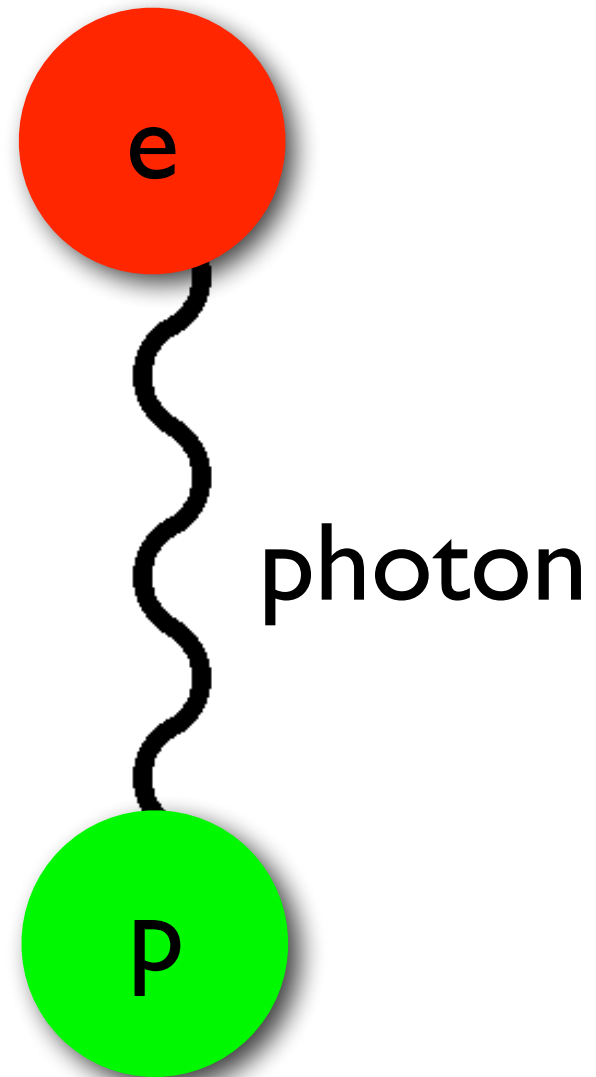
- important interplay of perturbative QFT, nucleon-level amplitudes and nuclear effects
- important inputs from lattice QCD
- all parts relevant for determining observability of WIMPs, and interpretation of next generation experiments

- elastic electron-proton scattering

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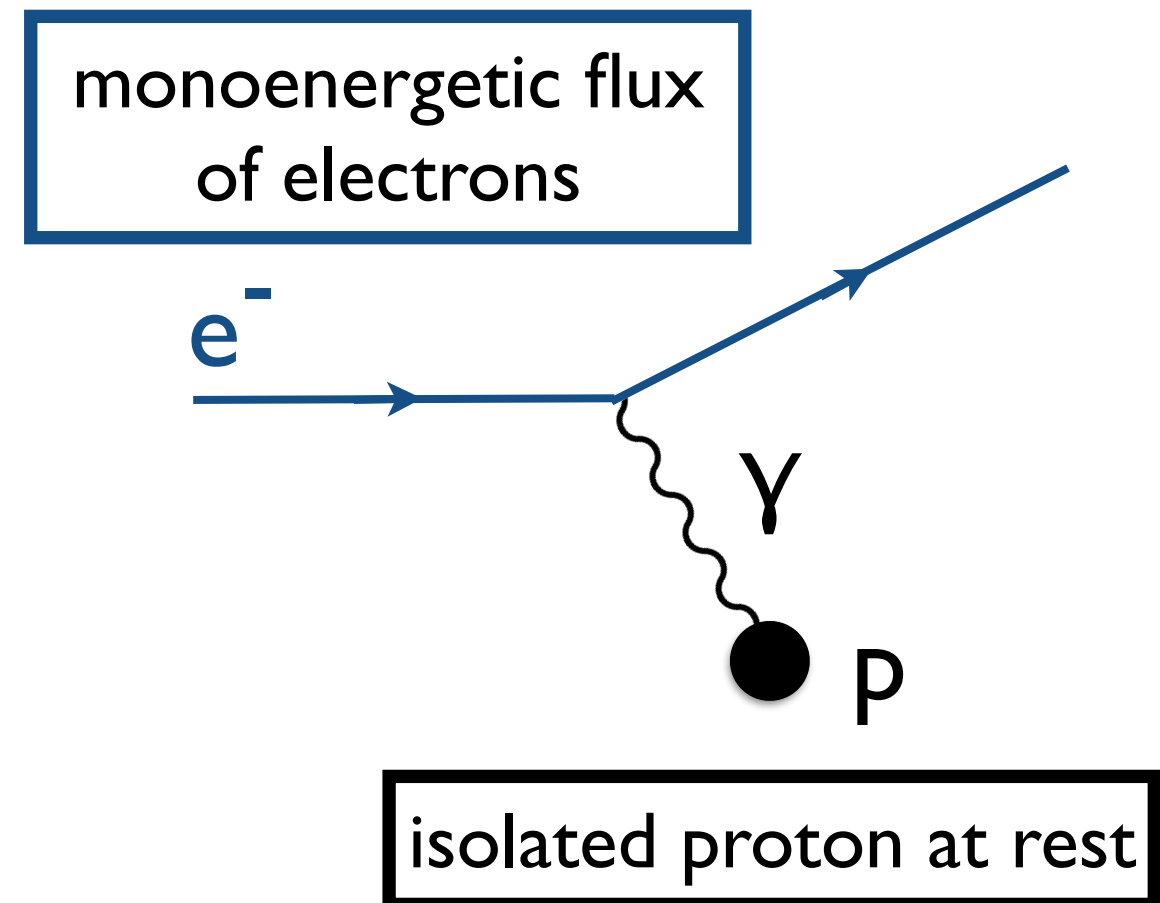
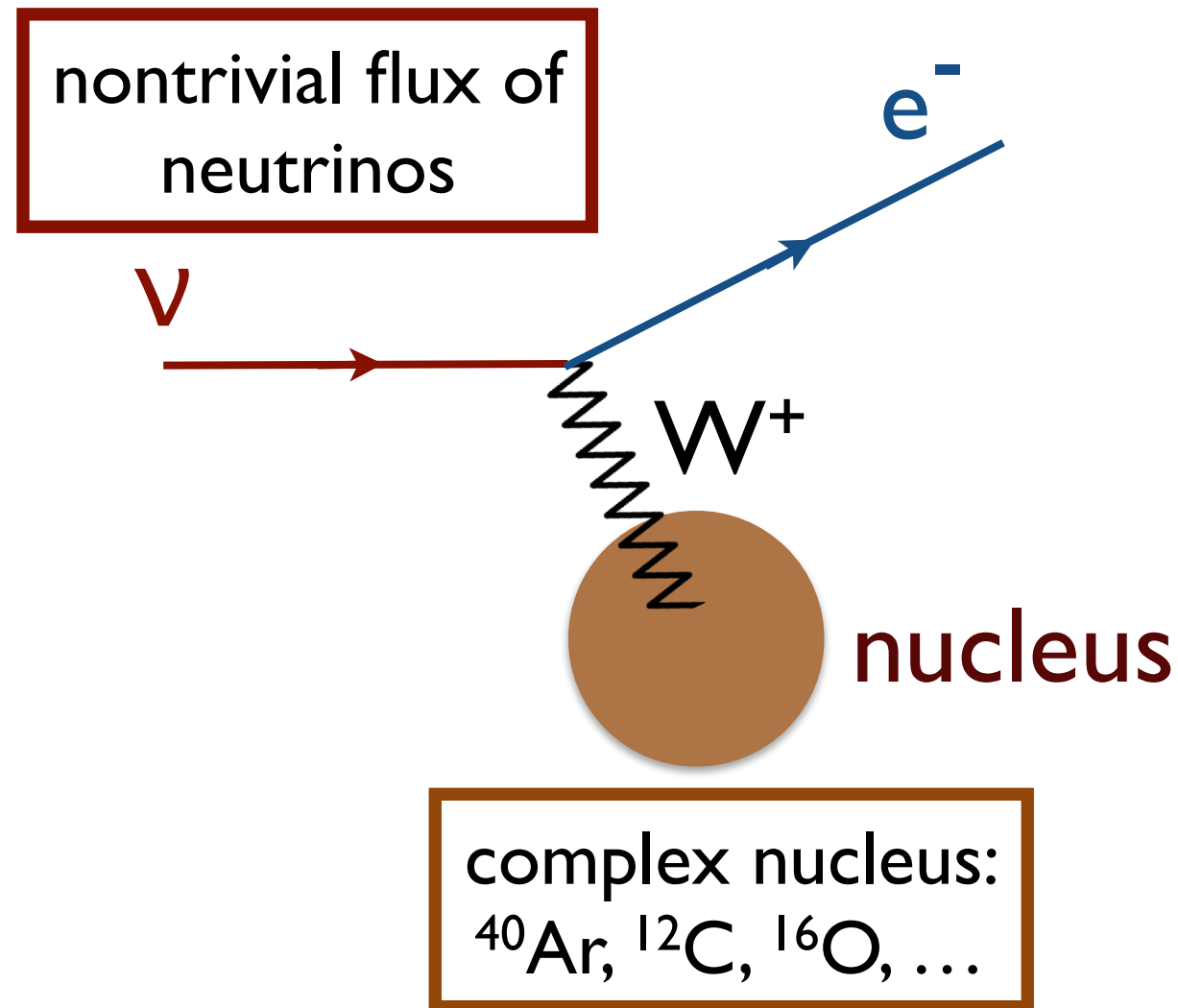


- elastic electron-proton scattering



- elastic electron-proton scattering

- *inputs to neutrino cross sections (vector form factors)*
- *a proving ground for both theory and experiment*



Do we understand this problem with controllable uncertainties?

Some facts about the Rydberg constant puzzle (a.k.a. proton radius puzzle)

1) It has generated a lot of attention and controversy



2) The *most mundane* resolution necessitates:

- 5σ shift in fundamental Rydberg constant
- discarding or revising decades of results in e-p scattering and hydrogen spectroscopy

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“The good news is that it’s not my problem”

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This is HEP's problem:

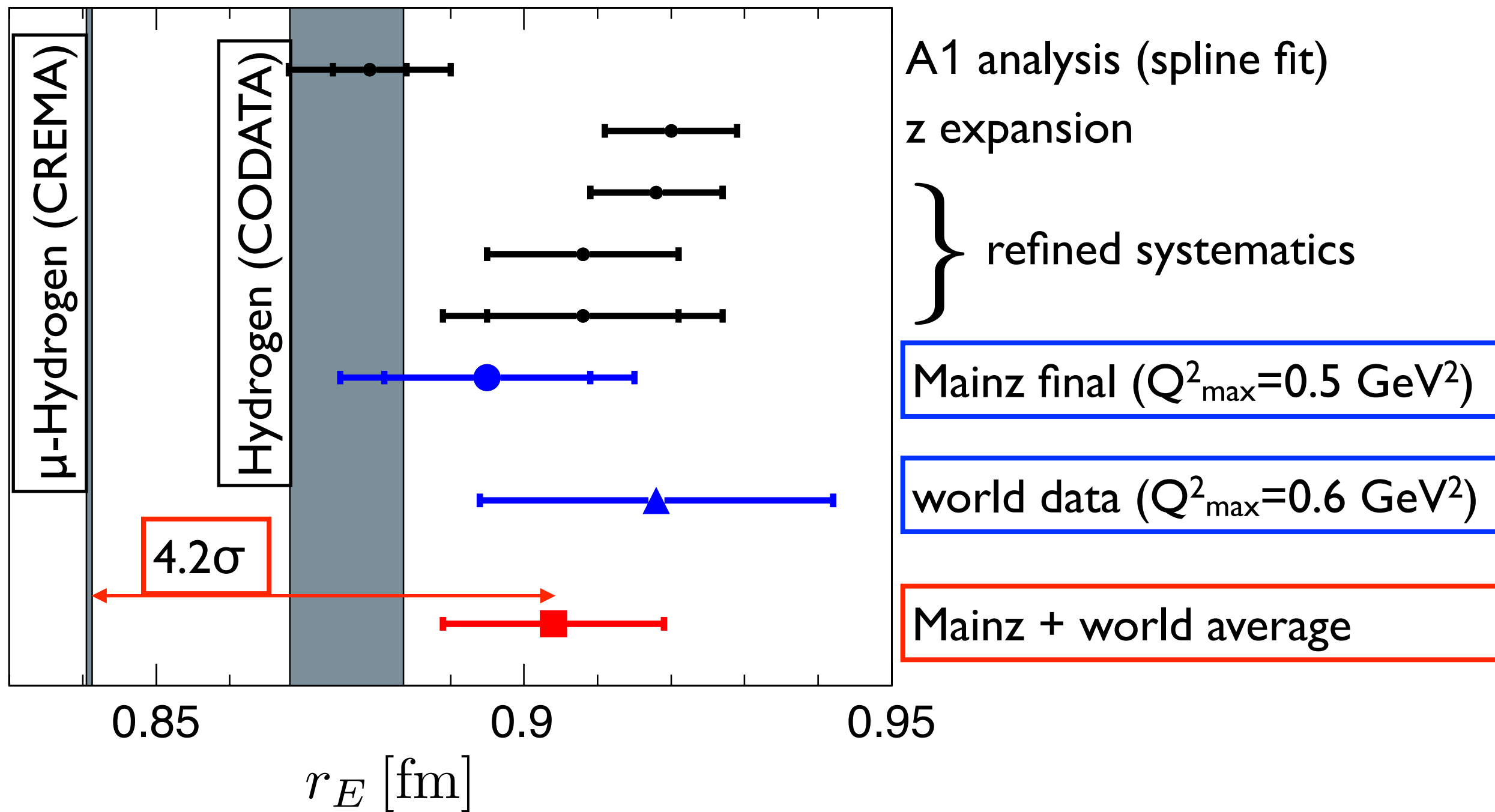
3) Systematic effects in electron-proton scattering impact neutrino-nucleus scattering, *at a level large compared to DUNE precision requirements*



"The good news is that it's not my problem"

experimental landscape: electron-proton scattering

G. Lee, J. Arrington, RJH, 2015

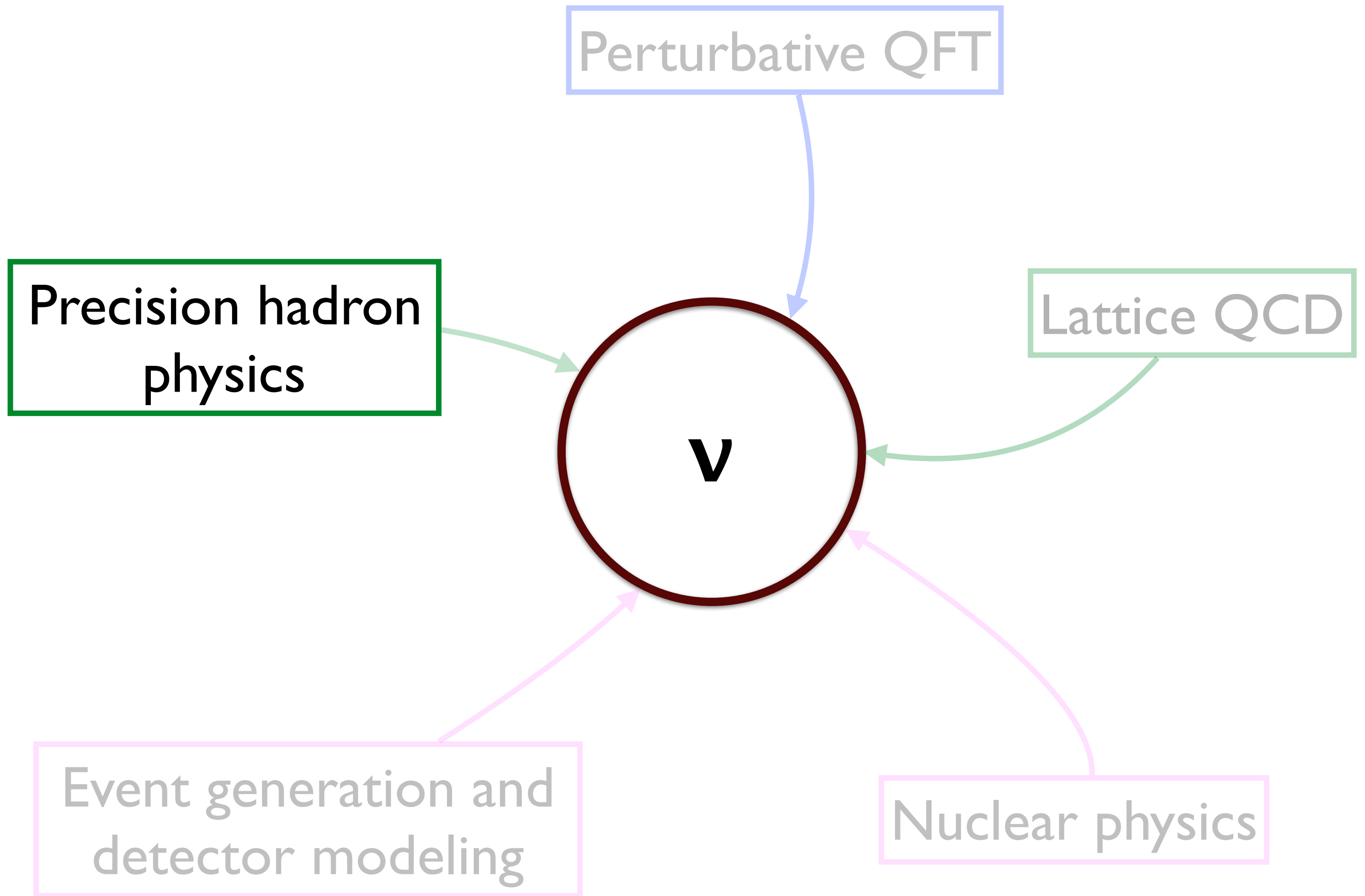


$$r_E^{\text{Mainz}} = 0.895(14)(14) \text{ fm}$$

$$r_E^{\text{world}} = 0.918(24) \text{ fm}$$

simple average:

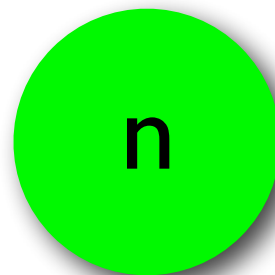
$$r_E^{\text{avg.}} = 0.904(15) \text{ fm}$$



- nucleon scattering by the neutrino

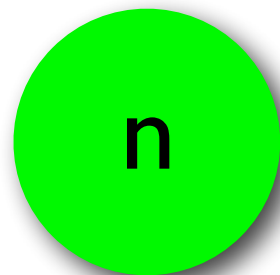
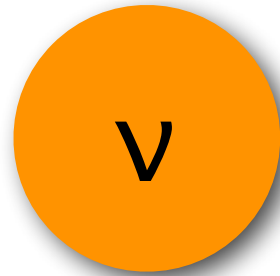
First step in a program for error bars on neutrino-nucleus cross sections: the most elementary process.

- nucleon scattering by the neutrino



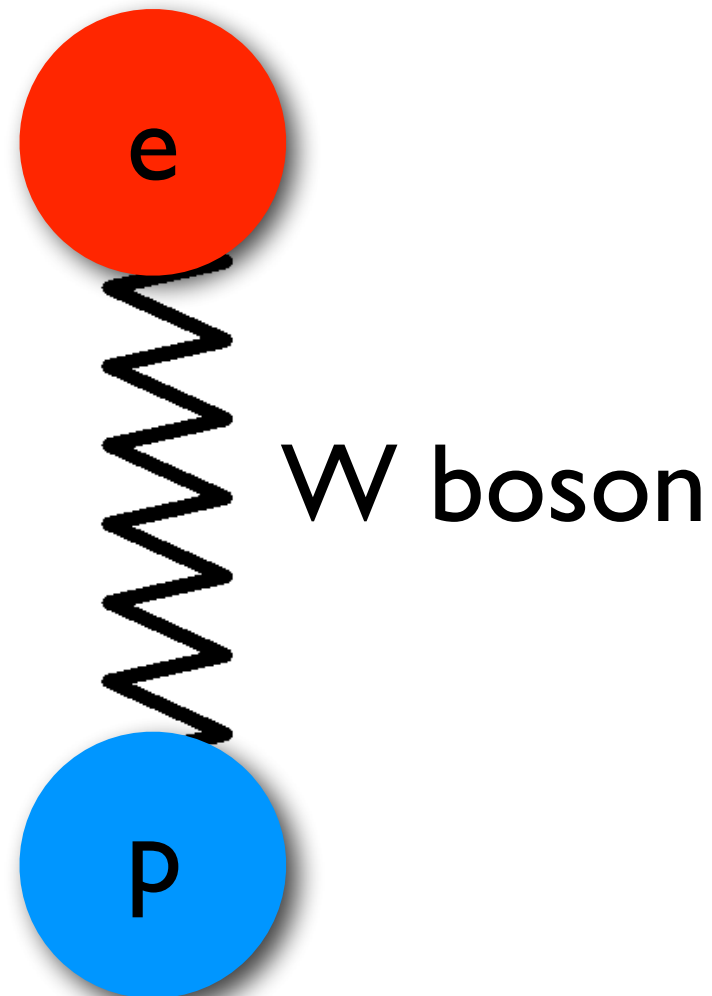
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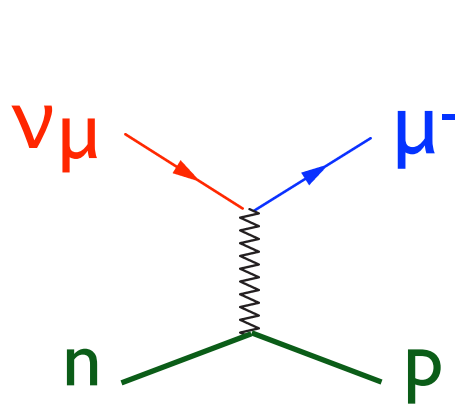


First step in a program for error bars on neutrino-nucleus cross sections: the most elementary process.

- nucleon scattering by the neutrino

First step in a program for error bars on neutrino-nucleus cross sections: the most elementary process.

Start with the basic process



$$\sigma(\nu n \rightarrow \mu p) = |\cdots F_A(q^2) \cdots|^2$$

poorly known axial-vector form factor

What is the status of nucleon-level amplitudes, the basic building block for neutrino-nucleus cross sections?

A common ansatz for F_A has been employed for the last ~ 40 years:

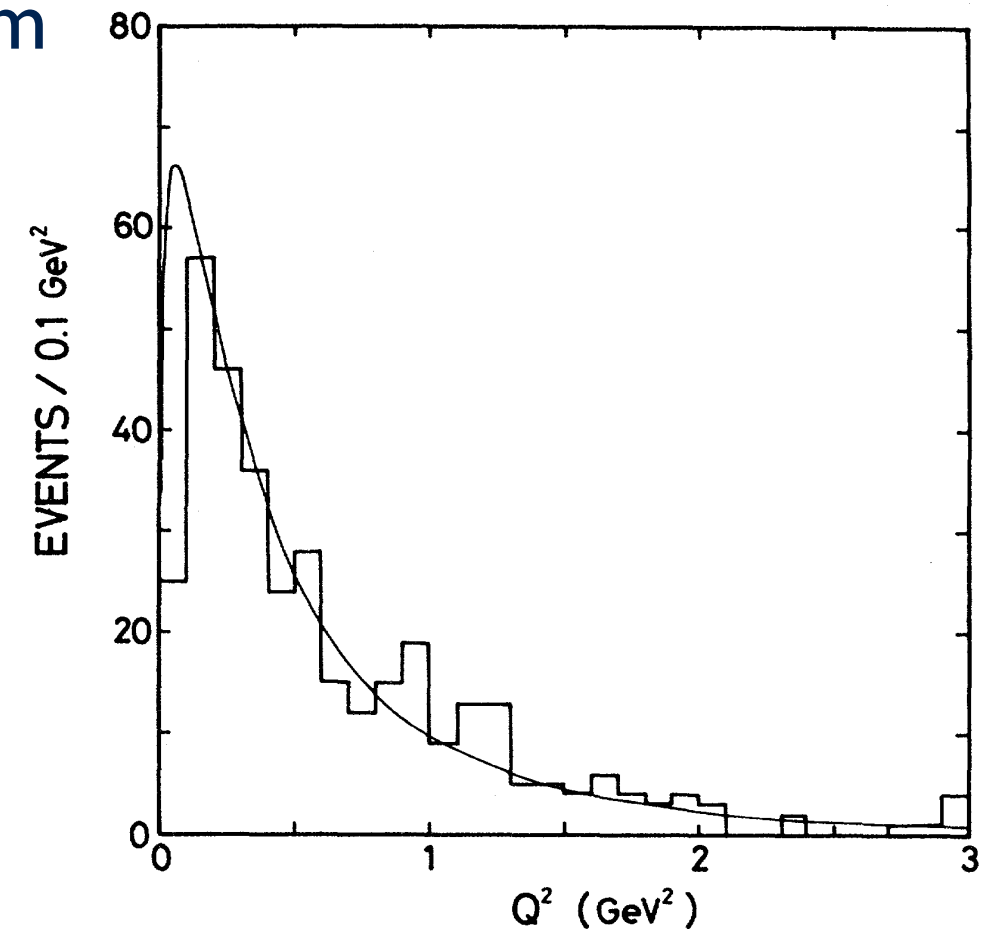
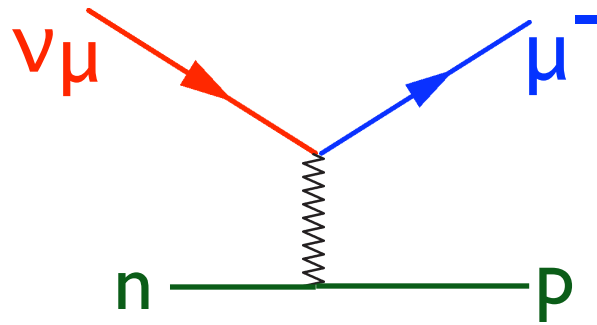
$$F_A^{\text{dipole}}(q^2) = F_A(0) \left(1 - \frac{q^2}{m_A^2}\right)^{-2}$$

Inconsistent with QCD.

Typically quoted uncertainties are small (e.g. compared to proton charge form factor)

$$\frac{1}{F_A(0)} \frac{dF_A}{dq^2} \bigg|_{q^2=0} \equiv \frac{1}{6} r_A^2 \quad r_A = 0.674(9) \text{ fm}$$

Best source of almost-free neutrons: deuterium



Fermilab 15-foot deuterium bubble chamber, PRD 28, 436 (1983)

also:

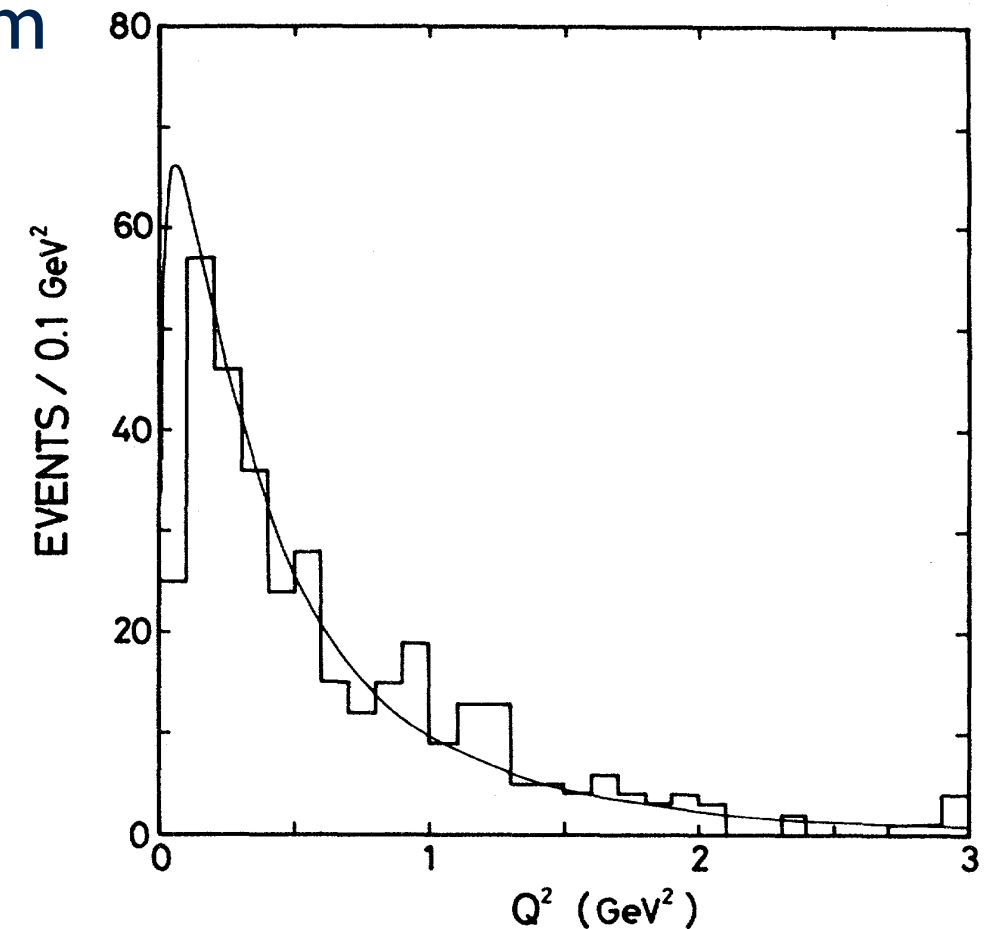
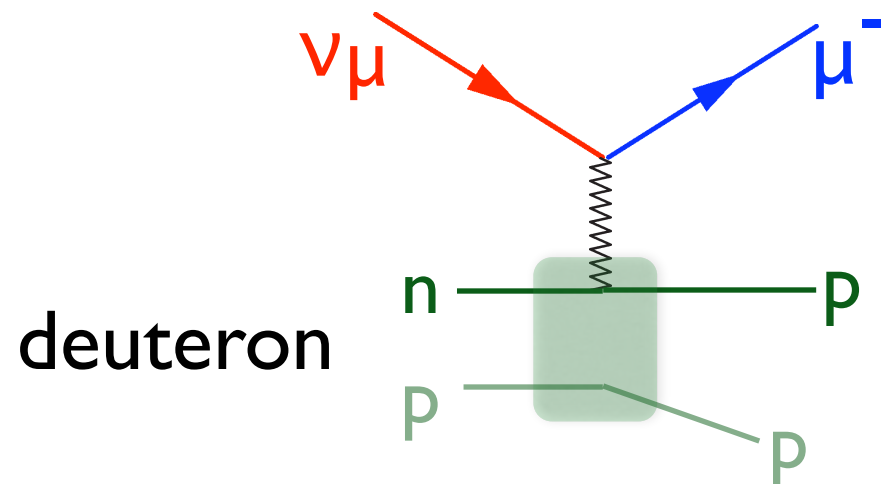
ANL 12-foot deuterium bubble chamber, PRD 26, 537 (1982)

BNL 7-foot deuterium bubble chamber, PRD23, 2499 (1981)

Deuterium bubble chamber data

- small(-ish) nuclear effects
- small(-ish) experimental uncertainties
- small statistics, ~3000 events in world data

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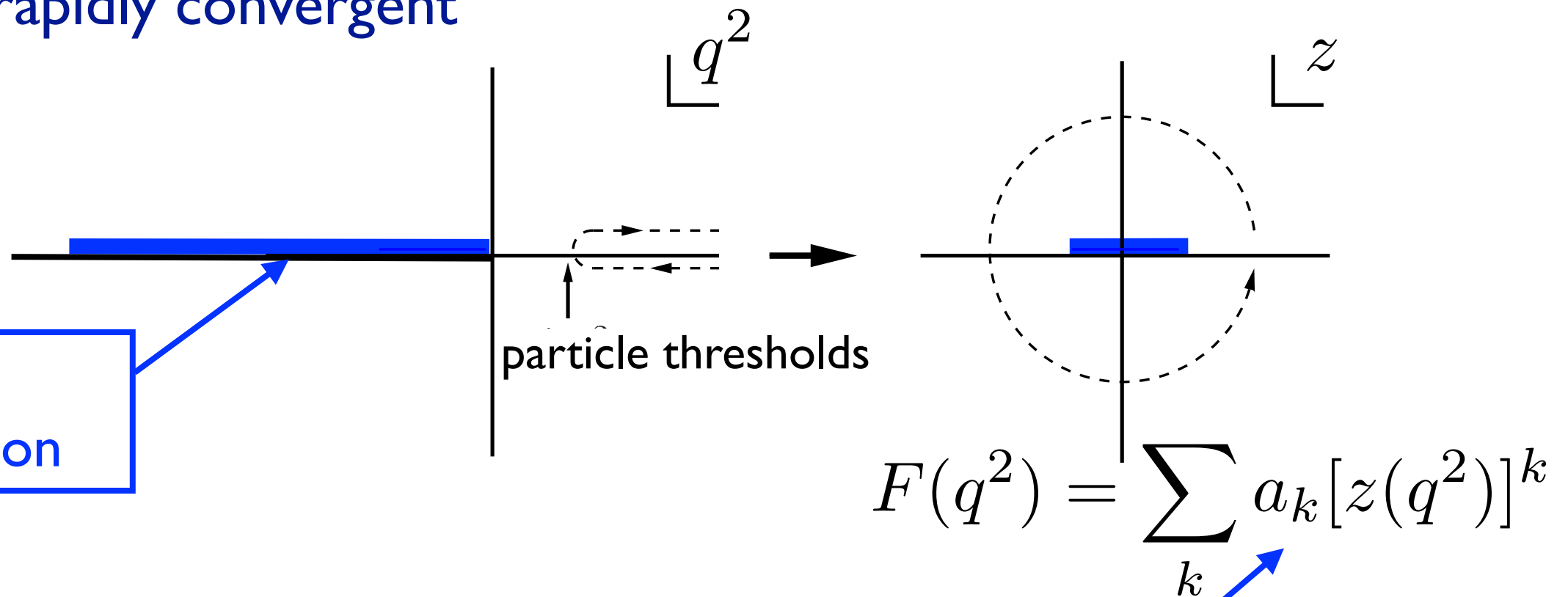
Deuterium bubble chamber data

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HEP toolbox is being applied to precision lepton-nucleon scattering

Basic problem: don't know form factor shapes, so don't know what we're constraining

Underlying QCD tells us that Taylor expansion in appropriate variable is rapidly convergent



experimental
kinematic region

coefficients in rapidly
convergent expansion encode
nonperturbative QCD

Systematically improvable, quantifiable uncertainties

This approach has been very successful in other processes

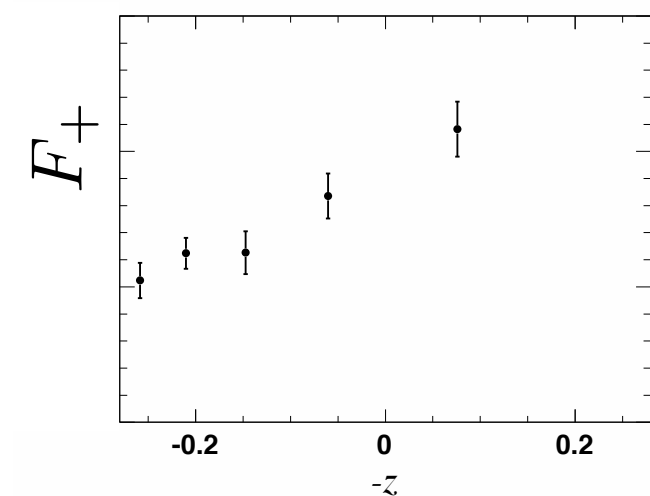
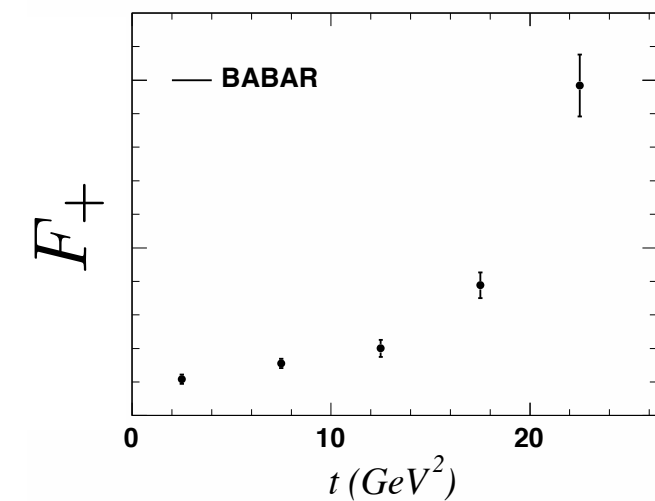
E.g., $B \rightarrow \pi e \nu$: $|z| < 0.28$

(Similarly $K \rightarrow \pi e \nu$: $|z| < 0.047$)

RJH hep-ph/0607108, KTeV hep-ex/0608058

Becher, RJH hep-ph/0509090

$$\frac{d\mathcal{B}}{dq^2} \sim |V_{ub}|^2 |F_+(q^2)|^2$$



simple shape in z

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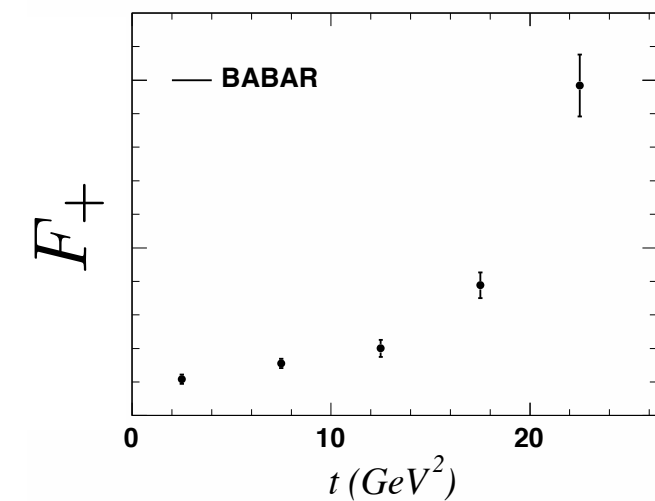
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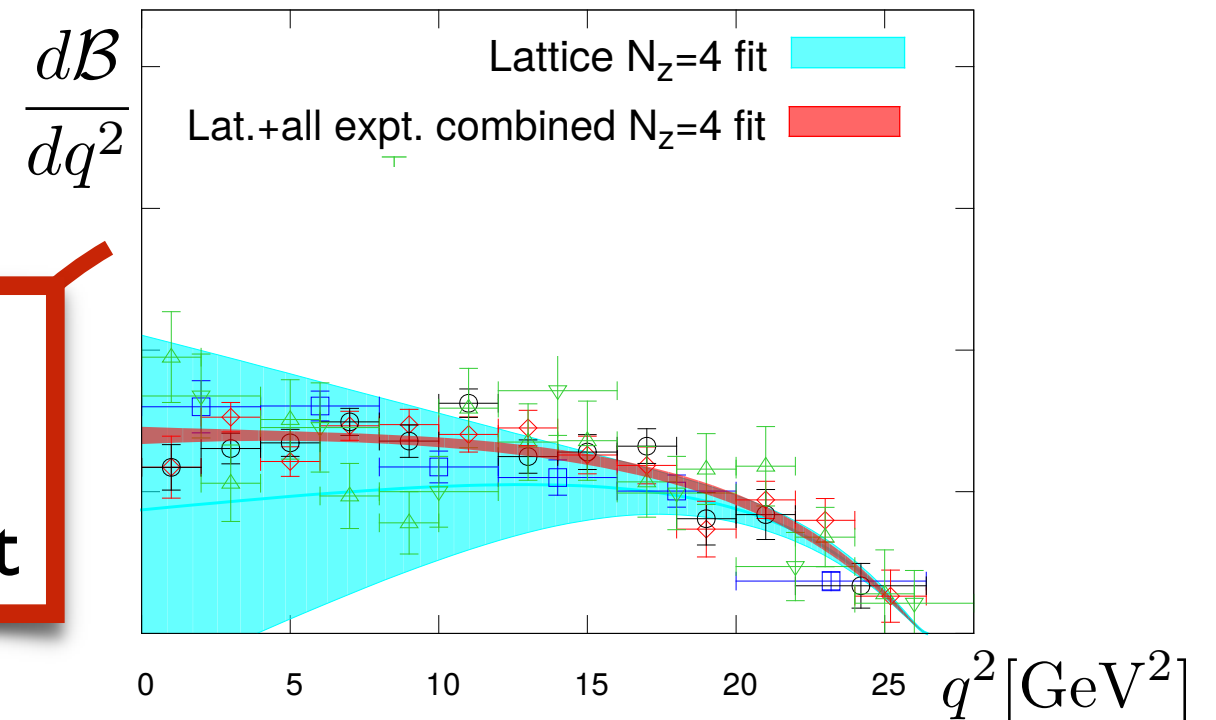
Becher, RJH hep-ph/0509090

$$\frac{d\mathcal{B}}{dq^2} \sim |V_{ub}|^2 |F_+(q^2)|^2$$

Fermilab lattice/MILC 1503.07839

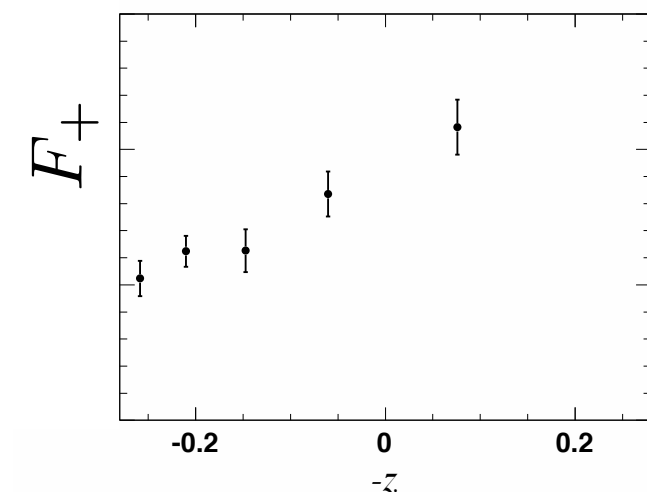


systematic
combination of
lattice, experiment

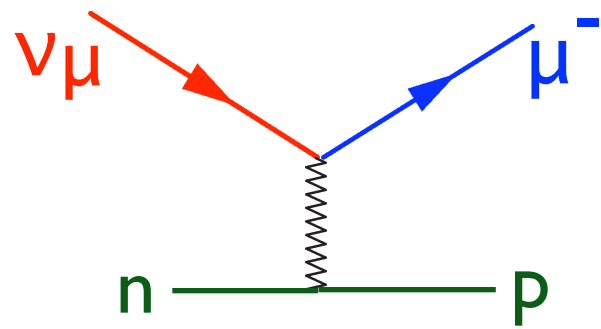


simple shape in z

world's best determination
of $|V_{ub}|$



Adapt these tools for neutrino - hadron scattering



$$\nu_\mu + n \rightarrow \mu^- + p,$$
$$0 < Q^2 < 3 \text{ GeV}^2$$

$$|z| < 0.35$$

Will give an overview and main results of this analysis

M. Betancourt, R. Gran, RJH, A. Meyer (to appear)

Event-level data from the deuterium experiments has been lost

Ab initio flux estimates have poorly constrained systematics.

- Use published distributions in neutrino energy to determine flux self-consistently:

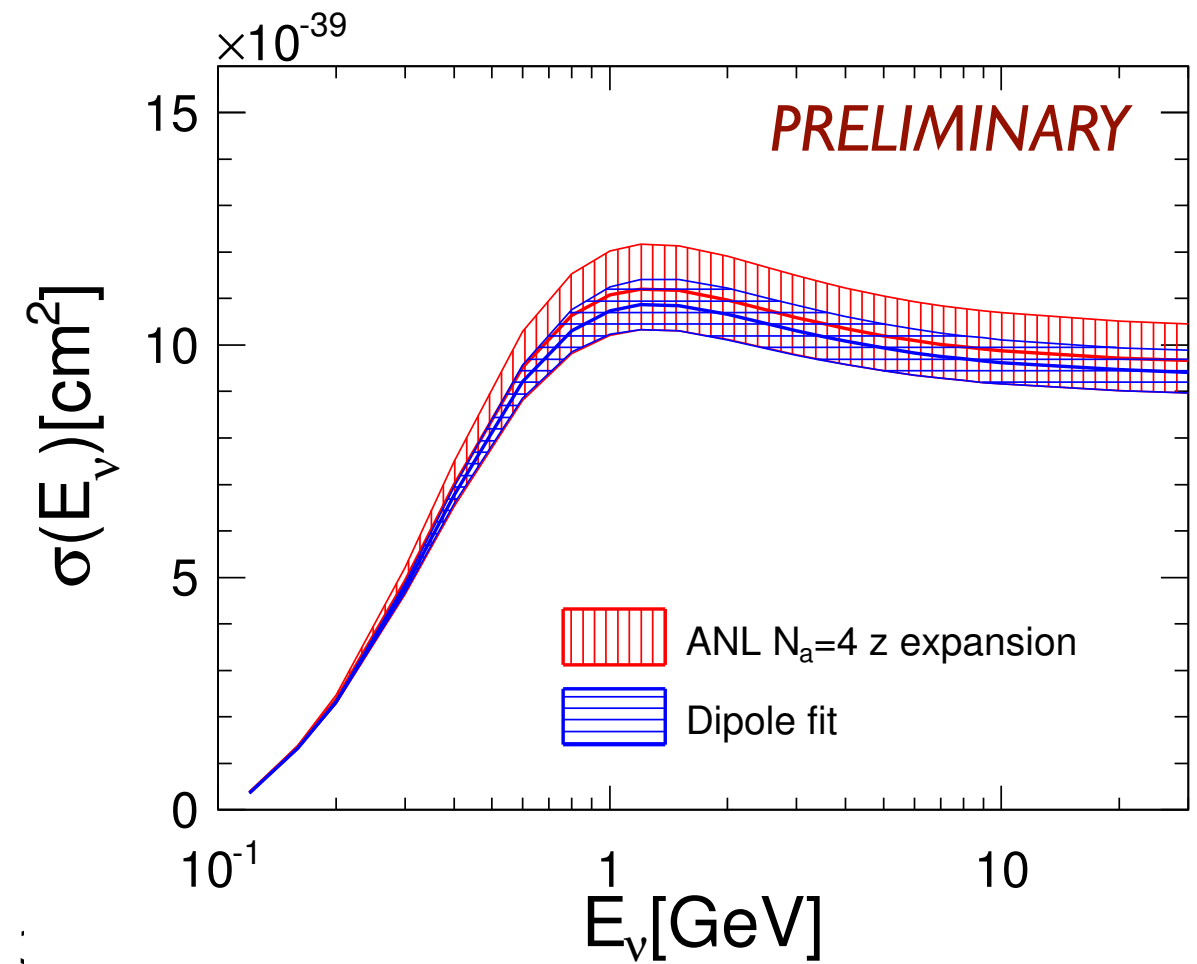
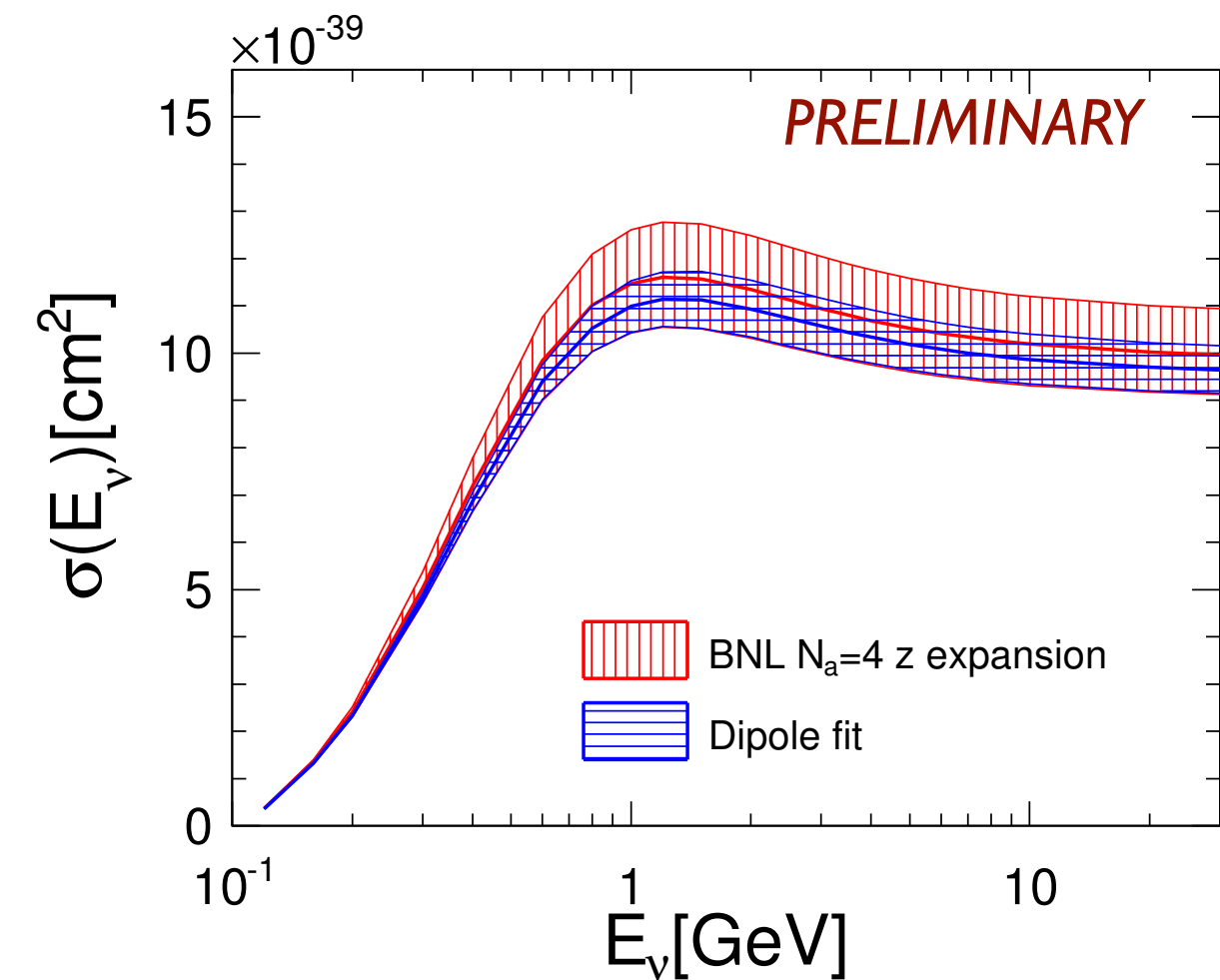
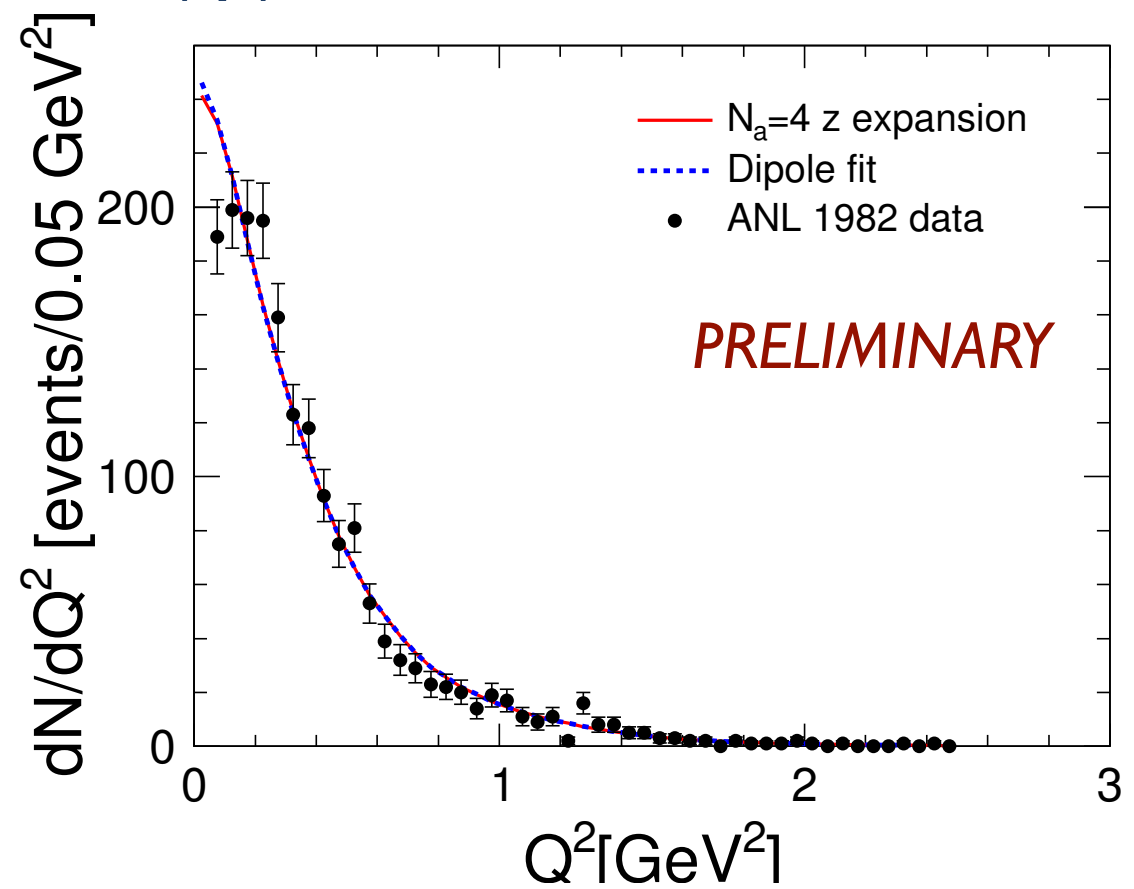
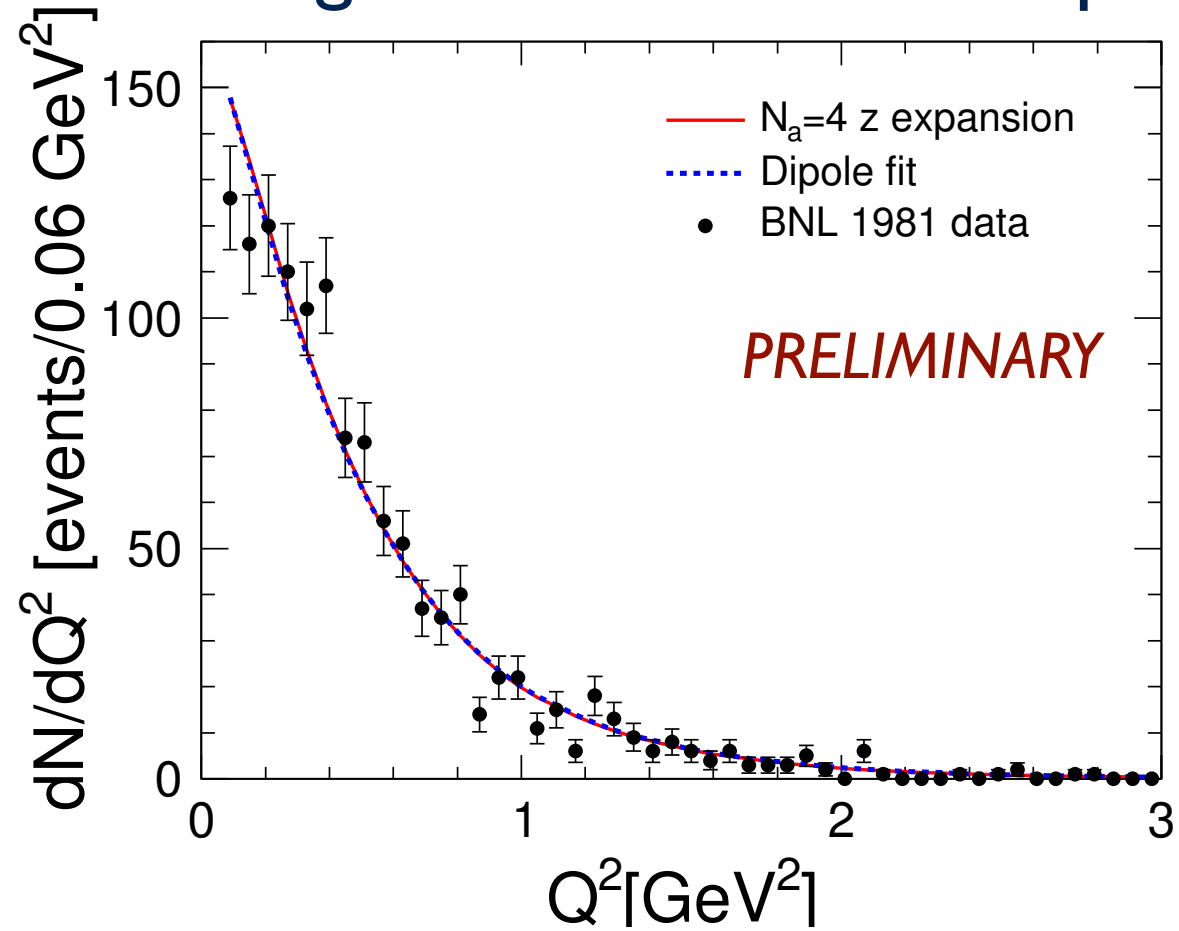
$$\Phi(E_\nu) dE_\nu = \frac{1}{\sigma(E_\nu, F_A)} \frac{dN}{dE_\nu} dE_\nu$$

- Fit to published Q^2 distributions to determine F_A

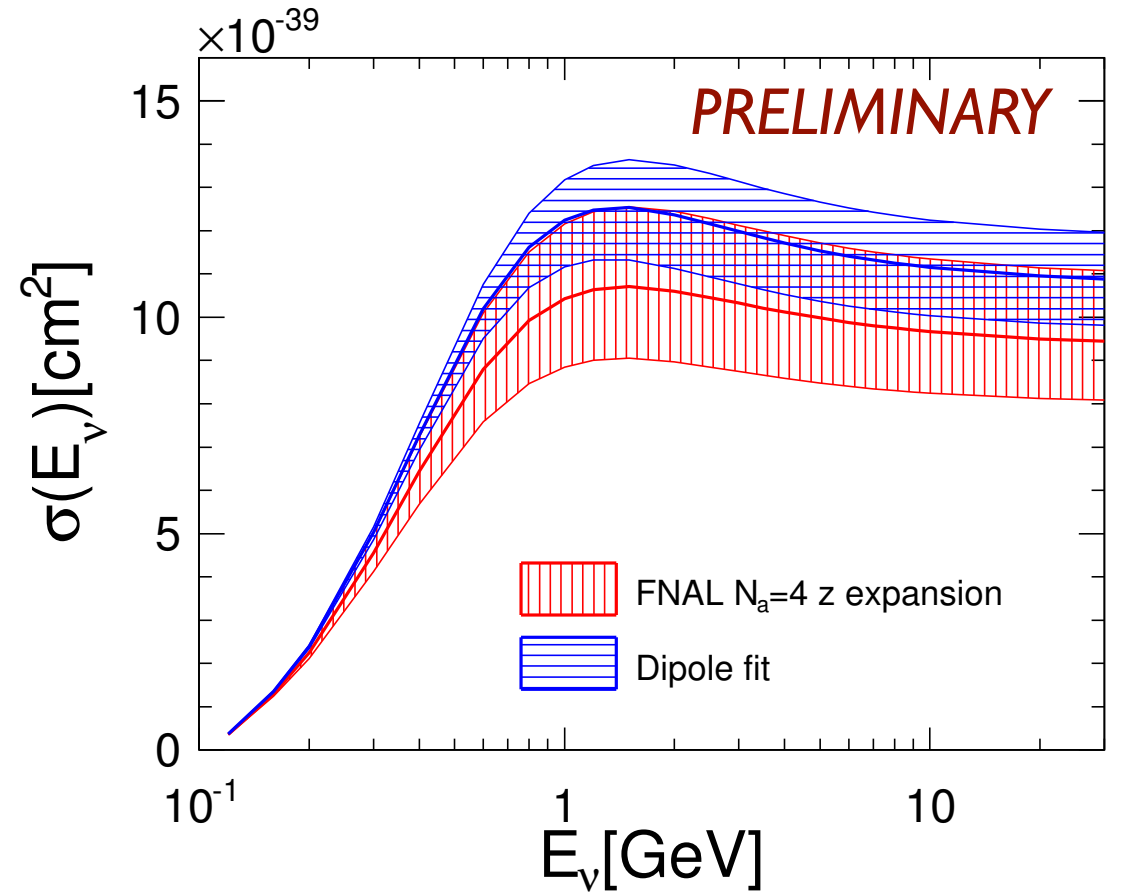
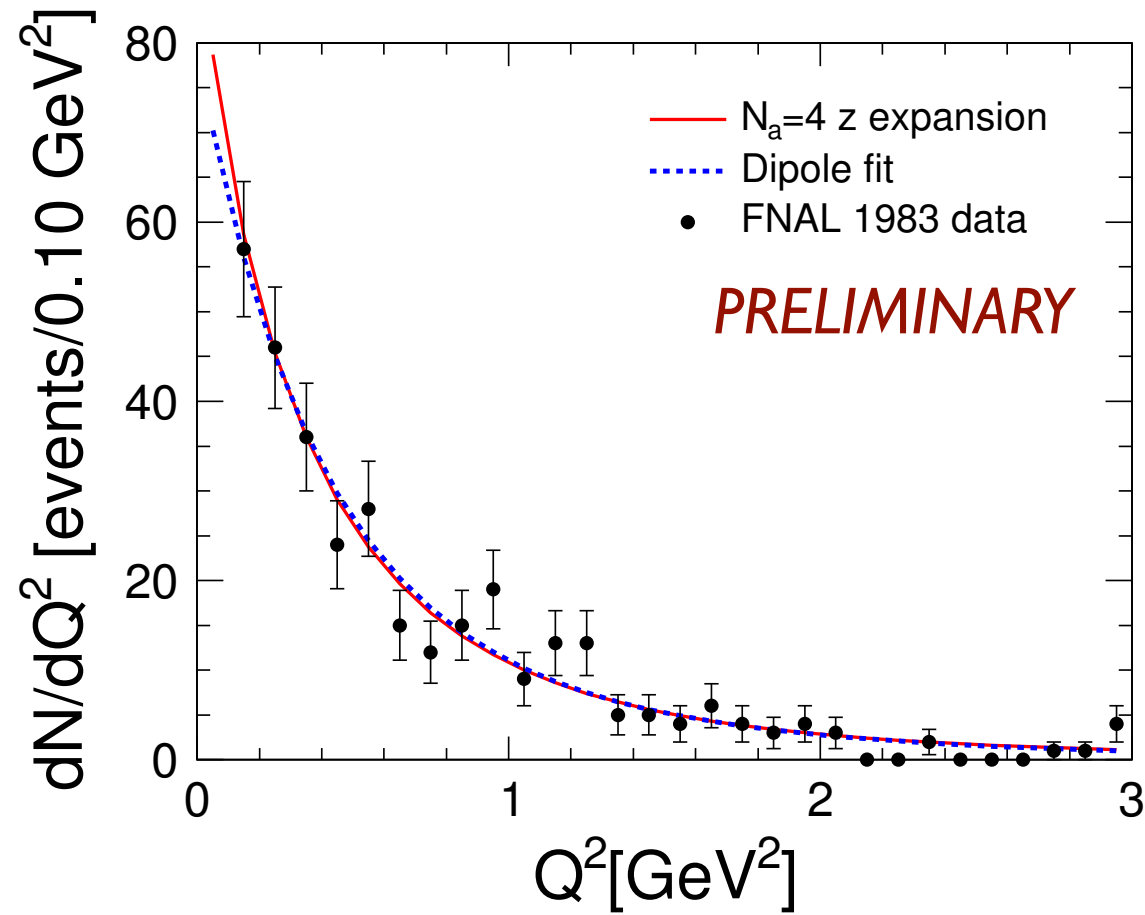
Reproduced results of original publications under same assumptions

Replaced dipole F_A with model-independent z expansion (and updated other parameter values)

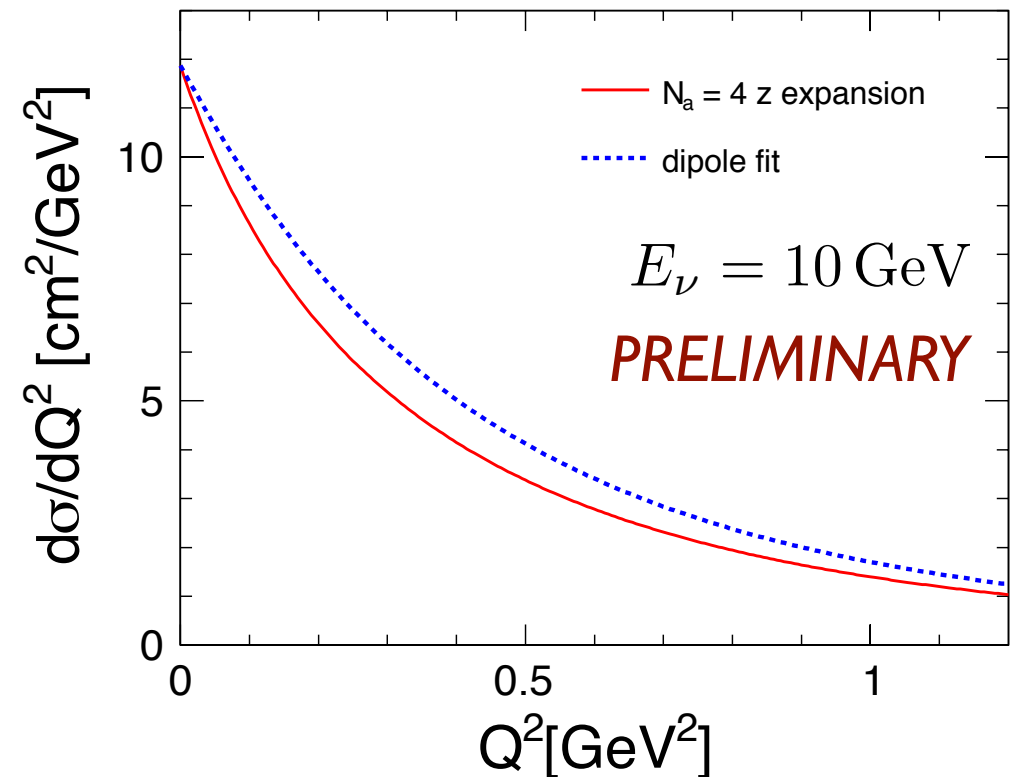
Binned log likelihood fit to z-expansion $F_A(q^2)$



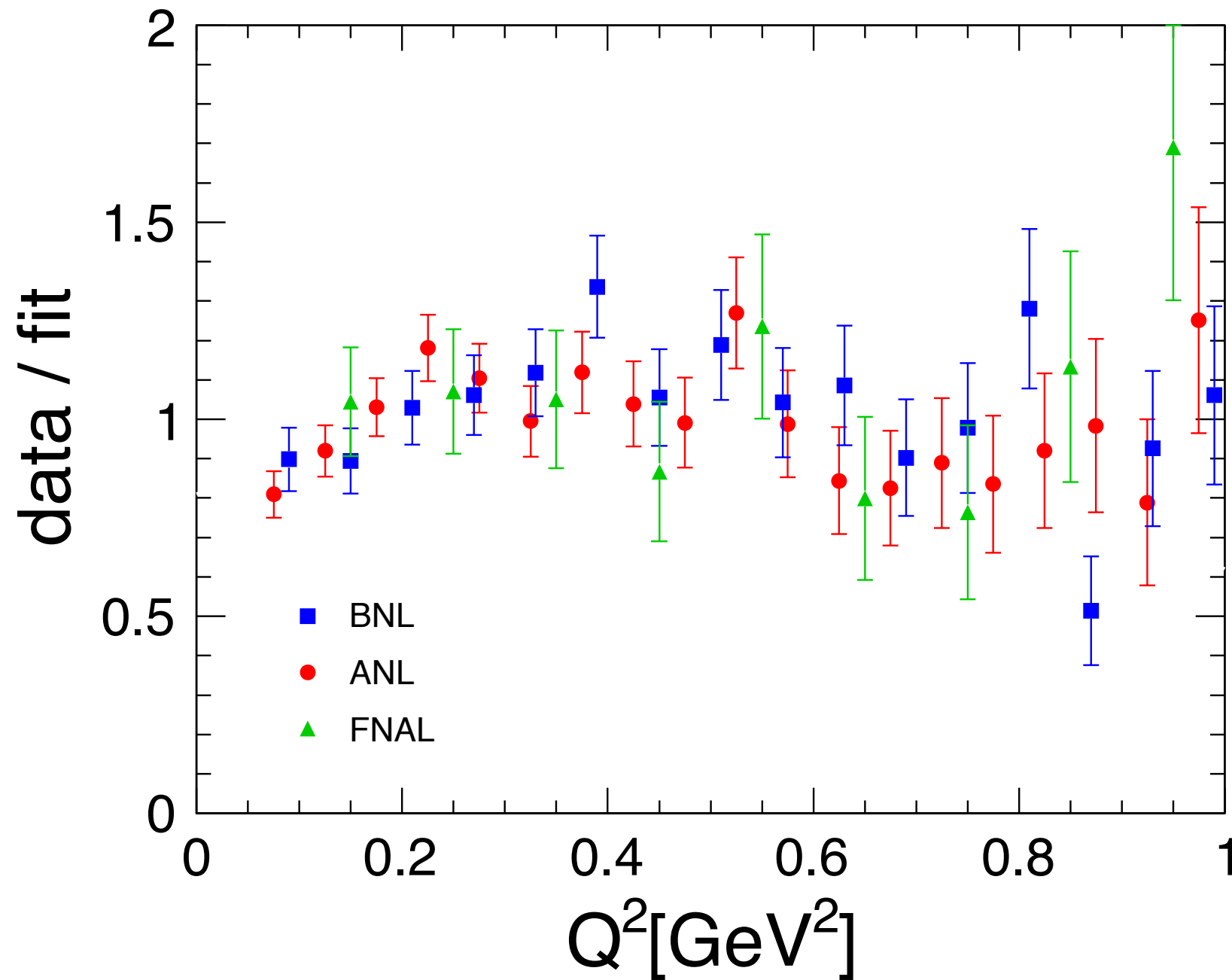
Dipole and z expansion yield different F_A



(recall floating normalization and self-consistent flux: different F_A can yield similar dN/dQ^2 in fit range)



Data are in tension with any F_A described by QCD



$$\chi^2 = 30 \text{ (16 points, BNL)}$$

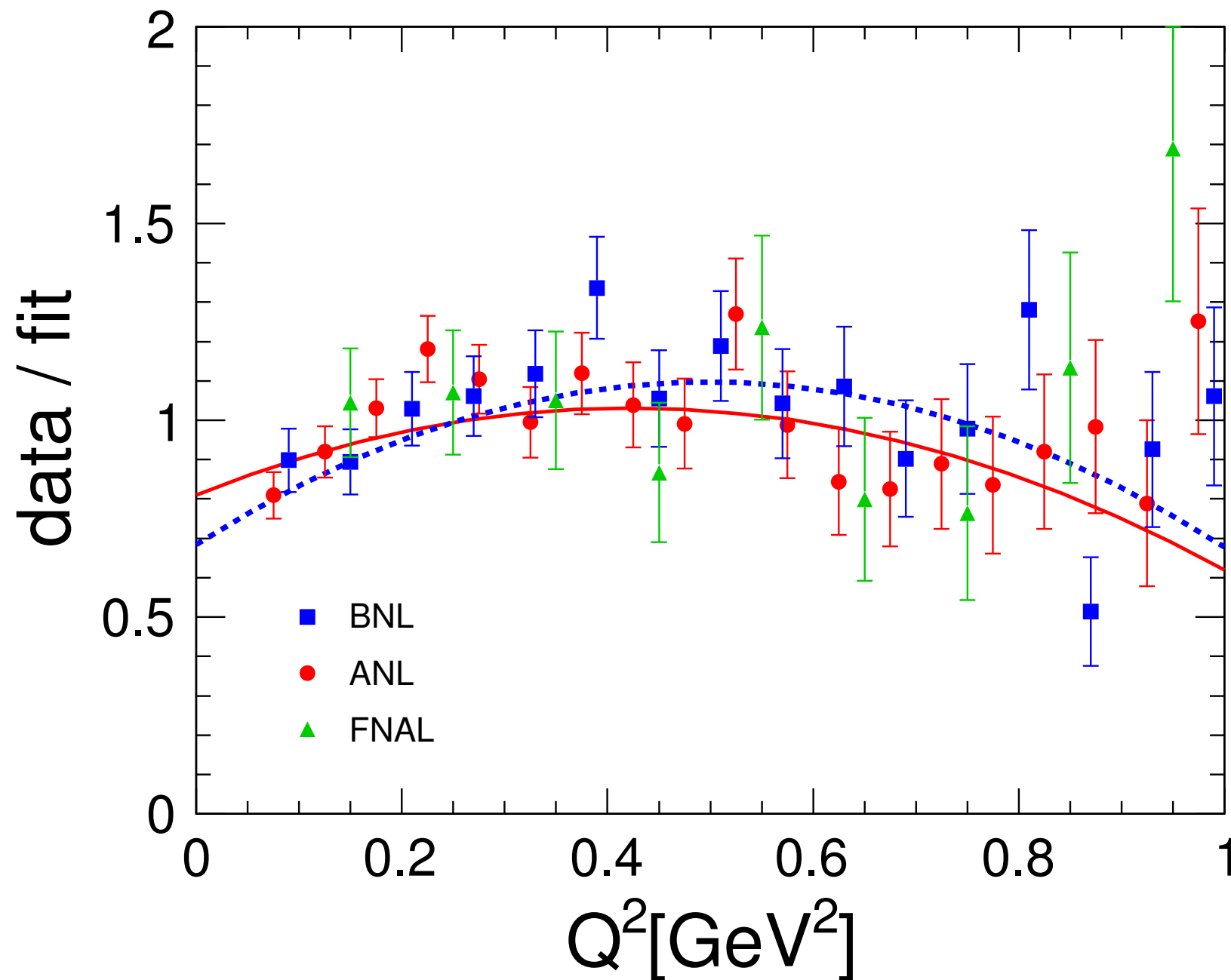
$$\chi^2 = 32 \text{ (19 points, ANL)}$$

Possible correlated effect between datasets, including deficit at small Q^2

Revisit systematics:

- experimental acceptance/efficiency correction
- theoretical deuteron correction

Data are in tension with any F_A described by QCD



$\chi^2 = 30$ (16 points, BNL)

$\chi^2 = 32$ (19 points, ANL)

Possible correlated effect between datasets, including deficit at small Q^2

Revisit systematics:

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- theoretical deuteron correction

- experimental acceptance/efficiency correction

allow for correlated variation: $\eta=0 \pm 1$

$$\frac{dN}{e(Q^2)} \rightarrow \frac{dN}{e(Q^2) + de(Q^2)} = \frac{dN}{e(Q^2)} \left(1 + \eta_e \frac{de(Q^2)}{e(Q^2)} \right)^{-1}$$

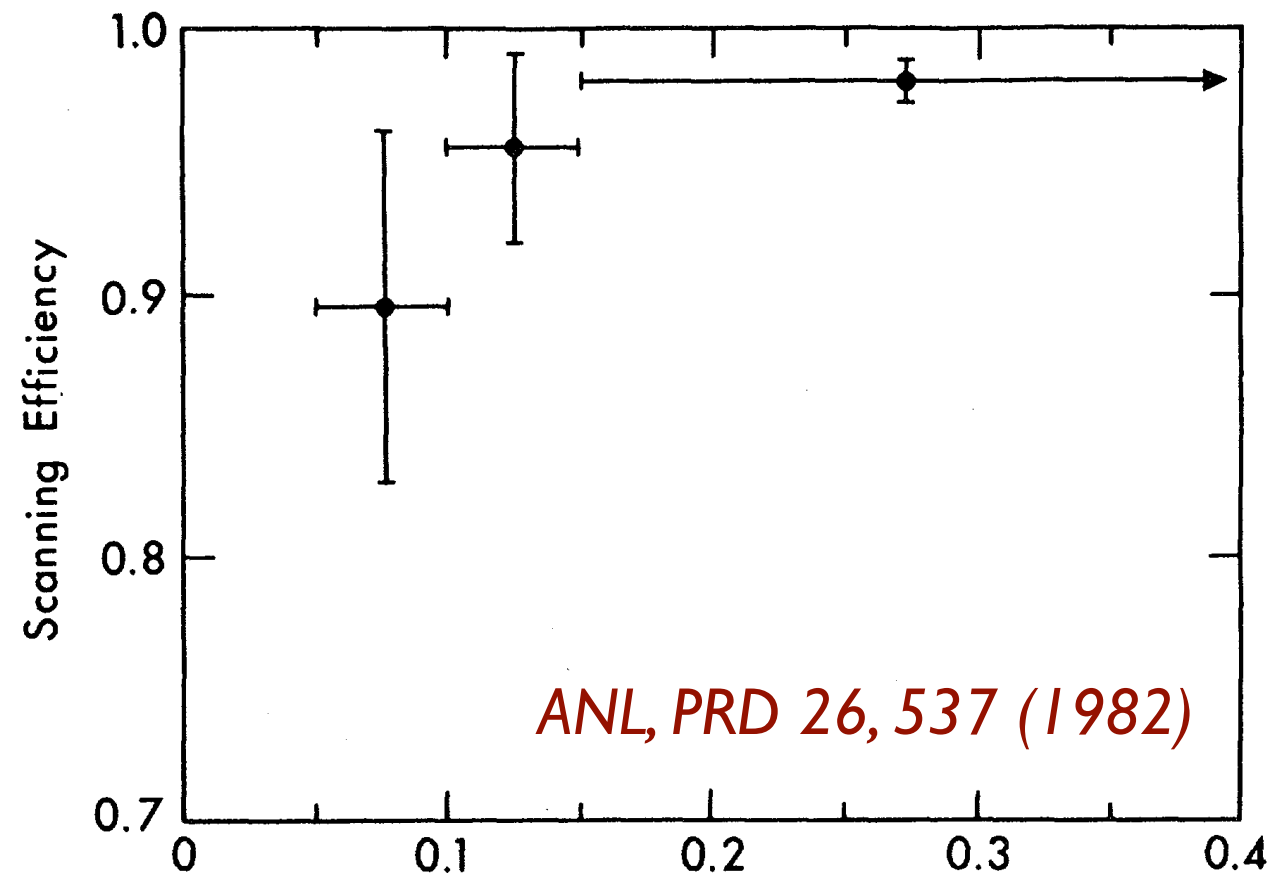
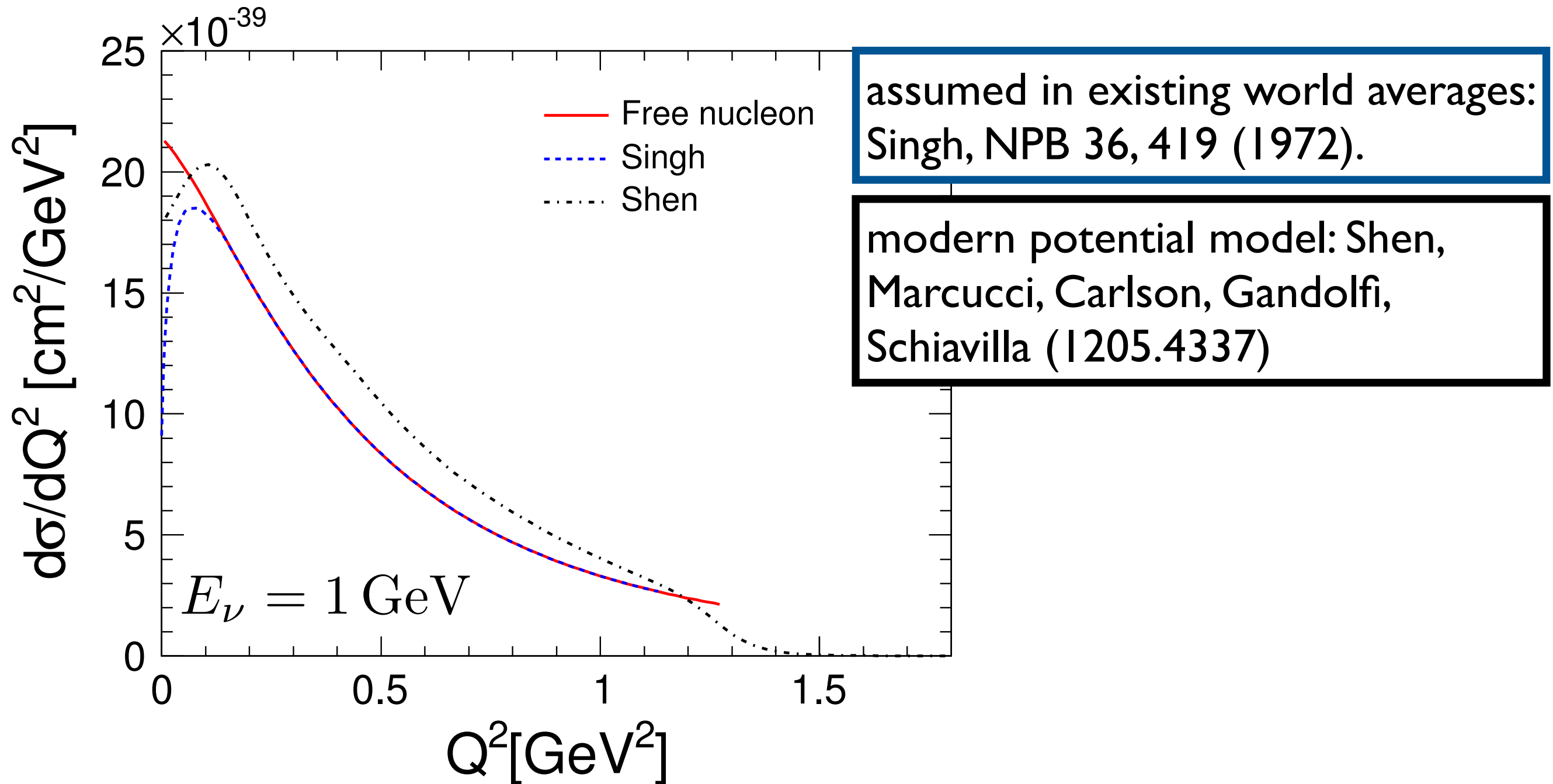


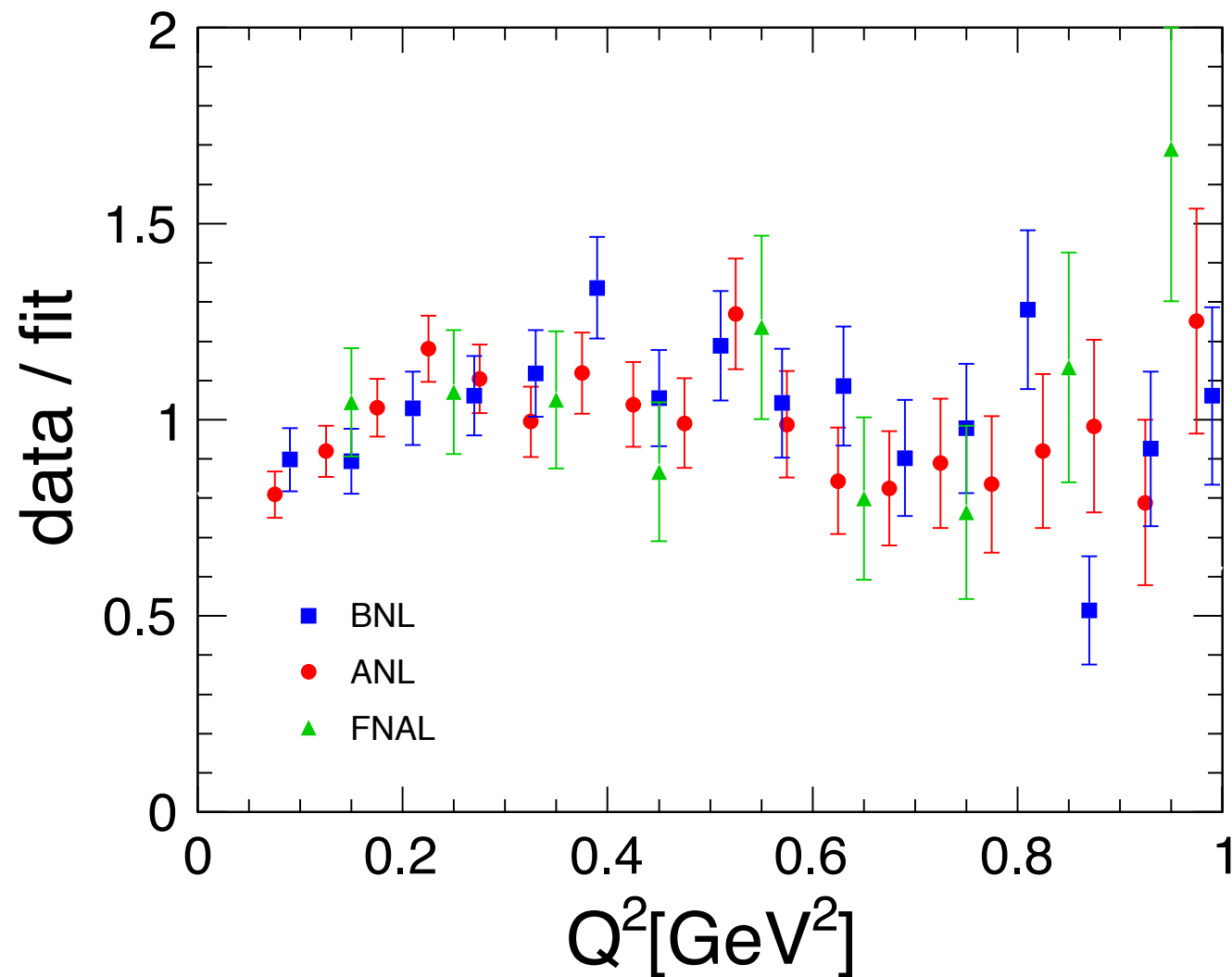
FIG. 1. Scanning efficiency as a function of momentum transfer squared.

data prefer $\eta \neq 0$ (ANL: $\eta = -1.9$, BNL: $\eta = -1$), but no significant improvement in fit quality

- theoretical deuteron correction



An open problem to quantify uncertainty, especially at larger energy



In final determination :

- joint fit to all data (ANL, BNL, FNAL)
- include correlated efficiency correction (for each dataset)
- include additional uncorrelated error to achieve $\chi^2/\text{d.o.f.} = 1$ ($\delta N/N \approx 10\%$)

Deuterium constraints on F_A

$$F_A(q^2) = \sum_k a_k [z(q^2)]^k$$

Complete description: coefficients, errors and correlations

$$(a_1, a_2, a_3, a_4) = (2.29^{+0.13}_{-0.13}, -0.6^{+1.0}_{-1.0}, -3.7^{+2.5}_{-2.6}, 2.2^{+2.7}_{-2.7})$$

$$C_{ij} = \begin{pmatrix} 1 & 0.351 & -0.679 & 0.611 \\ 0.351 & 1 & -0.898 & 0.369 \\ -0.679 & -0.898 & 1 & -0.686 \\ 0.611 & 0.369 & -0.686 & 1 \end{pmatrix}$$

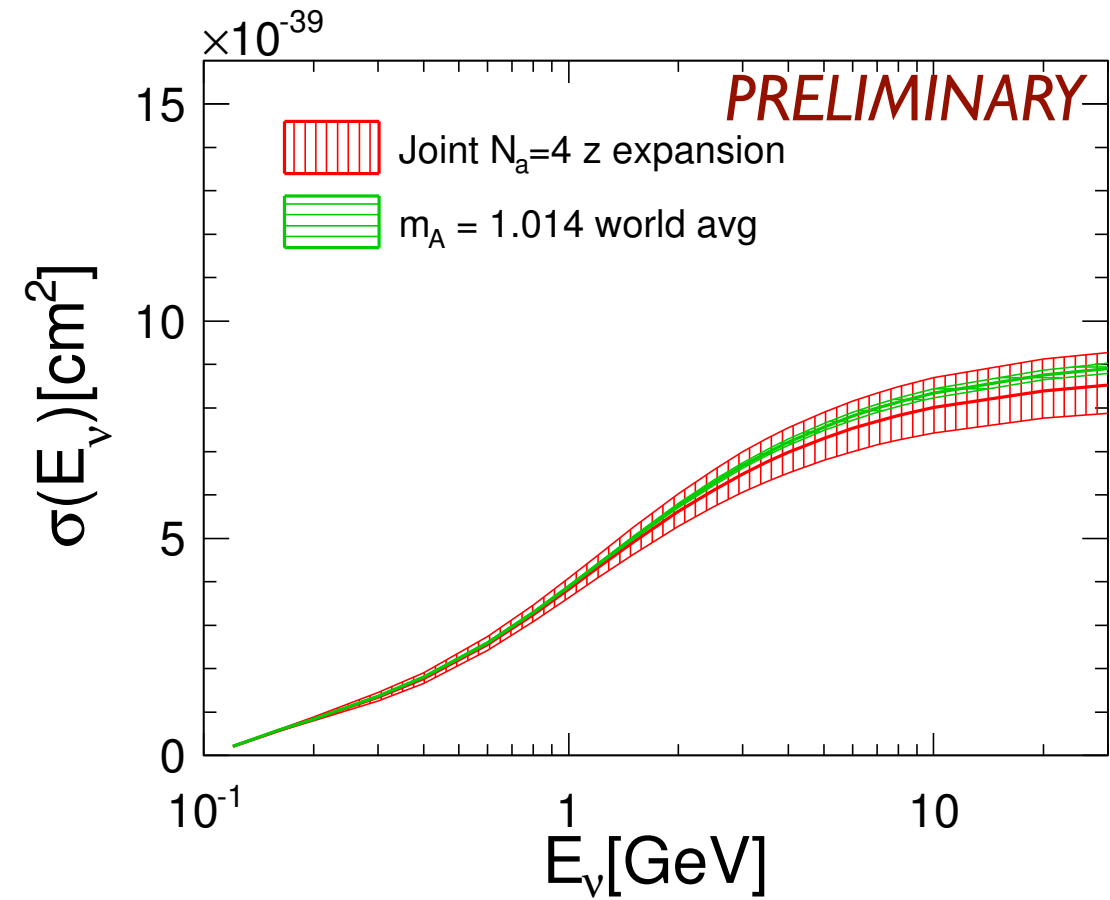
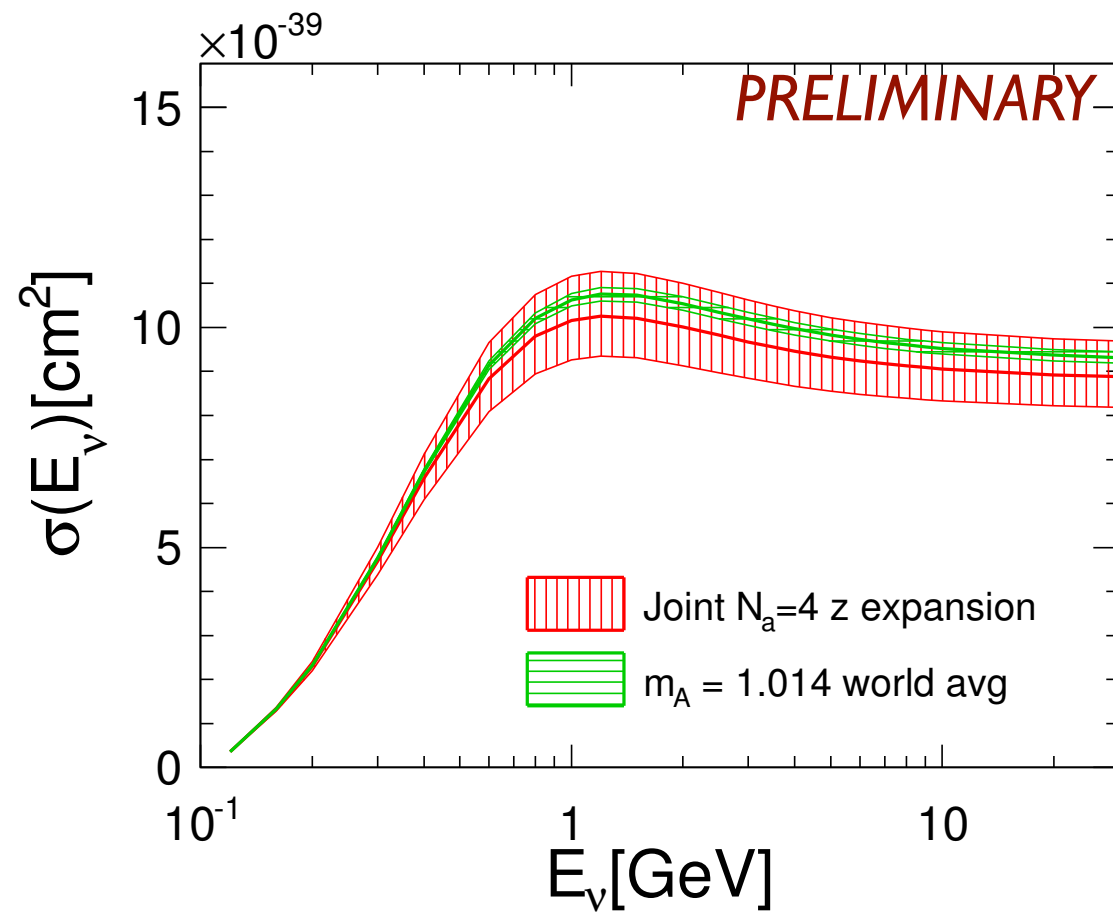
Derived observables: 1) axial radius

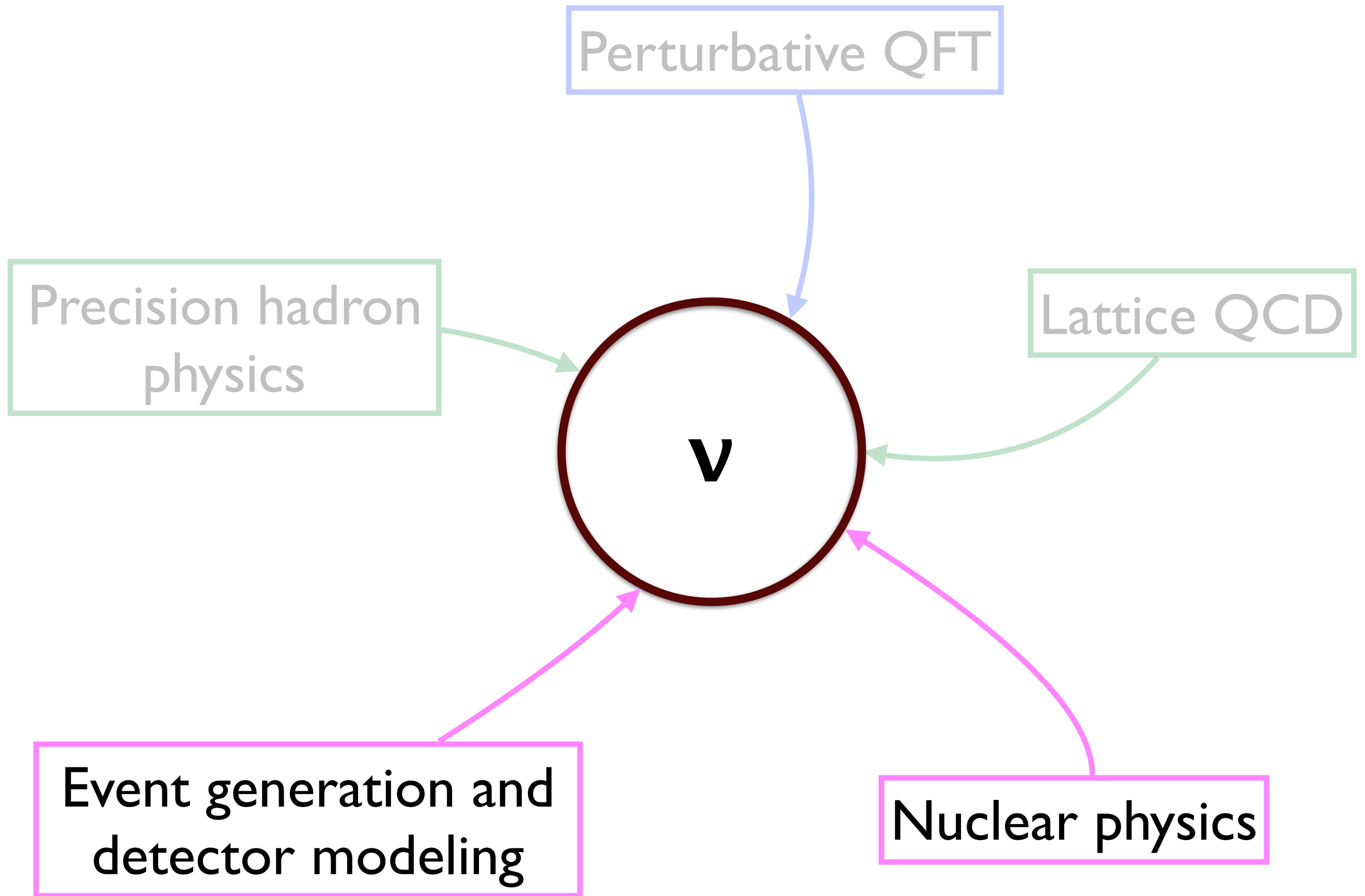
$$\frac{1}{F_A(0)} \left. \frac{dF_A}{dq^2} \right|_{q^2=0} \equiv \frac{1}{6} r_A^2$$

$$r_A^2 = 0.47(31) \text{ fm}^2 \quad \text{PRELIMINARY}$$

- order of magnitude larger uncertainty compared to dipole fits
- impacts comparison to other data, e.g. pion electroproduction, muon capture

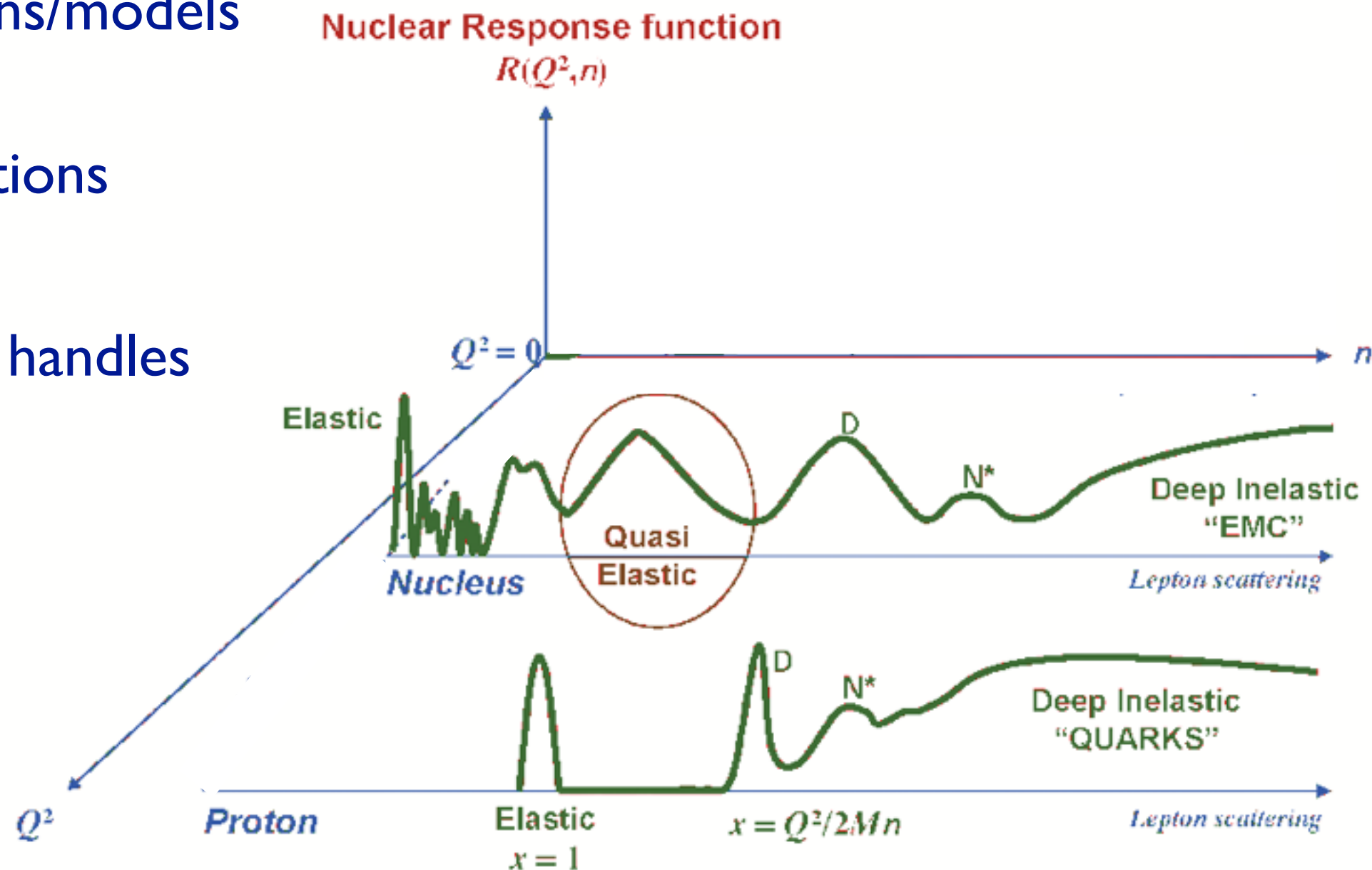
Derived observables: 2) neutrino-nucleon quasi elastic cross sections





Rigorous nucleon-level inputs (with error bars!) provide foundation for neutrino-nucleus predictions

- ab initio calculations/models
- clever event selections
- new experimental handles

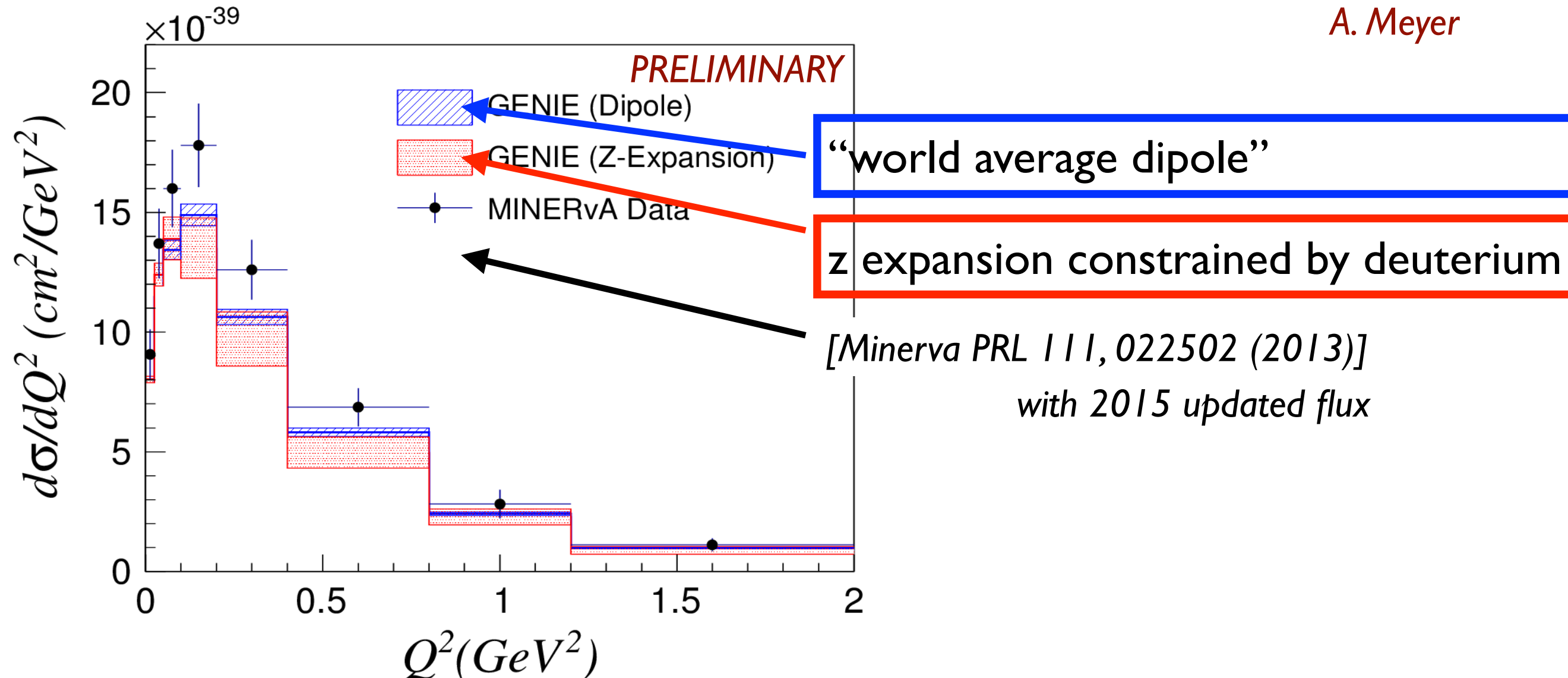


- ab initio calculations/models

New module for z
expansion and reweighting
in GENIE event generator

A. Meyer

Fit to MINERvA carbon data



⇒ Robust constraints on nuclear parameters (cf. parton distribution function determination at colliders)

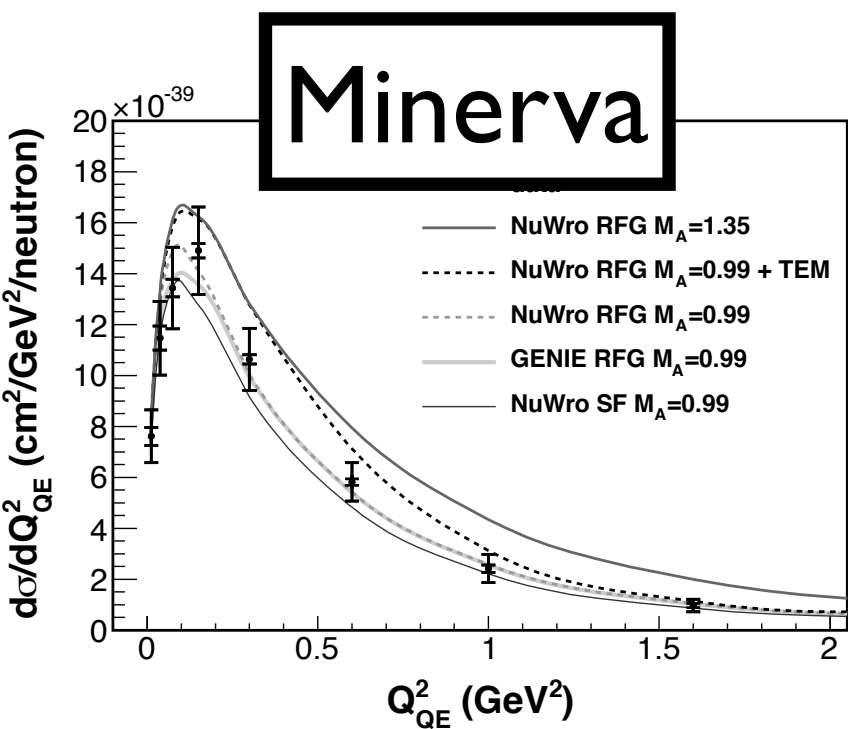
⇒ Robust errors propagated to oscillation observables

- ab initio calculations/models

Can we constrain a simple nuclear model for two-body contributions ?

$$\sigma = \sigma_{1\text{-body}} + f \sigma_{2\text{-body}}$$

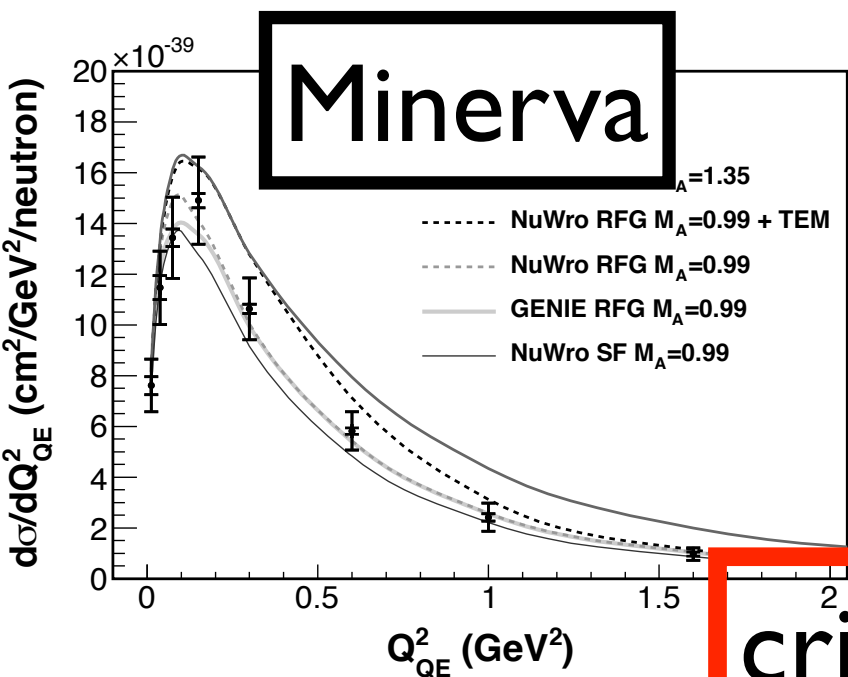
(GENIE MEC model)



+

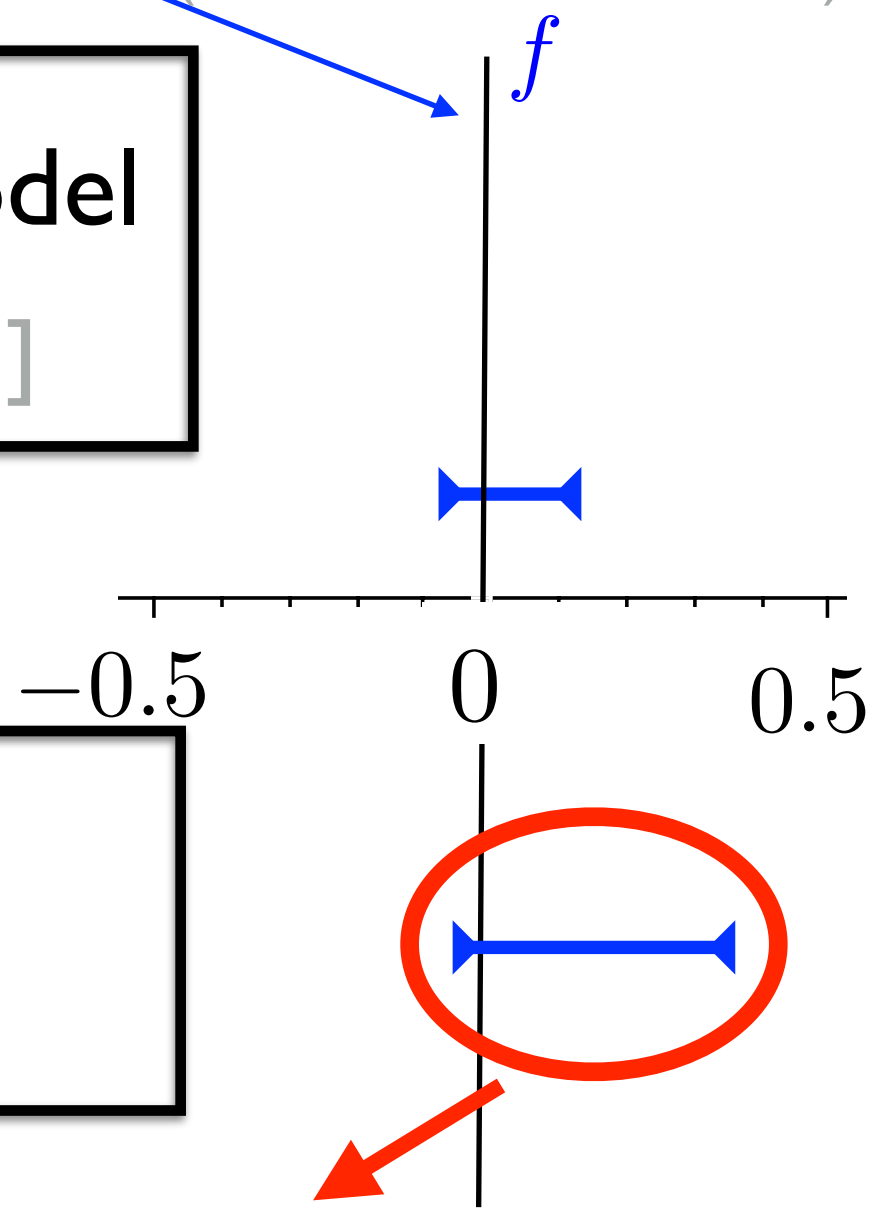
$F_A = \text{dipole model}$

[$m_A=1.01(2)$]



+

$F_A = \text{model-independent}$



critical to account for nucleon-level errors

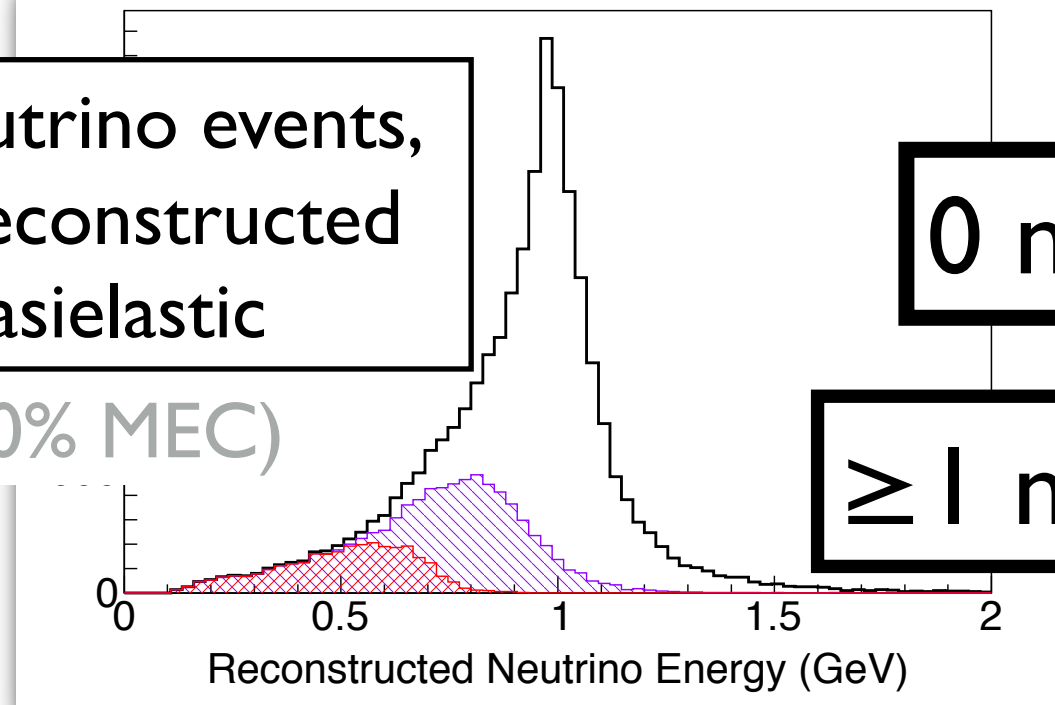
- clever event selections/new experimental handles

cf. colliders: define event classes to isolate underlying parton mechanisms (vector boson fusion, gluon fusion,...)

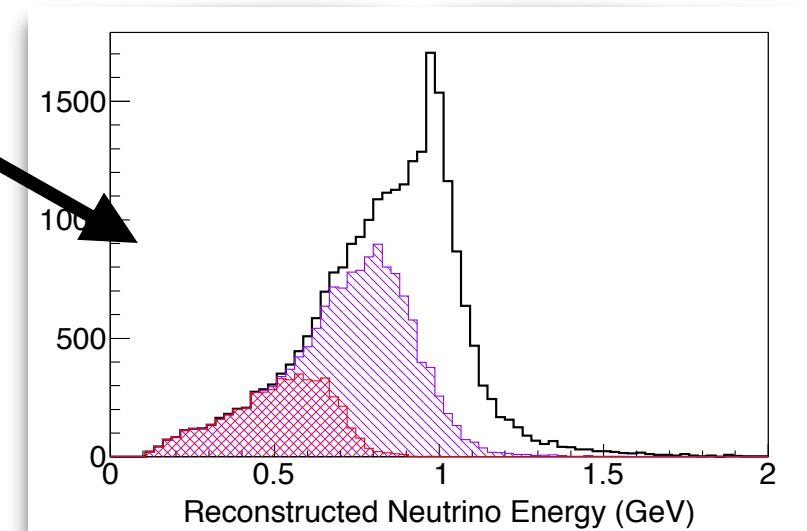
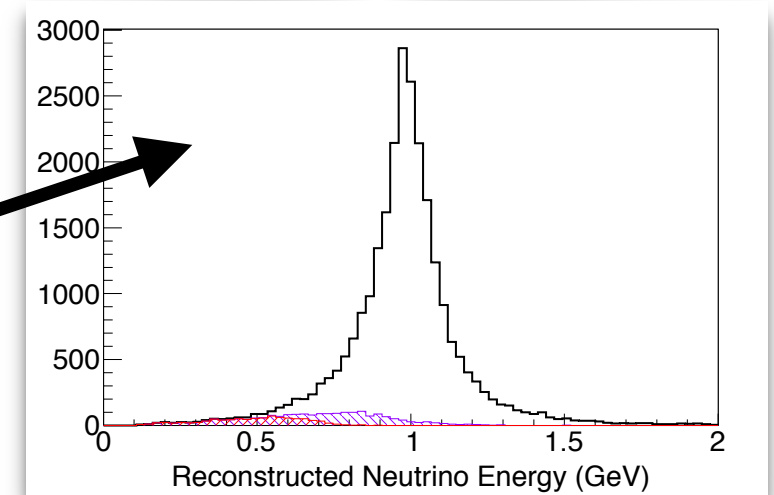
for neutrinos: define event classes with (in)sensitivity to underlying nucleon-level mechanisms (multinucleon processes,...)

1 GeV neutrino events,
0 pions, reconstructed
as quasielastic

(GENIE, +30% MEC)



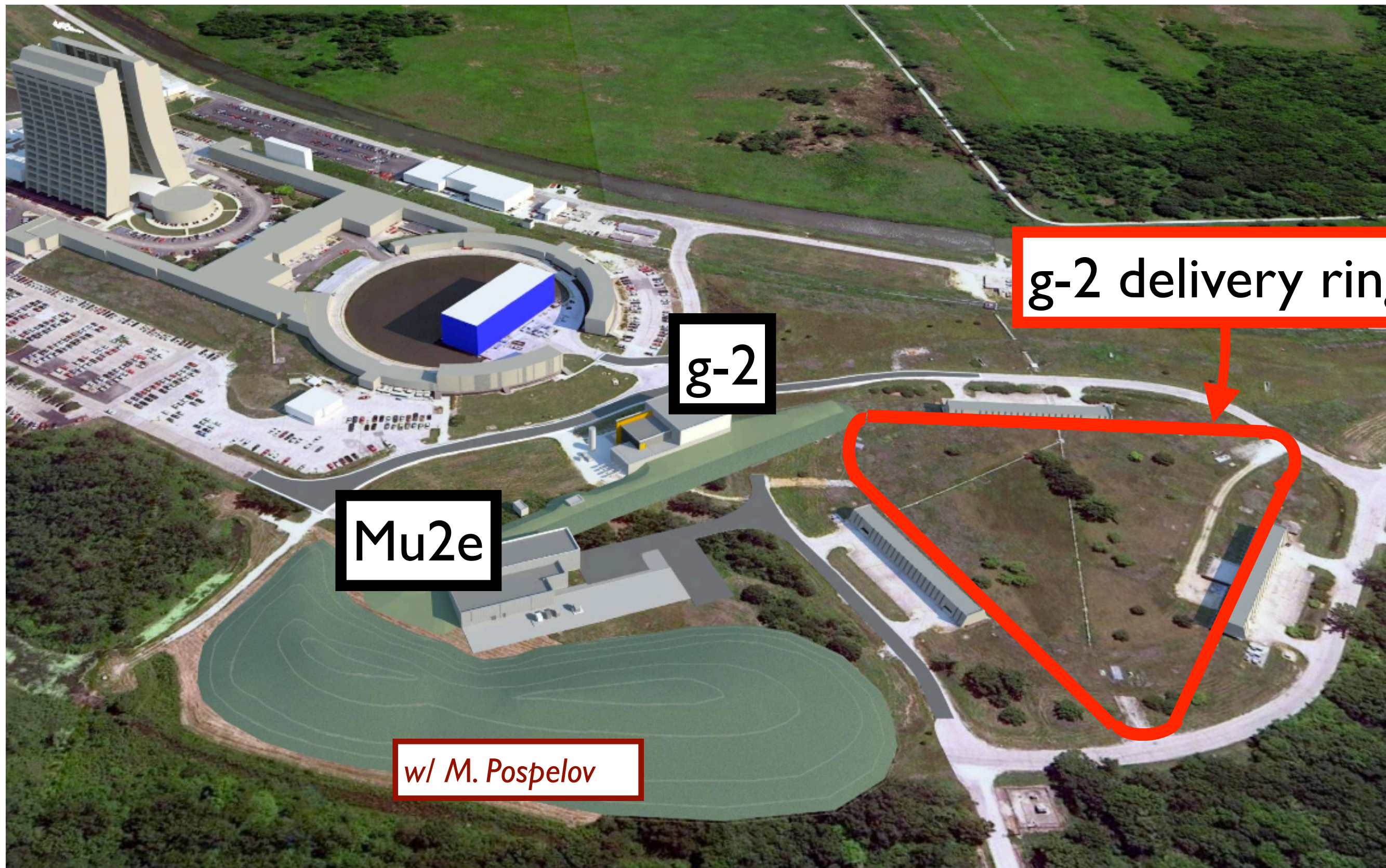
C. Blanco, M. Wetstein, RJH



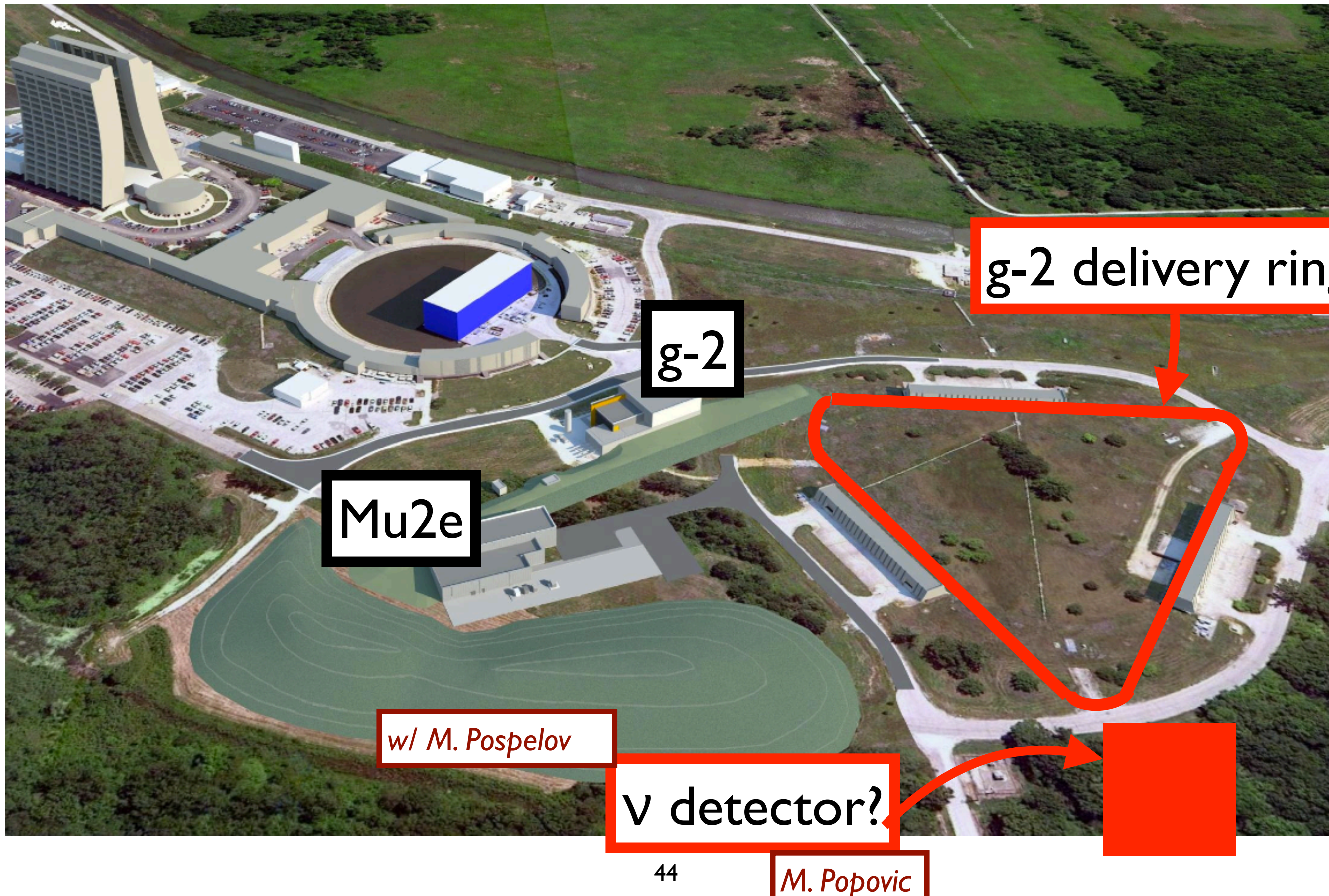
Capitalize on new detector technologies

- final state protons in LArTPC
- final state neutrons (ANNIE)
- simple flux (stored muons); multiple fluxes (“nuPRISM”), ...

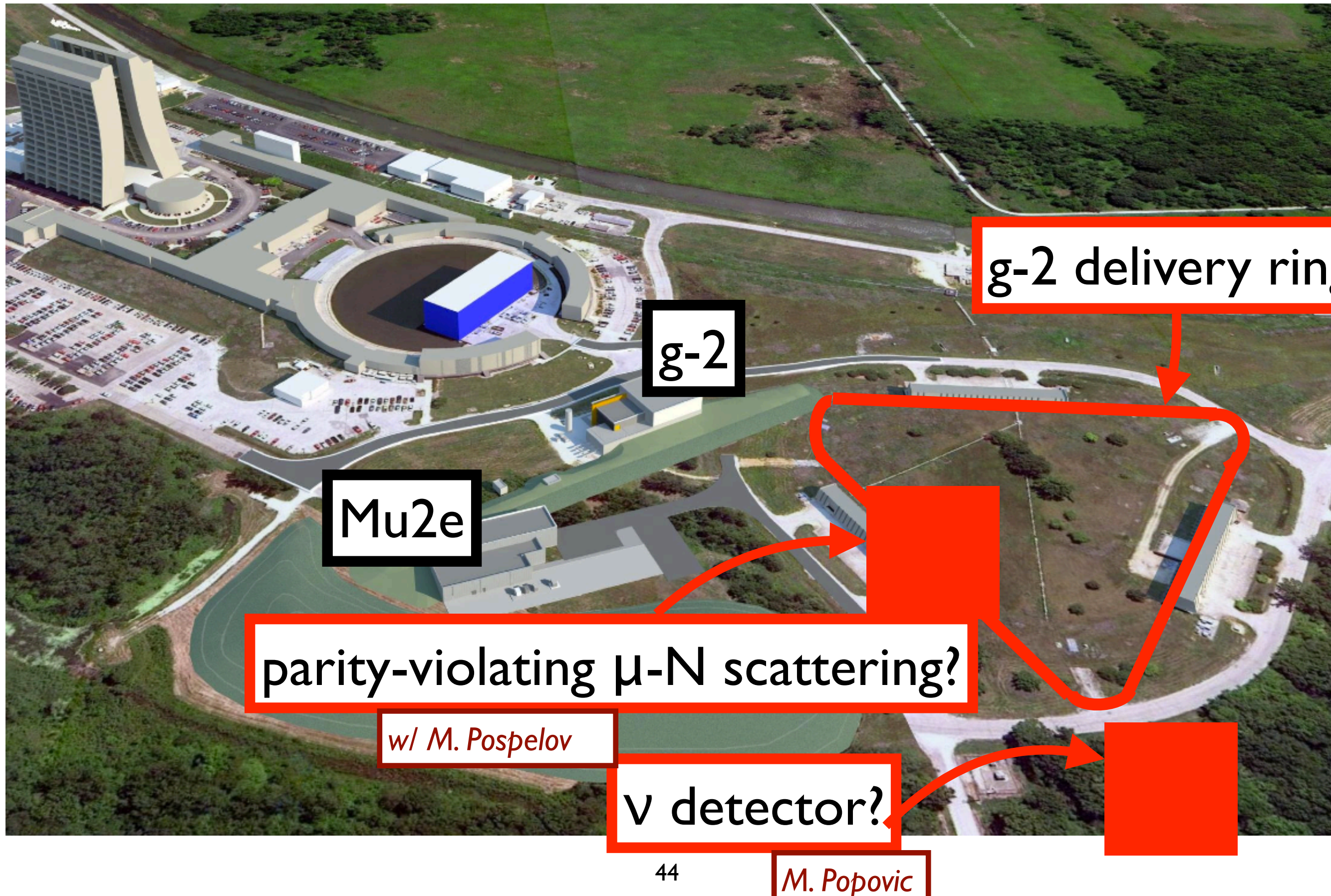
Calibrate nuclear physics in known flux? (stored muon neutrino beam)
Also strong motivations for new elementary target experiments

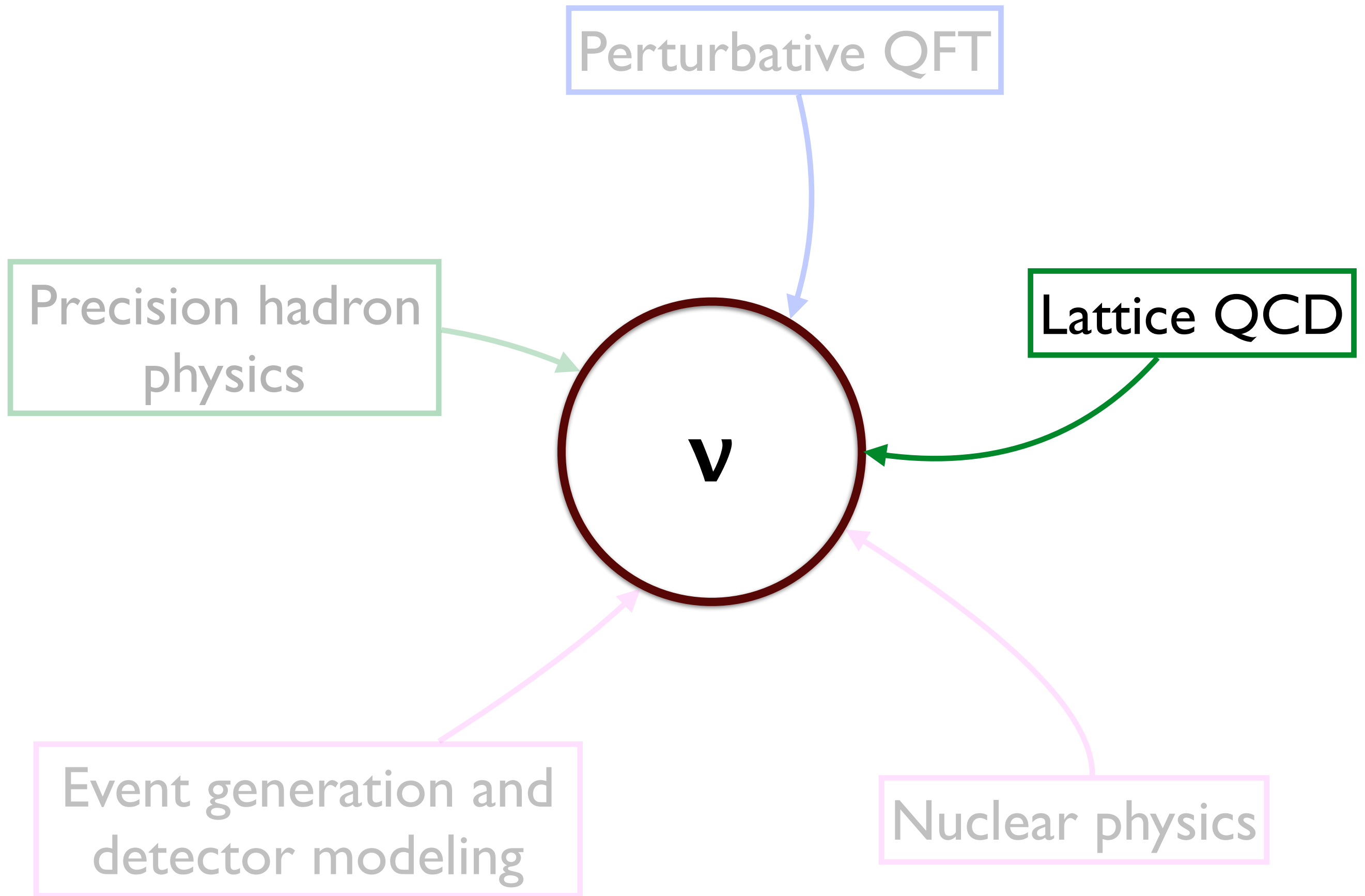


Calibrate nuclear physics in known flux? (stored muon neutrino beam)
Also strong motivations for new elementary target experiments

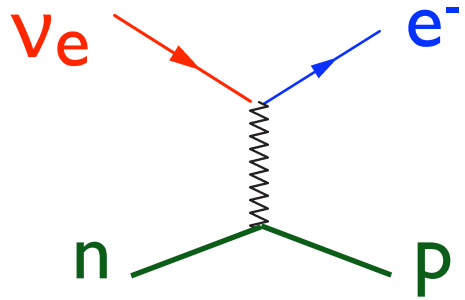


Calibrate nuclear physics in known flux? (stored muon neutrino beam)
Also strong motivations for new elementary target experiments





Lattice QCD can constrain nucleon-level amplitudes from first principles



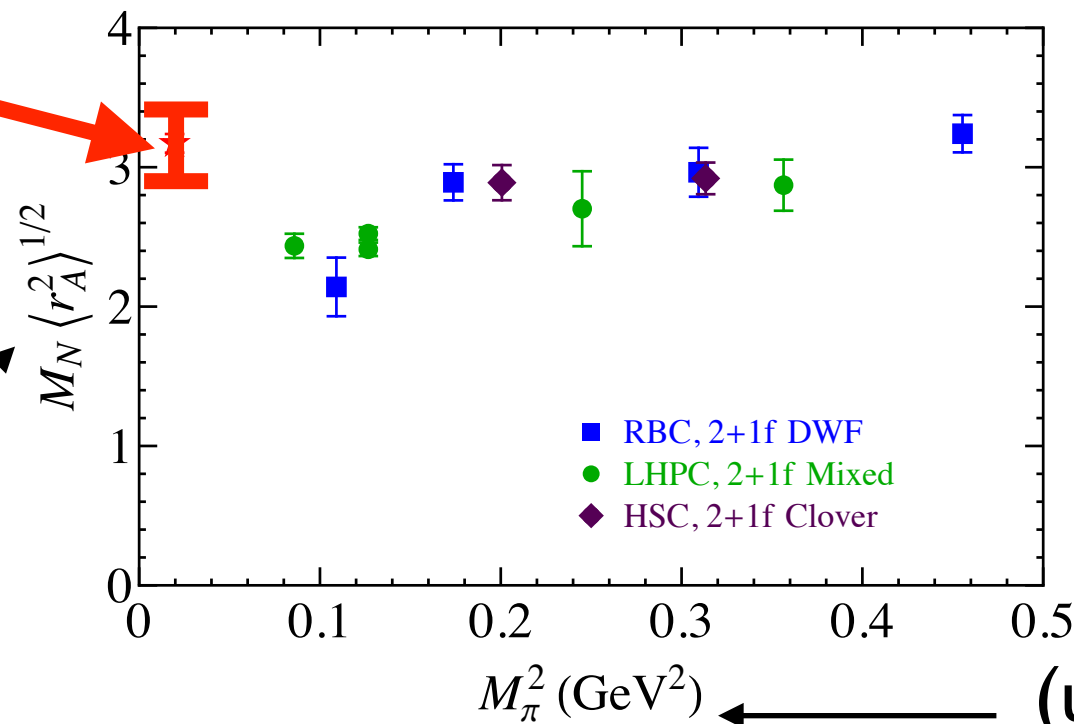
$$\sigma(\nu n \rightarrow ep) = |\cdots F_A(q^2) \cdots|^2$$

A prime target is the nucleon axial form factor

deuterium

illustrative:
dipole $m_A = 1.0(1)$

$$\left. \frac{dF_A}{dq^2} \right|_{q^2=0} \propto r_A^2$$



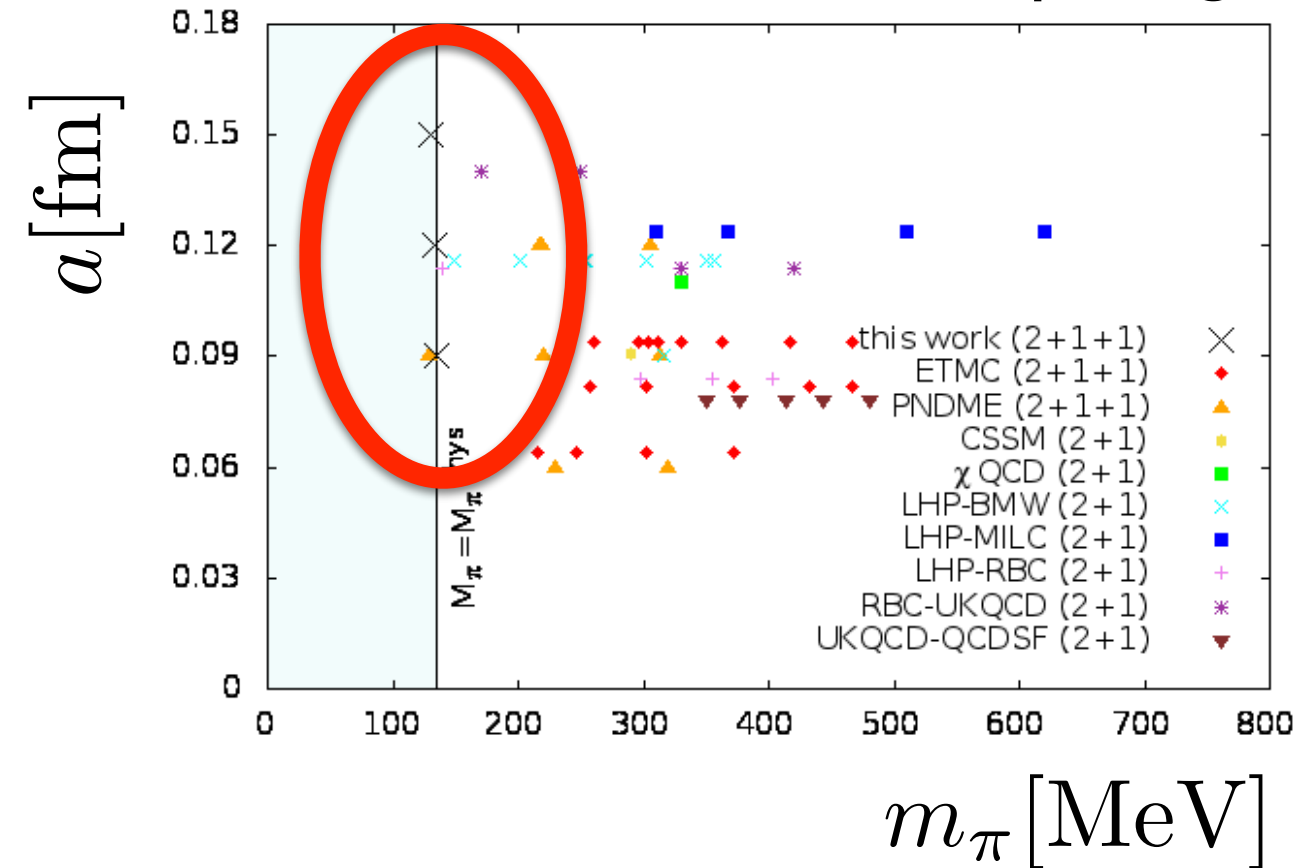
*compilation from
Lin and Cohen 1104.4319*

← (unphysical) pion mass

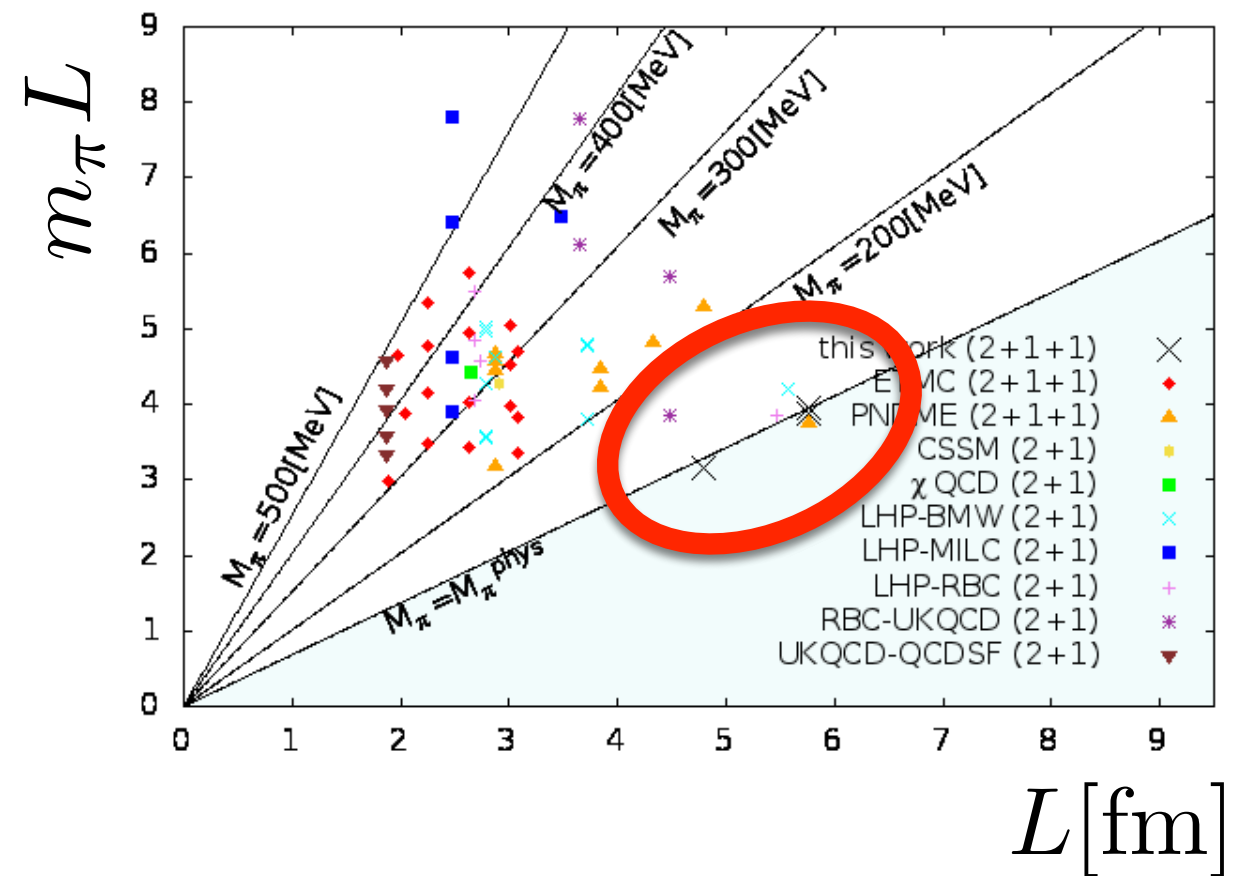
Lattice QCD is poised to compete with deuterium data.

Need lighter quarks, bigger and finer lattices

Pion mass vs. lattice spacing



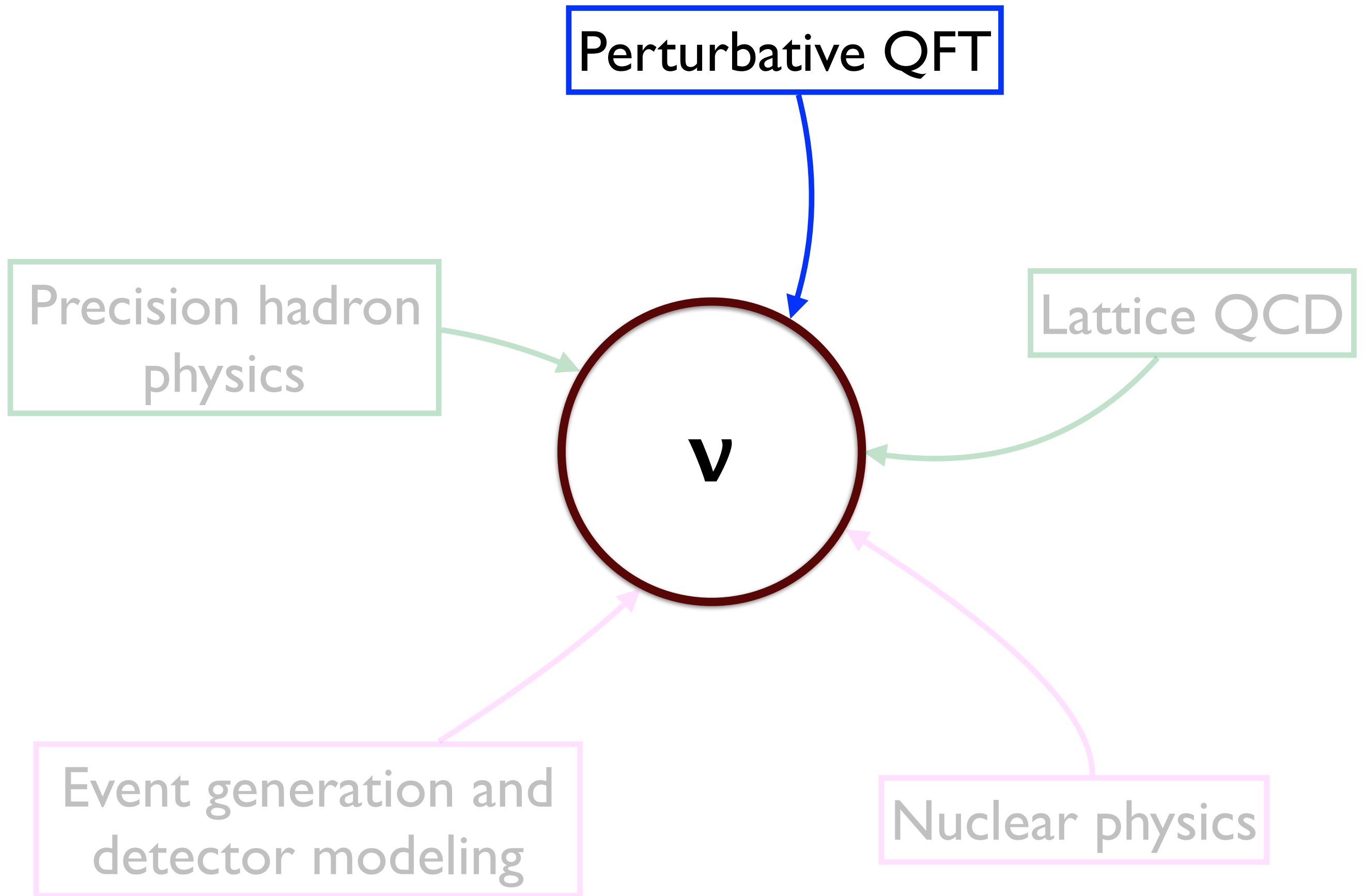
Lattice Extent vs. Pion Mass



Big lattices, multiple spacings, physical quark masses

Other targets: neutral currents; resonance couplings and form factors; pion final states

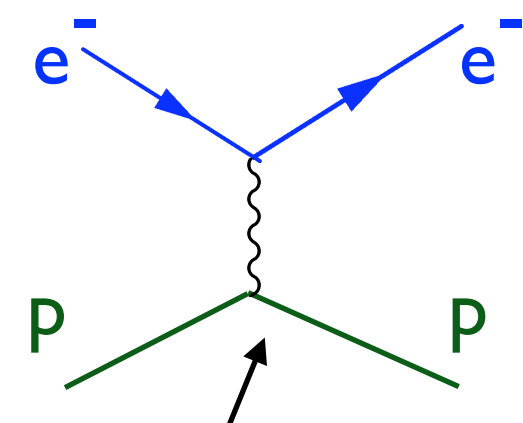
Advantages: independent of detector-dependent radiative corrections and nuclear effects (and for lattice QCD: no underground safety hazard)



World e-p scattering dominated by 2010

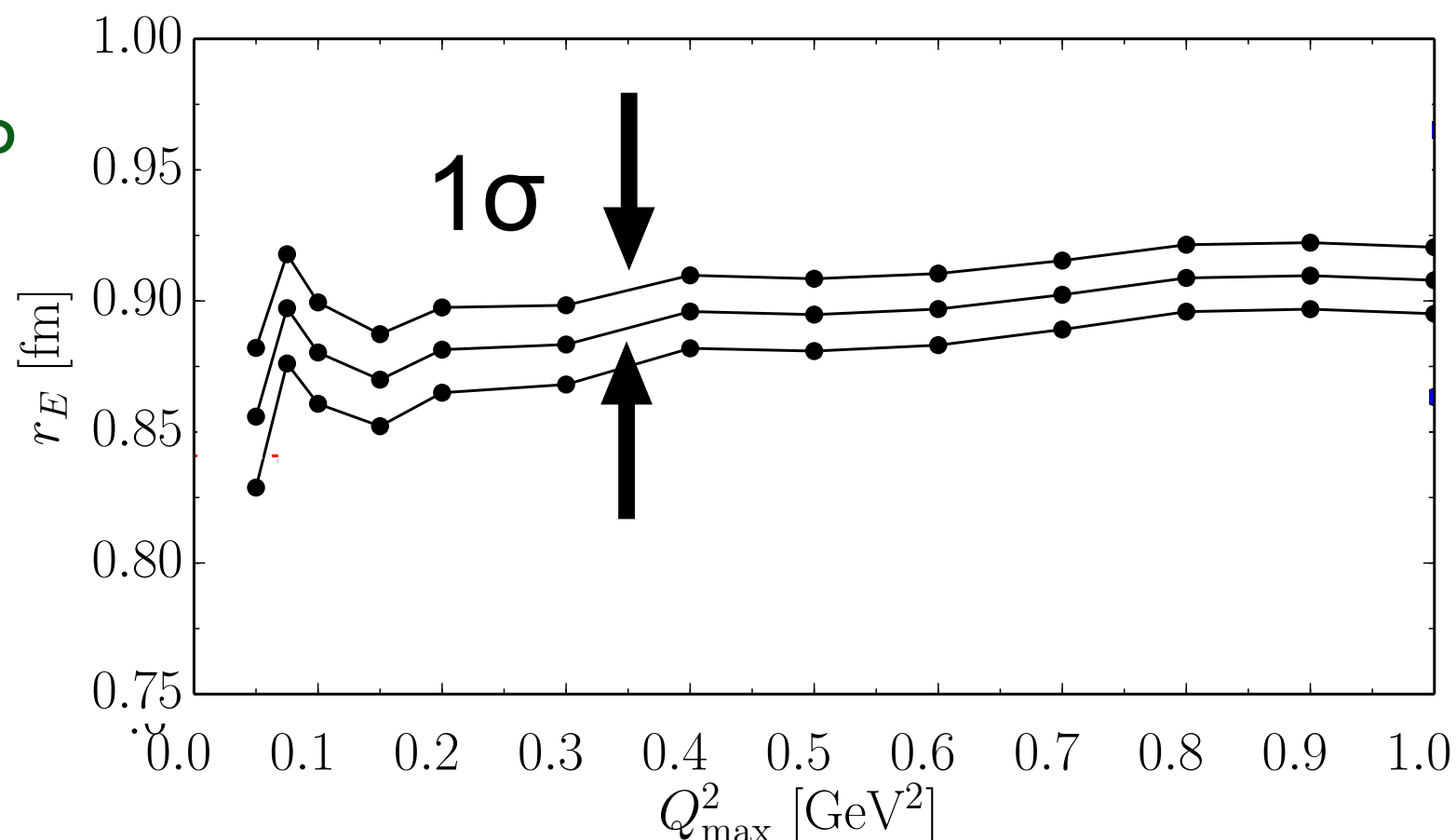
MAMI A1 dataset: $0 < Q^2 < 1 \text{ GeV}^2$

($|z| < 0.32$)



electric
form factor

$$\frac{dF_E}{dq^2} \propto r_E^2$$

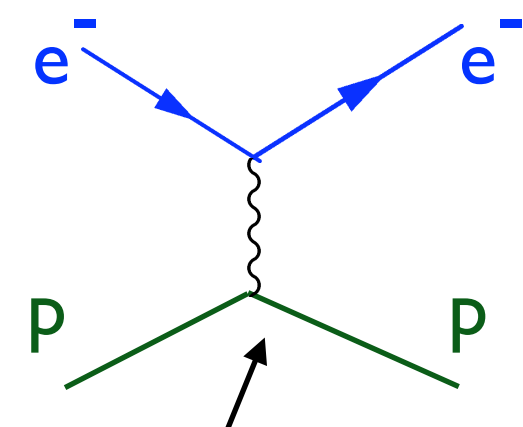


- Unexpected Q^2 dependence of extracted radius, and potentially large radiative corrections
- Work in progress to implement complete radiative corrections
- For both e-p and ν-N: large logarithms upset naive perturbation theory (especially important for ν_e/ν_μ ratios)

World e-p scattering dominated by 2010

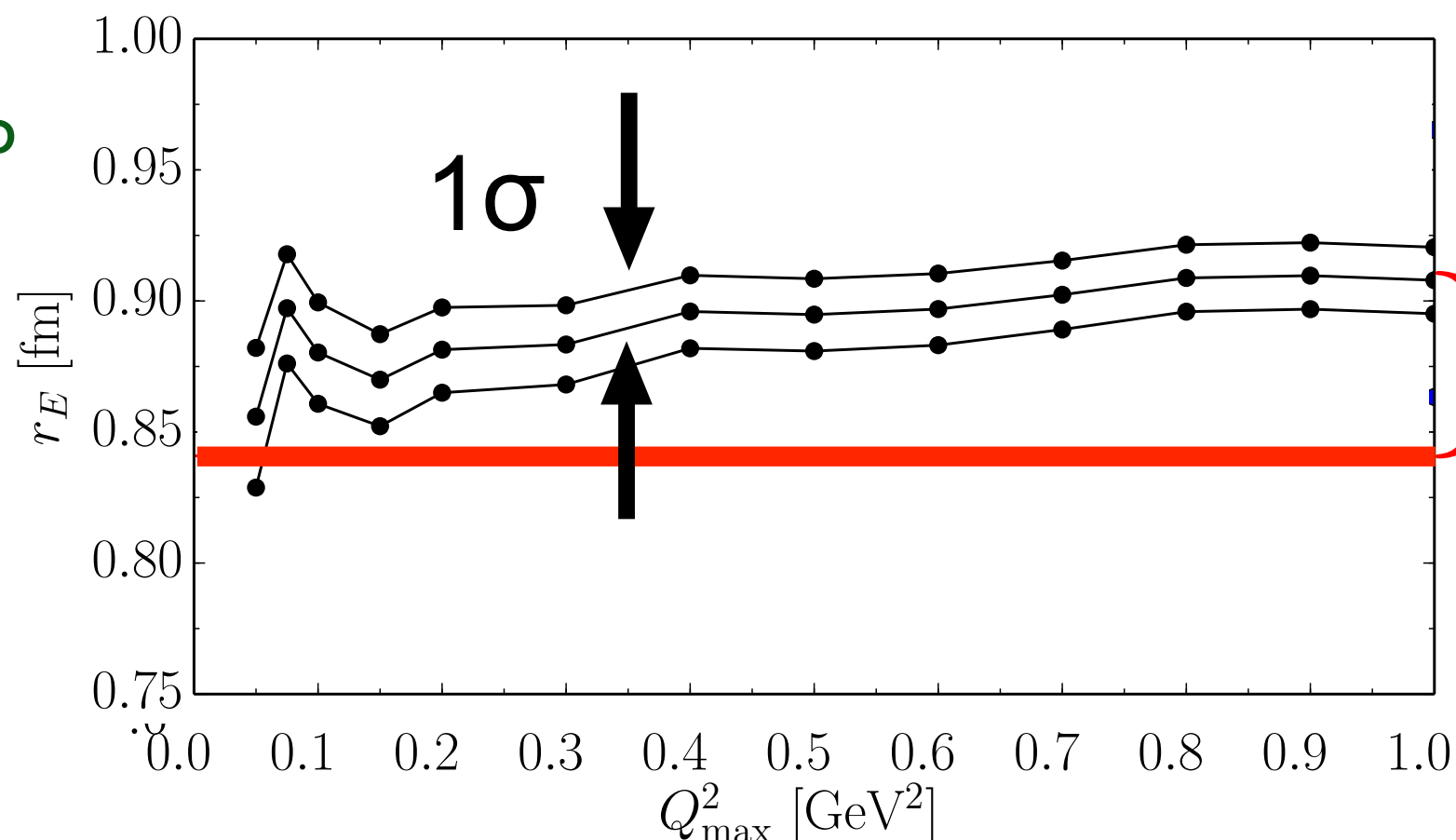
MAMI A1 dataset: $0 < Q^2 < 1 \text{ GeV}^2$

($|z| < 0.32$)



electric
form factor

$$\frac{dF_E}{dq^2} \propto r_E^2$$



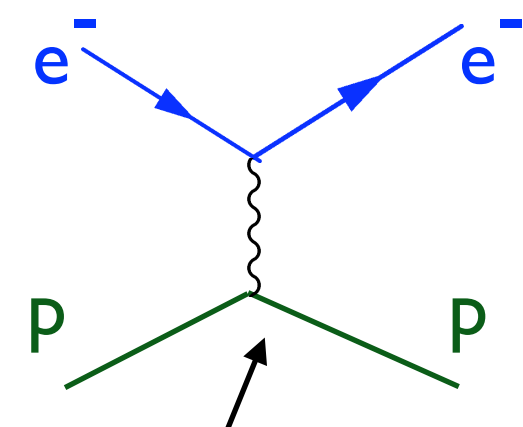
$> 5\sigma$
discrepancy

- Unexpected Q^2 dependence of extracted radius, and potentially large radiative corrections
- Work in progress to implement complete radiative corrections
- For both e-p and ν -N: large logarithms upset naive perturbation theory (especially important for ν_e/ν_μ ratios)

World e-p scattering dominated by 2010

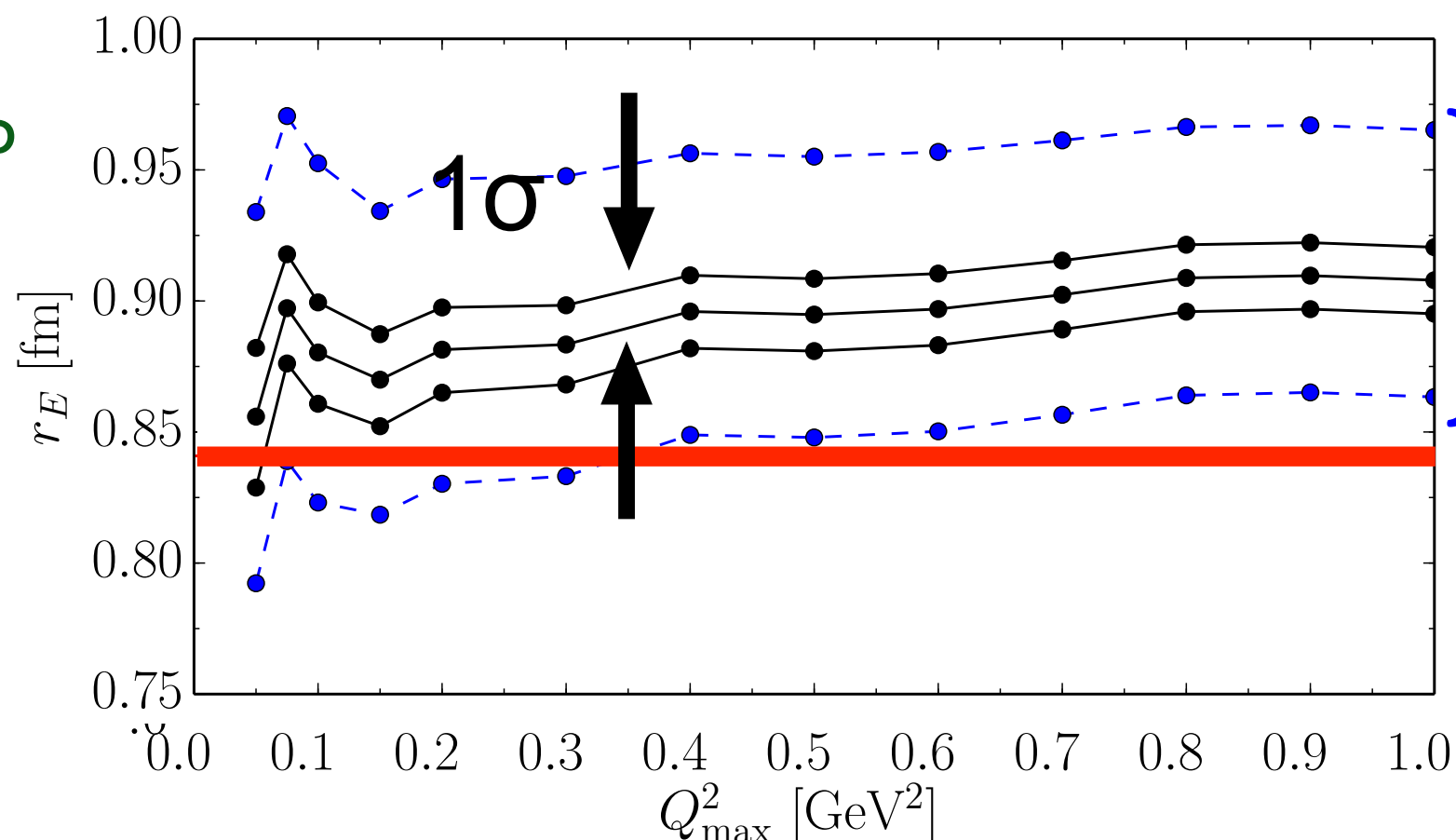
MAMI A1 dataset: $0 < Q^2 < 1 \text{ GeV}^2$

($|z| < 0.32$)



electric
form factor

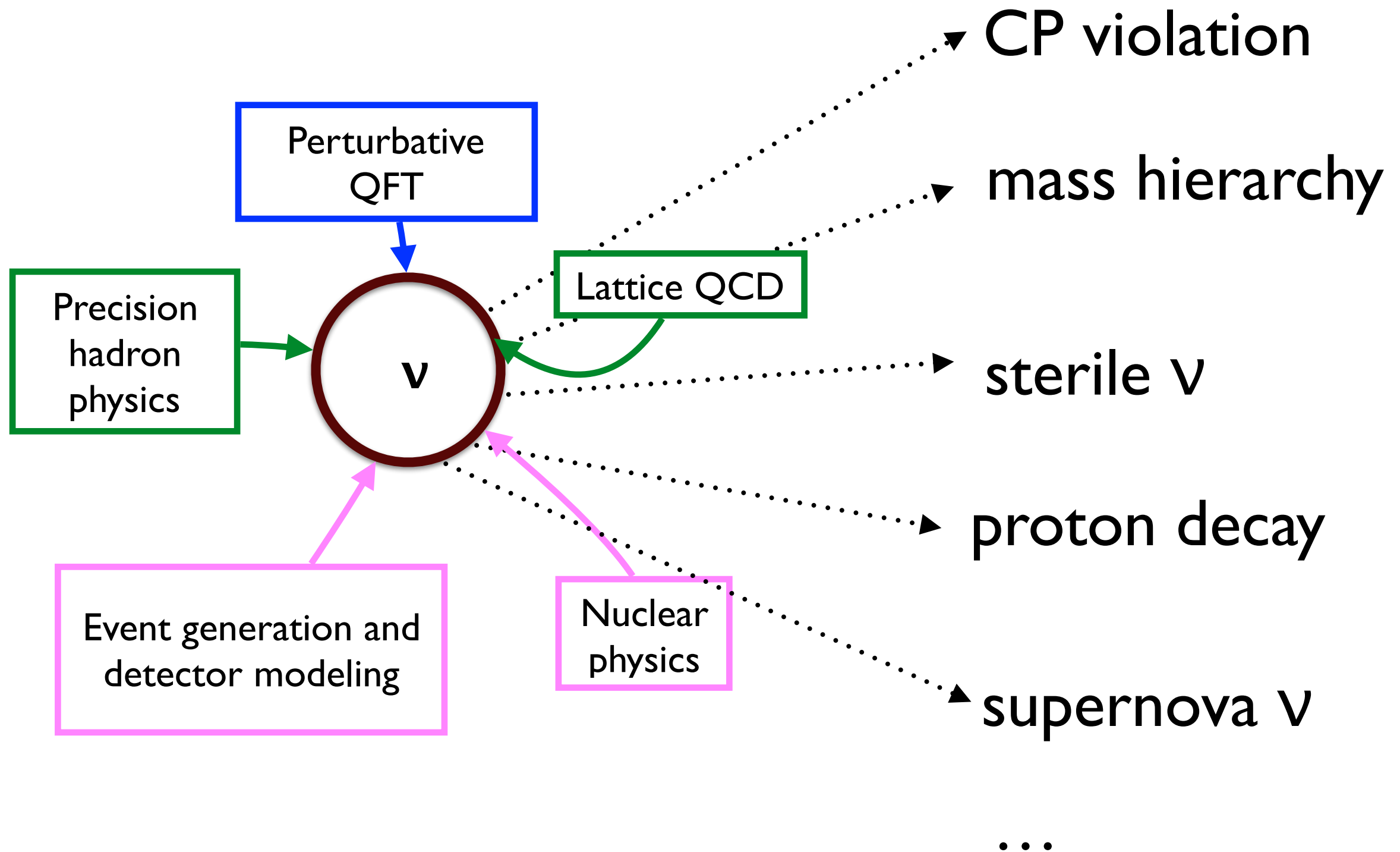
$$\frac{dF_E}{dq^2} \propto r_E^2$$



potentially
large
uncertainty
from radiative
corrections

- Unexpected Q^2 dependence of extracted radius, and potentially large radiative corrections
- Work in progress to implement complete radiative corrections
- For both e-p and ν -N: large logarithms upset naive perturbation theory (especially important for ν_e/ν_μ ratios)

QCD in many regimes critical to extracting fundamental physics in the neutrino sector



Cross sections key to discoveries in the neutrino sector

Particle theory has a critical role to play

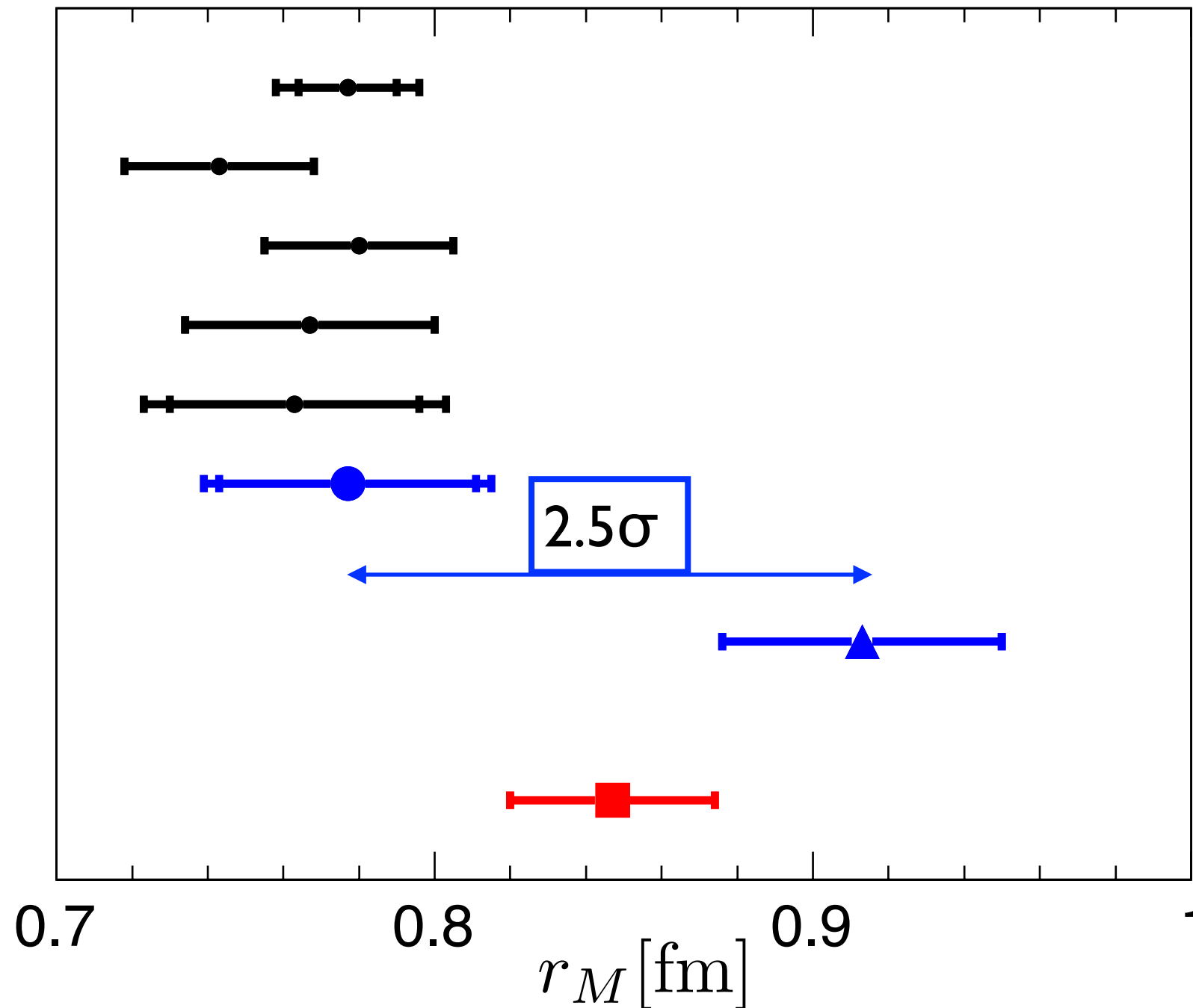
- precision hadron physics: *model-independent amplitudes, error bars*
- radiative corrections: *critical for control over V_e/V_μ ratios, error bars*
- lattice QCD: *completely different systematics vs. elementary targets, error bars*

Important connections: other intensity frontier initiatives

- radiative corrections: *neutrinos, $g-2$, proton radius puzzle, CKM, ...*
- lattice QCD & baryons: *neutrinos, DM, proton radius puzzle, nEDM, ...*
- interplay of nucleon amplitudes and nuclear effects: *energy reconstruction in ν -N scattering; atmospheric bkgd. to proton decay, next generation WIMP searches, neutrinoless double beta decay, ...*

back up

Proton magnetic radius



A1 analysis (spline fit)
 z expansion
 + hadronic TPE
 rebin, + 0.3% uncorr. syst.
 + 0.4% corr. syst.

Mainz final ($Q^2_{\text{max}}=0.5 \text{ GeV}^2$)

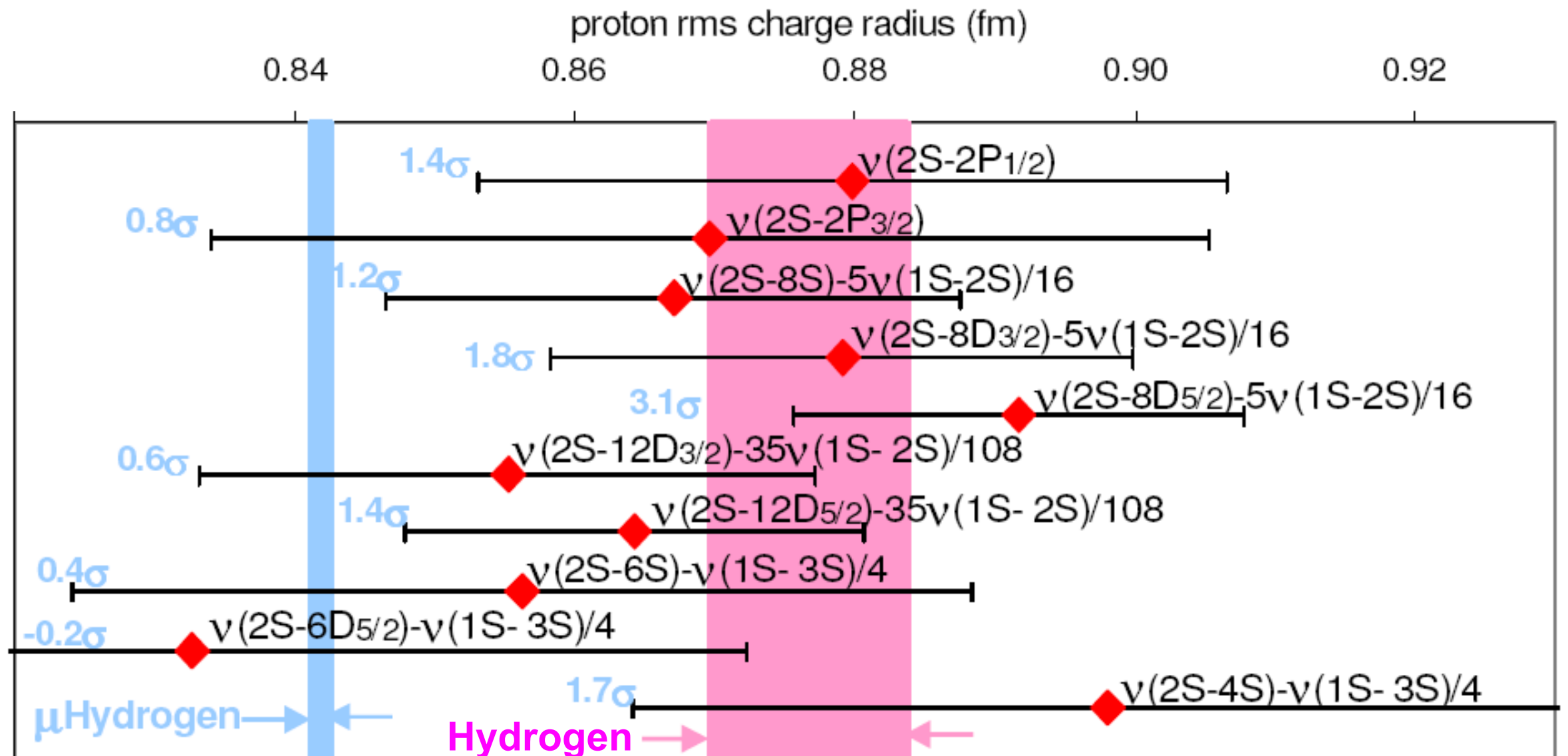
world data ($Q^2_{\text{max}}=0.6 \text{ GeV}^2$)

Mainz + world average

$r_M^{\text{Mainz}} = 0.777(34)(17)$
 $r_M^{\text{world}} = 0.913(37)$

simple average: $r_M^{\text{avg.}} = 0.847(27)$

Experimental landscape: hydrogen



plot courtesy E. Hessels, proton radius workshop 2014

- no straightforward systematic explanation identified, but $\sim 5\sigma$ deviation results from summing many $\sim 2\sigma$ effects